UNIVERSITY OF MASSACHUSETTS
COMMITTEE/BOARD MINUTES 1988

BOARD OF TRUSTEES
February 3, 1988 (UMASS Amherst)
April 6, 1988 (UMASS Boston)
May 17, 1988 (250 Stuart St., Boston, MA)
June 1, 1988 (UMASS Worcester)
July 14, 1988 (250 Stuart St., Boston, MA)
August 3, 1988 (Wareham Field Station, Wareham, MA)
October 5, 1988 (Mass. Microelectronics Center, Westboro, MA)
December 7, 1988 (Mass. State Archives, Boston, MA)
December 13, 1988 (UMASS Boston)

EXECUTIVE COMMITTEE
January 19, 1988 (250 Stuart St., Boston, MA)
March 22, 1988 (UMASS Worcester)
April 6, 1988 (UMASS Boston)
May 17, 1988 (250 Stuart St., Boston, MA)
July 19, 1988 (UMASS Boston)
September 20, 1988 (UMASS Amherst)
November 22, 1988 (UMASS Worcester)

COMMITTEE ON BUDGET & FINANCIAL AFFAIRS
January 27, 1988 (UMASS Worcester)
March 22, 1988 (UMASS Worcester)
May 25, 1988 (UMASS Worcester)
June 1, 1988 (UMASS Worcester)
July 27, 1988 (UMASS Worcester)
September 20, 1988 (UMASS Amherst)
November 30, 1988 (UMASS Worcester)

COMMITTEE ON ACADEMIC & STUDENT AFFAIRS
January 13, 1988 (250 Stuart St., Boston, MA)
March 16, 1988 (250 Stuart St., Boston, MA)
May 11, 1988 (250 Stuart St., Boston, MA)
June 1, 1988 (UMASS Worcester)
July 13, 1988 (250 Stuart St., Boston, MA)
September 14, 1988 (250 Stuart St., Boston, MA)
November 16, 1988 (250 Stuart St., Boston, MA)
December 7, 1988 (Mass. State Archives, Boston, MA)

COMMITTEE ON UNIVERSITY RELATIONS AND DEVELOPMENT
March 16, 1988 (250 Stuart St., Boston, MA)

AFFIRMATIVE ACTION COMMITTEE
July 19, 1988 (UMASS Boston)
November 22, 1988 (UMASS Worcester)

TELECOMMUNICATIONS COMMITTEE
January 19, 1988 (250 Stuart St., Boston, MA)

SUBCOMMITTEE ON GOVERNANCE
April 13, 1988 (3 Center Plaza, Boston, MA)

UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE MEETING OF THE
BOARD OF TRUSTEES

Wednesday, February 3, 1988; 2:00 p.m.
Campus Center
University of Massachusetts
Amherst, Massachusetts

Trustees and Trustee Staff: Trustee Knowles, Chair; Trustees Carlin, DiCara, Huang, Huguenin, Oakes, Wingle and Zervas; Mrs. Fontaine, Administrative Secretary

Trustees Absent: Trustees Bowman and Lopes

University Administration: President Knapp; Vice Presidents Smith and Lenhardt; Mr. Myers, Associate Counsel

UM/Amherst: Chancellor Duffey; Professor Roberts, Faculty Representative

UM/Boston: Provost Diener

UM/Worcester: Chancellor Laster

Chair Knowles called the meeting to order at 2:00 p.m. The first item on the agenda was Consideration of the Minutes of the December 2, 1987 meeting of the Board. Without discussion, it was moved, seconded and

VOTED: To approve the minutes of the December 2, 1987 meeting of the Board of Trustees.

The Board then heard reports from the President and the Chancellors.

President Knapp reviewed the legal issues and outcomes of the last legislative session and the implications for the current session. The Governor had reintroduced the Special Message on Capital Outlay and it is expected that this will be a priority for the Legislature this coming year. Second, the Subcommittee on Trust Funds, chaired by Representative Scibelli, is continuing its hearing on trust funds in higher education.

Legislation enacted the last session expanded the Board of Trustees to nineteen and provides for four alumni trustees, two from Amherst, one from Worcester and Boston, three student trustees, one from each campus, and three additional appointments by the Governor. He added that an appointment to fill the current vacant seat is now pending. Another piece of legislation enacted related to endowed chairs and provides for faculty positions to be

released with a raising of a million dollars for an endowed chair. Finally, the pension reform bill passed and has significant implications for the University particularly the removal of the $30,000 cap.

Dr. Knapp reported that the Chancellor's search at the University of Massachusetts at Boston is proceeding on schedule.

He reported on a number of initiatives which would be forthcoming from the Board of Regents this year: Student Financial Aid and the linkage to tuition and costs, and policy statements on tuition, personnel and legal services. Finally, he noted that the Regents policy statement on Salaries for Presidents and Chancellors was included in the Trustees' package and encouraged them to review it.

In concluding his report, he proposed the appointment of a Commission on the Future of University of Massachusetts. This would occur in conjunction with 125th Anniversary of the founding of the University as Massachusetts Agricultural College. He said the objective would be to bring together a group of individuals of national, educational and state prominence to examine the future of the University, the governance and funding requirements for the future. He suggested the Commission include seventeen to nineteen members appointed by the Chair with the advice of the President and in consultation with the Chancellor of the Board of Regents.

Trustee DiCara speaking to the concept, indicated the need for the Commission to be fully independent and not representative of people who have a vested interest in the status quo. Further, if it is to be viewed as an objective analysis of where the University stands today, it should be objective.

After further discussion about the composition of the Commission, it was moved, seconded and

VOTED: That in observance of the 125th Anniversary, the Board establish a Commission on the Future of the University to examine and make recommendations on the future role of the University in the Commonwealth, its governance and financing and to report to the Board no later than December 31, 1988.

That the Commission be appointed by the Chair of the Board of Trustees with the advice of the President and in consultation with the Chancellor of the Board of Regents; the Commission to be composed of 17 to 19 members

including distinguished national educators and leaders of the Commonwealth.

FURTHER,

That the Board authorizes the appointment of a Staff Director and authorizes a budget of no more than $175,000 to be funded from Trustee Reserve.

Chancellor Duffey distributed an up-date of the campus long range plan. He introduced Trustee Wingle who made an announcement about the events on campus surrounding the celebration of Black History month at the University.

Chancellor Duffey announced the appointment of Dr. Marilyn Haring-Hidore, as Dean of the School of Education. Dr. Haring-Hidore is currently Associate Dean of Education at the University of North Carolina at Greensboro.

Reporting for Chancellor Corrigan, Provost Diener announced that Dr. John Farrington had joined the campus as the Michael P. Walsh Professor of Environmental Sciences. She added that he would substantially strengthen and improve the program. She also announced that the Urban Harbors Institute had received $200,000 this year and that the campus received approval from the Board of Regents last month for its second doctoral program, the Ph.D. in Clinical Psychology.

She also distributed the Chancellor's Year in Review for 1987 which identifies the accomplishments of the Boston campus.

Chancellor Laster then reported that the search for a Director of Affirmative Action has been reopened and the position restructured. The position will be that of Associate Vice Chancellor. He announced that Dr. Paula Stillman, Associate Dean for Curriculum and Teaching hosted the Deans of the five New England Medical Schools examining the issue - "How do you evaluate whether you have trained competent clinicians?"

He reported that the campus is engaged in strategic planning. The issues facing the campus are:

1) moving the campus to the next plateau of achievement and

2) meeting current needs and opportunities in care and service -- such as high risk obstetrics and the integration of the care of children.

Another issue category is meeting societal needs. We are heavily engaged in work related to the AIDS problem, heart disease and prevention, and others. Another major issue is running the organization efficiently, effectively and productively.

Another issue is developing a core of dedicated advocates, alumni, community leaders, affiliated Hospital and peer institutions. In examining the issues both short term and long term objectives have been identified. The Medical Center will provide progress reports.

President Knapp then introduced Sid Myers, Counsel assigned to the Amherst campus representing Counsel Searson.

Trustee Zervas then gave the report of the Committee on Academic and Student Affairs. The first item was Appointments with Tenure--UM/Amherst and UM/Worcester. It was moved, seconded and

VOTED: To concur with the President in the appointment of Dr. Harriet Robinson as Professor of Pathology with tenure at the University of Massachusetts Medical Center and of Dr. Beverly Harris-Schenz as Associate Professor of Germanic Languages and Literature with tenure at the University of Massachusetts at Amherst. (Doc. T88-002)

The next item was Awards of Tenure--UM/Amherst and UM/Worcester. It was moved, seconded and

VOTED: To concur with the President in the award of tenure to James Owers as Associate Professor of General Business and Finance at the University of Massachusetts at Amherst and Judith Ockene as Associate Professor of Medicine at the University of Massachusetts Medical Center. (Doc. T88-003)

She added that the Committee was delighted by the appointments.

The next item was the Appointment of Dr. William G. Chaloner as a Wilmer D. Barrett Professor--UM/Amherst. The Barrett Professors are visiting professors and this appointment is the third of its kind. It was moved, seconded and

VOTED: To concur along with the President in the appointment by the Chancellor of William G. Chaloner as Wilmer D. Barrett Professor of Botany at the University of Massachusetts at Amherst. (Doc. T88-004)

The next item was to establish the Gerontology Center in the College of Public and Community Services. This action would elevate the program into a Center. It was moved, seconded and

VOTED: To establish the Gerontology Center at the College of Public and Community Service at the University of Massachusetts at Boston. (Doc. T88-005)

The last item for action was Approval of the Graduation Lists--UM/Amherst and UM/Boston. The list from Amherst consisted of 712 candidates for the Bachelor's degree to be awarded February 1, 1988. Boston's candidates to be approved for graduation in December, 1987, included 467 for the Bachelor's degree and 98 for the Master's degree. It was then moved, seconded and

VOTED: To approve the February, 1988, graduation lists of the University of Massachusetts at Amherst and the December, 1987 lists at the University of Massachusetts at Boston, subject to the completion of all requirements as certified by the Recorder of the campus. (Doc. T88-001)

She reported that the Committee discussed the establishment of a scholarship fund in memory of Trustee Rosalind Matthews which is subject for action by the Budget and Financial Affairs Committee.

Trustee Oakes then gave the report of the Committee on Budget and Financial Affairs which met January 27. The first item on the agenda was the Establishment of the Rosalind Matthews Scholarship Fund. He reported that the Committee discussed the fund with the thought that other private sources would be adding money to the fund. It was moved, seconded and

VOTED: To allocate $50,000 from the Trustee Reserve to the University of Massachusetts Foundation for the purpose of establishing the Rosalind A. Matthews Scholarship Endowment Fund. The scholarship would be a four year scholarship in the amount of tuition. Students eligible for the scholarship would be inner city/disadvantaged students, particularly graduates of the Boston Public School System who demonstrate financial need, enrolling in the School of Management at either undergraduate campus. The selection would be made by the Board of Trustees and by application to the President's Office. The scholarship would be available to both freshmen and transfer students. (Doc. T88-006)

The next item was Parking and Transit Policy and Fee Schedules--UM/Amherst. He reported that the campus had proposed a comprehensive parking plan involving fee increases. The Committee noted by comparison that the parking fees are low compared to Northampton, Holyoke or Springfield.

He said the Committee also discussed the increase in the student transit fees. The fee, which was proposed to be phased-in, would be subject to review prior to the increase in 1990. It was moved, seconded and

VOTED: To approve the changes in the parking system and parking fees set forth in Doc. T88-009.

And further,

VOTED: To approve a student transit fee of $10.00 per student for FY1989 and 1990 and a student transit fee of $15.00 per student for FY1991, 1992 and 1993 which is a phased equivalent of the student transit fee as set forth in Trustee Document T88-009.

He also reported that the Committee reviewed Audits related to Consultants, Other Issues, and an External Audit Report on NCAA Intercollegiate Athletics Program at UM/Amherst. It was then moved, seconded and

VOTED: To accept the following audits:

Draft Audit Report #88-3, Consultants (Doc. T88-011)
Draft Audit Report #88-4, Other Issues Doc. T88-012)
External Audit Report - NCAA Intercollegiate Athletics Program--UM/Amherst (Doc. T88-013)

Trustee Oakes introduced David Wolcott, Senior Director of Auditing, and indicated the importance with which the Board views the internal audit process and the quality of the job done over the past year.

It is the feeling of the Board that we want the University operated in an exemplary manner and this function is taken seriously.

Chair Knowles then gave the report of the Executive Committee. The first item on the agenda was approval of the Hospital Management Board Minutes. It was moved, seconded and

VOTED: To accept the minutes of the October 21, 1987 meeting of the Hospital Management Board

subject to receipt of clarification of the matter of Directors and Officers Liability. (Doc. T88-007)

The last item was to officially recognize the Observance of the University's 125th Anniversary Proclamation. It was moved, seconded and

VOTED: To issue the proclamation set forth in Doc. T88-008A.

WHEREAS, the Massachusetts Agricultural College was founded in 1863 in response to the initiative of the Morrill Land-Grant act, and was vested with the three-fold mission of education, research, and public service; and

WHEREAS, in 1931, the institution was renamed the Massachusetts State College to reflect the expanded scope of its mission and programs, and in 1947 was renamed the University of Massachusetts, and identified in Chapter 75 of the General Laws of the Commonwealth as the State's University; and

WHEREAS, in 1962, the University of Massachusetts Medical Center was established, and located in Worcester in order to provide medical education and services to the people of the Commonwealth; and

WHEREAS, in 1965, the University of Massachusetts at Boston was established to extend the mission of the University to the capital and urban center of the Commonwealth; and

WHEREAS, the University of Massachusetts now educates one-third of the total student body enrolled in the Commonwealth's system of public higher education, and approximately twenty percent of the Commonwealth's residents enrolled as undergraduates in public and private colleges and universities throughout the country; and

WHEREAS, the University of Massachusetts has received national and international recognition for its research in the natural and social sciences, arts and humanities, and medical sciences; and

WHEREAS, the University of Massachusetts provides a wide array of services to the citizens of Massachusetts through its institutes, centers, partnerships and other programs;

THEREFORE, be it resolved, that the Board of Trustees proclaims the year 1988 as the 125th Anniversary of the University of Massachusetts, and

RESOLVES, further that the University of Massachusetts will enter the next century dedicated to continuing its service to the people of the Commonwealth by retaining its status as the finest public university in New England and by becoming one of the finest in the United States.

President Knapp noted that a similar Proclamation had been signed by the Governor. The meeting adjourned at 2:55 p.m.

Cynthia A. Fontaine

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

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UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE MEETING OF THE
BOARD OF TRUSTEES

Wednesday, April 6, 1988; 2:00 p.m.
Chancellor's Conference Room
Quinn Administration Building
University of Massachusetts
Boston, Massachusetts

Trustees and Trustee Staff: Chair Knowles; Trustees Bowman, Carlin, DiCara, Huguenin, Lopes, McBay, Oakes, Wingle and Zervas; Mrs. Fontaine, Administrative Secretary; Mr. Miller, Deputy Secretary

Trustee Absent: Trustee Huang

University Administration: President Knapp; Vice President Lenhardt; General Counsel Searson

UM/Amherst: Chancellor Duffey; Vice Chancellors DeNyse and Madson

UM/Boston: Provost Diener; Vice Chancellors Baxter and Babcock

UM/Worcester: Chancellor Laster; Vice Chancellor Adams; Mr. Cooke, Faculty Representative

Chair Knowles called the meeting to order at 2:05 p.m. He then welcomed Trustee McBay to her first meeting as a Trustee and in so doing gave a brief resume of her career. He said that it was an honor to have her on the Board. He noted that she had agreed to serve on the Academic and Student Affairs Committee and the Affirmative Action Committee.

Chair Knowles then asked Trustees Lopes and Wingle to introduce their successors. Trustee Lopes introduced Alex Walker, Student Trustee Elect of the University of Massachusetts at Boston; Trustee Wingle introduced Stephanie Orifice, Student Trustee Elect of the University of Massachusetts at Amherst. Both would take office on July 1, 1988.

In the course of his report, Chair Knowles noted that the UM/Boston Chancellor's Search Committee had narrowed the field of candidates down to seven and would present the names of the three finalists to the President in the second week of July. Also, a meeting with the Board of Regents would be arranged at their request to discuss issues concerning minority students. The Trustees then heard the reports of the President and the Chancellors.

Trustee Zervas then gave the report of the Committee on Academic and Student Affairs. The first item was Awards of Tenure--UM/Amherst and UM/Boston. After discussion, it was moved, seconded and

VOTED: To concur with the President in the award of tenure to the following individuals:

University of Massachusetts at Amherst:

Francisco Cevallos as Associate Professor of Spanish and Portuguese
Copper Giloth as Associate Professor of Art
David D. Sporny as Associate Professor of Music and Dance
Jennifer Stone as Associate Professor of French and Italian
Martha Taunton as Associate Professor of Art
Dara Wier as Professor of English
Molly Fitzgerald-Hayes as Associate Professor of Biochemistry
James Boyce as Associate Professor of Economics
Nancy Folbre as Associate Professor of Economics
Jarice Hanson as Associate Professor of Communication

University of Massachusetts at Boston:

Fiora Bassanese as Associate Professor of Italian
Thomas Buckley as Associate Professor of Anthropology
Peter Fejer as Associate Professor of Mathematics and Computer Science
Sheldon Kalich as Associate Professor of Psychology
Henry Raimondo as Associate Professor of Economics (Doc. T88-014)

The next item was Appointment of Arnold L. Rosenberg--UM/Amherst. After discussion, it was moved, seconded and

VOTED: To concur along with the President in the appointment by the Chancellor of Arnold L. Rosenberg as Distinguished University Professor of Computer and Information Science with tenure at the University of Massachusetts at Amherst. (Doc. T88-015)

The final item was Graduation Lists--UM/Amherst and UM/Boston. Without discussion, it was moved, seconded and

VOTED: To approve the May and September, 1988 Graduation Lists of the University of Massachusetts at Boston and the February, 1988 list at the University of Massachusetts at Amherst, subject to the completion of all requirements as certified by the Recorder of the campus. (Doc. T88-001A)

Trustee Bowman than gave the report of the Committee on University Relations and Development. He noted activities of the Committee and of developments in the 125th Anniversary celebration.

Trustee Oakes then gave the report of the Committee on Budget and Financial Affairs. The first item was FY89 Student-Fee Based Budgets at the three campuses. After explanation and discussion it was moved, seconded and

VOTED: To approve the FY89 Student-Fee Based Budgets and related fees contained in Doc T88-020:

A. Budgets and associated Student fees (no increase and/or over $500,000 annual budget):

Fund Name	(Annual) Annual Budget	Change	FY89 Fee
Amherst			
Continuing Education	$ 4,767,000	$0	$65/80/30
Int'l Programs	$ 1,485,000	$0	$ 20
Authority Fees	$ 6,070,000	$0	$261
Boston			
Student Health	$1,529,932	$0	$60
Student Activity	$ 753,000	$0	$30

B. Budgets and associated mandatory student fees (increases)

Amherst			
Athletics (Undergrad.)	$2,142,000	$ 5	$112
Fine Arts Council	$1,452,000	$ 4	$ 34
Student Activity	$4,192,000	$ 3	$107.50
Student Health	$9,064,000	$20	$270
Grad. Student Senate	$ 265,000	$ 9	$ 63

C. Budgets and associated non-mandatory student and other fees (increases)

Amherst			
Graduate Student Prog.	$ 220,000	$10	$ 75
New Student Counseling	$ 381,000	$18	$ 80
Resident Hall Telecom	$ 781,000	$ 4	$ 70
Supp. Health Plan	$2,171,000	$20	$225
Undergrad. Commencement		$ 3	$ 33

Fund Name	Annual Budget	Change	(Annual) FY89 Fee
Boston			
Continuing Education	$2,756,658		
Undergraduate		$11	$65
Graduate		$12.5	$80
Late Registration		$ 5	$25
Bad Check		$ 5	$25
Athletics	$1,147,668		
Ind. Community Membership		$50	$100
Family Community Membership		$35	$125
Youth Membership		$ 0	$ 30
Worcester			
Student Health Extended Coverage	$200,688	$11	$200

D. Budgets and associated fees (Room and Board)

Amherst			
Room Charge	$18,102,000	$141	$1,513
Board Charge	$30,965,000	$ 78	$1,280

The next two items were Personnel Actions--UM/Boston and UM/Amherst. After discussion, it was moved, seconded and

VOTED: To approve an overscale salary of $64,107 for B. Donald Grose, Director of Libraries at the University of Massachusetts at Boston. (Doc. T88-021)

And further,

VOTED: To approve an overscale salary of $85,000 for Marilyn Haring-Hidore, Dean of the School of Education at the University of Massachusetts at Amherst. (Doc. T88-022)

The following action was taken earlier in the meeting to allow Chancellor Laster to attend another engagement. It was moved, seconded and

VOTED: To approve a memorandum of understanding, including salary adjustments, for University of Massachusetts Medical Center nurses. (Doc. T86-086A)

The final item on the Committee agenda was Audits. After discussion, it was moved, seconded and

VOTED: To accept the following audits:

University of Massachusetts at Boston
Athletic Trust Fund (Doc. T88-024)
NCAA Intercollegiate Athletic Program (Doc. T88-023)
WUMB-FM Radio Station (Doc. T88-025)

University of Massachusetts at Amherst
Bursar's Office (Doc. T88-026)
WFCR and Friends of WFCR, Inc. (Doc. T88-027)

Chair Knowles then gave the report of the Executive Committee. The first two items dealt with the Hospital Management Board. After discussion it was moved, seconded and

VOTED: To amend the By-Laws of the Hospital Management Board as proposed by said Board at its October 21, 1987 meeting as follows:

To strike Part A, Article 4, which reads "The University Board of Trustees shall purchase and maintain insurance on any officer, member or agent of the Hospital Management Board against any expense for which he is indemnified hereunder." (Doc. T88-007, Addendum 1; Doc. T83-053, as amended.)

And further,

VOTED: To approve the minutes of the November 18, 1987 and the minutes of the January 20, 1988 meetings of the Hospital Management Board. (Doc. T88-018)

The next item was Appointment of Marilyn Haring-Hidore. It was moved, seconded and

VOTED: To concur along with the President in the appointment by the Chancellor of Marilyn Haring-Hidore as Dean of the School of Education at the University of Massachusetts at Amherst. (Doc. T88-022)

The next item was Code of Student Conduct--UM/Amherst. Chancellor Duffey recommended a further amendment to the amendment. He was ruled out of order by the Chair and it was moved, seconded and

VOTED: To amend the Code of Student Conduct, University of Massachusetts at Amherst (Doc. T86-030A) as follows:

(a) By deleting Part II.B.1. and inserting in place thereof the following:

1. "Harassment," which includes but is not limited to:

a. "Physical assault" which includes but is not limited to physical attack upon or physical interference with a person which prevents the person from conducting his or her customary or usual affairs, puts the person in fear for his or her physical safety, or causes the person to suffer actual physical injury. The University has special concern for incidents in which students are subject to physical assault because of membership in a particular racial, religious, gender or sexual orientation group.

b. Conduct less than a physical attack or physical interference which interferes with a person in the conduct of his or her customary or usual affairs, such as the posting of threatening letters directed to the person, the use of threatening language directed at another, harassing or threatening telephone calls, the vandalism of a person's room (e.g. by graffiti). The University has special concern for incidents in which students are subject to such conduct because of membership in a particular racial, religious, gender or sexual orientation group.

(b) By deleting Part V and inserting in place thereof the following:

V. In recommending or determining a sanction, a hearing board or designated University official, respectively, may consider the student's present demeanor and past disciplinary record, the nature of the offense, the severity of any damage, injury, or harm resulting therefrom, and other factors. The University has a special concern for incidents

in which students are subject to harassment because of membership in a particular racial, religious, gender or sexual orientation group. Such incidents damage not only individuals, but also the free and open academic environment of the University. More severe sanctions are appropriate for such conduct. If the charge against the student is sustained, the responsible University official may impose one or more of the following sanctions--

In the general discussion which followed it was the sense of the Board that Chancellor Duffey should be commended for his perspicacious and sensitive handling of the recent sit-in at Amherst.

Chair Knowles then called for a motion to enter into executive session to discuss the award of an honorary degree. It was moved, seconded and on roll call

VOTED: To enter executive session.

Chair Knowles voted for the motion as did Trustees Bowman, Carlin, DiCara, Huguenin, Lopes, McBay, Oakes, Wingle and Zervas.

The time was 3:25 p.m.

The executive session ended at 3:45 p.m. Without discussion it was moved, seconded and

VOTED: To award an honorary degree to the individual agreed upon in executive session and to instruct the Chancellor to proceed with the necessary arrangements.

There was no further business to come before the Board and the meeting was adjourned.

John F. Miller
Deputy Secretary

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UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE SPECIAL MEETING
OF THE BOARD OF TRUSTEES

Tuesday, May 17, 1988; 1:30 p.m.
Thirteenth Floor Conference Room
250 Stuart Street
Boston, Massachusetts

Trustees and Trustee Staff: Chair Knowles; Trustees Bowman, Carlin, DiCara, Lopes, Oakes, Wingle and Zervas; Mrs. Fontaine, Administrative Secretary; Mr. Miller, Recorder

Trustees Absent: Trustees Haynes, Huang, Huguenin, McBay

University Administration: President Knapp; Vice Presidents Smith, Lenhardt and Willits

Chair Knowles called the special meeting of the Board of Trustees to order at 1:50 p.m. The purpose of the meeting was to act on the Appointment of a Chancellor at the University of Massachusetts at Boston.

President Knapp said he received the recommendation of the Search Committee of three finalists, and it was his pleasure to recommend to the Board Dr. Sherry H. Penney for the position of the Chancellor of the University of Massachusetts at Boston.

Dr. Penney, who has her degree in history, has been Vice Chancellor for Academic Program Policy and Planning at the State University of New York since 1982, one year of which she served as acting president of SUNY/Plattsburg. Prior to that appointment, she was Associate Provost at Yale and she has a distinguished background in academic administration.

He added that the Search Committee under the leadership of Professor Zompa had done a superb job and he commended the Chair and the members of the Committee for the speed with which they progressed.

Trustee DiCara commented that the Search Committee felt very positive about it's recommendation.

It was then moved, seconded and

VOTED: To concur with the President in the appointment of Dr. Sherry H. Penney as Chancellor of the University of Massachusetts at Boston pending satisfactory completion of negotiations with the President concerning conditions and date of employment.

And further,

VOTED: To commend and thank the Chair and members of the Chancellor's Search Committee for their time, effort, and commitment in the search for a Chancellor for the University of Massachusetts at Boston.

Leverett J. Zompa, Chair
Judith Baker, Alumni
Lawrence DiCara, Trustee
Clare Joyce Donahue, Dean of Students
Richard Hogarty, Professor
Andrew C. Knowles, Chair, Board of Trustees
Jeffrey Krumrine, Speaker, Student Senate
Colleen Lopes, Trustee
Donaldo Macedo, Associate Professor
Frances L. Portnoy
Wornie Reed, Director, Trotter Institute
Fuad Safwat, Dean of the Graduate School
Edgar Smith, Vice President for Academic Affairs
Joan C. Tonn, Associate Professor
Joanne Cuff, Staff Assistant, Academic Affairs
Marion Sullivan, Secretary

President Knapp then introduced Dr. Sherry H. Penney who said the Boston campus was one of the most interesting and exciting institutions in the United States today and she's very proud to lead it.

There being no further business to come before the Board, the meeting adjourned at 2:00 p.m.

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

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UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE MEETING OF THE
BOARD OF TRUSTEES

Wednesday, June 1, 1988; 2:00 p.m.
Southeast Conference Room
University of Massachusetts
Medical School
55 Lake Avenue North
Worcester, MA 01605

Trustees and Trustee Staff: Chair Knowles; Trustees Carlin, DiCara, Huguenin, Lopes, McBay, Oakes, Wingle and Zervas; Mrs. Fontaine, Administrative Secretary

Trustees Absent: Trustees Bowman, Haynes and Huang

University Administration: President Knapp; Vice Presidents Smith and Lenhardt; Mr. Searson, General Counsel

UM/Amherst: Chancellor Duffey; Professor Kinney, Faculty Representative

UM/Boston: Provost Diener; Professor Hogarty, Faculty Representative

UM/Worcester: Chancellor Laster; Dr. Cooke, Faculty Representative

Chair Knowles convened the meeting at 2:20 p.m.

The first matter for consideration related to the minutes of the April 6, 1988 meeting. It was moved, seconded and

VOTED: To approve the minutes of the April 6, 1988 meeting of the Board of Trustees.

Chair Knowles remarked that Amherst and Boston Commencement ceremonies were impressive and he was looking forward to the upcoming Worcester Commencement.

President Knapp reviewed the progress of the FY89 Budget. Based on what the University requires to meet its commitments for FY89, the budget passed by the House represents a shortfall $13.5 million. He then described the contingency plans required to meet this budget situation: instituting a hiring freeze for six weeks with critical positions being exempted, deferring all non-unit salary increases, limiting enrollment, reassessing community and public service programs and adjusting non-personnel accounts.

He reported that the Capital Budget looks favorable for the University. The two major items are $9 million for repair and renovations to 250 Stuart Street, $10.4 million for the Telecommunications Project.

Turning to trustee appointments, he announced the appointment of Robert Haynes and that the Worcester and Boston alumni trustee process should be concluded by the end of June and Amherst by August. The student Trustees take office July 1.

He then reported on projects he is working on: a follow-up to the publication on the Changing Face of the Massachusetts Classroom, the Star Schools Proposal, and efforts to sign a sister state agreement with Hokkaido University.

Chancellor Duffey announced that on May 17th the campus opened a new Language Resource Center which was funded by a grant from the Mellon Foundation. This Center provides live television reception from Europe, Latin America and Asia for language students.

He reported that the annual fund this year will meet the goal of $2.2 million. Last year, the fund achieved a goal of $2 million, the year before $1.75 million and before that $1.5 million.

He, too, spoke to the effects the FY89 budget would have at the Amherst campus.

Provost Diener reported on the recent Commencement exercises and announced that the University graduated its first Ph.D. student in Environmental Sciences.

Chancellor Laster said the 15th Commencement Exercises would be held on Sunday, June 5th with Robert Coles as the speaker.

Trustee Zervas then gave the report of the Committee on Academic and Student Affairs. The first item was Awards with Tenure--UM/Amherst, UM/Boston, UM/Worcester. It was moved, seconded and

VOTED: To concur with the President in his appointment of Sherry H. Penney as Professor of American Civilization with tenure at the University of Massachusetts at Boston. (Doc. T88-053)

Trustee McBay abstained.

It was further

VOTED: To concur with the President in the award of tenure to the following individuals:

University of Massachusetts at Amherst

David E. Alexander, Associate Professor of Geology and Geography
Roberta Day, Associate Professor of Chemistry
Jonathan L. Machta, Associate Professor of Physics and Astronomy
Sut Jhally, Associate Professor of Communication
Donald L. Fisher, Associate Professor of Industrial Engineering and Operations Research
Daniel H. Shanbert, Associate Professor of Electrical and Computer Engineering
Birger Erik Ydstie, Associate Professor of Chemical Engineering
Michael Marcotrigiano, Associate Professor of Plant and Soil Sciences

University of Massachusetts at Boston

Terrence McLarney, Associate Professor, Law Center, CPCS
Miren Uriarte, Associate Professor of Human Services
Barbara Signer, Associate Professor of Mathematics Education/Computer-Based Education

University of Massachusetts at Worcester

Russell A. Barkley, Professor of Psychiatry
John P. Mordes, Associate Professor of Medicine (Doc. T88-028)

The next item was the Appointment of Yung-Ping Chen as Professor of Gerontology with Tenure and as the Frank J. Manning Eminent Scholar in Social Gerontology--UM/Boston. It was moved, seconded and

VOTED: To concur with the appointment by the President of Yung-Ping Chen as Professor of Gerontology with tenure and Frank J. Manning Eminent Scholar in Social Gerontology. (Doc. T88-029)

The Board then considered the Appointment of Dr. Paul Mariani as Distinguished University

Professor--UM/Amherst. It was moved, seconded and

VOTED: To concur with the President in the appointment of Paul Mariani as Distinguished University Professor of English. (Doc. T88-030)

The next three items were Changes in Nomenclature--UM/Boston, UM/Worcester. It was moved, seconded and

VOTED: To change the name of the School of Nursing at the University of Massachusetts at Boston to the College of Nursing;

and further,

To change the name of the Institute for Learning and Teaching, also at Boston, to the College of Education;

and further,

To change the name of the Department of Anatomy at the University of Massachusetts Medical School to the Department of Cell Biology. (Doc. T88-031)

Trustee Zervas then presented the Proposal for a Ph.D. in Public Policy--UM/Boston. Professor Barry Bluestone commented that the first entering class would be in fall of 1990. He added that additional funding is being sought for the program from the private sector particularly for fellowships. It was moved, seconded and

VOTED: To approve, subject to the concurrence of the Board of Regents, the Ph.D. Program in Public Policy at the University of Massachusetts at Boston as described in Doc. T88-033.

The last item presented was Graduation Lists--UM/Amherst, UM/Worcester. It was moved, seconded and

VOTED: To approve the May, 1988 graduation list of the University of Massachusetts at Amherst and the June, 1988 graduation list of the University of Massachusetts at Worcester subject to the completion of all requirements as certified by the Recorder of the campus. (Doc. T88-001B)

Trustee Zervas reported that the Committee had heard a presentation from Joan Diver, who represented students from the College of Public and Community Service, about the deteriorated physical conditions and the demoralizing nature of the program and building. She added that the Committee agreed to review the issue again.

Trustee Oakes then gave the report of the Committee on Budget and Financial Affairs which met May 27, 1988. The first item on the agenda was Tuition Charges for the next academic year. It was moved, seconded and

VOTED: To approve the Tuition charges for the 1988-89 Academic Year as set forth below; provided, however, that the President is authorized to reduce the tuition charges if the Chancellor of the Board of Regents exercises his discretion as authorized by the Board of Regents:

A. Resident Tuition Per Year

	AMHERST	BOSTON	WORCESTER
Undergraduate	$1,404	$1,404	n/a
Graduate	$1,758	$1,758	$6,378

B. Nonresident Tuition Per Year

	AMHERST	BOSTON	WORCESTER
Undergraduate	$4,836	$4,836	n/a
Graduate	$5,070	$5,070	n/a

The next item dealt with University Health Systems. Trustee Oakes said that before the Board today was a request for two loans to University Health Systems, Inc. One of the loans was to allow UMed, a wholly owned subsidiary of UHS, to invest in a home health care project in partnership with three other health care providers in the Worcester area. The amount of the loan would be $250,000 which would be obtained from a commercial bank with the University's guarantee. The other $250,000 loan was to allow UHS the funding necessary to continue in its second year of operation. The contingency placed on both loans was a requirement of a $75,000 repayment of a loan previously approved by the Board that was used in the MRI project. The total outstanding amount would be $725,000.

It was moved, seconded and

VOTED: To approve a loan of $250,000 from the Hospital Trust Fund to University Health Systems of New England, Inc., which shall be in the form of a demand note, for the second year of operation.

The interest rate shall be consistent with University on-going cash investment rates, and the terms and conditions of the demand note shall be subject to approval by the Vice President of Management, General Counsel, the Chancellor of the Medical Center, and the University Health Systems Board of Directors.

Further, to approve a loan of up to $250,000 from the University which shall be in the form of a demand note to UMed for the purpose of investment in a home care partnership program or to provide a loan guarantee on a loan from a commercial bank to UMed. The interest rate shall be consistent with University on-going cash investment rates, and the terms and conditions of the demand note or loan guarantee shall be subject to approval by the Vice President for Management, General Counsel, the Chancellor of the Medical Center, and the the University Health Systems Board of Directors.

Provided, further, that prior to said loans being made, a repayment of $75,000 shall be received on a loan approved by the Board February 2, 1986 (Doc. T86-013)

The next item was Request for an Overscale Salary for Dr. Yung-Ping Chen--UM/Boston. It was moved, seconded and

VOTED: To approve an overscale salary of $72,000 for Dr. Yung-Ping Chen, Professor and Frank J. Manning Eminent Scholar in Social Gerontology at the University of Massachusetts at Boston. (Doc. T88-029, Addendum 1)

The next item would increase the Salary Range for the 12 month Department Chairs at the Medical Center commensurate with increases already approved by the Board and authorize the President to increase these ranges as the Trustees determine. It was moved, seconded and

VOTED: To concur with the President in raising the salary range for the Chairman A Schedule (12-month department chairs) at the University of Massachusetts Medical Center, as described in Doc. T88-048; further, to delegate to the President beginning FY90, the authority to raise this salary range along with other University salary ranges in accordance with Trustee-determined salary increases. Further, to delegate to the President beginning FY89 the authority to designate overscale salaries to chairpersons during their tenure as chair. (Doc. T81-068, T81-070 and T84-108)

The Committee then recommended a new Travel Policy which allows for reimbursement of parking and tolls on business related travel in addition to the current reimbursement for mileage. The other change established a per diem rate for meal reimbursement. The Committee recommended that the per diem rate be deferred for implementation until FY90 given the current budget constraints.

It was moved, seconded and

VOTED: To approve the University Travel Policy contained in Doc. T88-043, for implementation at the beginning of FY89; however, page 6, the change in the per diem rate, be implemented for FY90; further, to rescind the Travel Policy contained in Doc. T78-053, approved by the Board February 1, 1978.

The next two items dealt with the Residential Housing at Amherst.

In the Prince House Residential Contract the change was to increase the prepayment charge from $50 to 100. It was moved, seconded and

VOTED: To approve the revisions to the Prince House Residential Contract including an increase in the prepayment charge from $50.00 to $100.00 as contained in Doc. T80-045B.

The changes in the Residential Contract included technical changes approved by the students and recommended by the campus. It was moved, seconded

VOTED: To approve the changes in the Residential Contract at the University of Massachusetts at Amherst contained in Doc. T79-034C.

The next item was changes to the Executive Compensation. The recommended action was to increase the housing allowance for the President and Chancellors to $1,600 per month. Further, the annuity language was changed to conform with the change in state law which eliminated the 1978 provision that stipulated for employees hired after January 1, 1979 the maximum salary upon which the retirement allowance could be based was $30,000.

It was moved, seconded and

VOTED: To amend the Board votes of 10/3/84 and 4/8/87 on Executive Compensation to increase the housing allowance for the President and Chancellors to $1,600 per month. (Doc. T87-032)

And further,

To amend the policy on Executive Compensation (Doc. T87-032, Addendum 1) as follows:

By deleting the last sentence under the category Annuity and inserting in place thereof the following:

These amounts shall not exceed from University funds 7% of the amount of annual base salary in excess of $30,000 paid to an annuity of the incumbent's choice.

Next on the agenda was the FY89 Preliminary Audit Plan. It was moved, seconded and

VOTED: To approve the FY89 Audit Plan contained in Doc. T88-050.

and,

VOTED: To accept the following audits:

University Health Services--UM/Amherst (Doc. T88-044)
Medical School Teaching Hospital Trust Fund (Doc. T88-045)
Medical School Group Practice Plan (Doc. T88-046)
Medical Center Self-Insurance Trust Fund (Doc. T88-047)

Chair Knowles then gave the report of the Executive Committee. The first item on the agenda was approval of the Hospital Management Board Minutes. It was moved, seconded and

VOTED: To approve the minutes of the February 17, and March 16, 1988, meetings of the Hospital Management Board of the University of Massachusetts Medical Center including the January and February 1988 unaudited financial statements, and the respective appointments of Barbara Greenberg, Raul A. Laborde, John F. Scott, Ph.D., and William C. Sullivan as members of the Hospital Management Board. (Doc. T88-039)

The next item was to Establish the Position of Dean of the College of Education--UM/Boston. It was moved, seconded and

VOTED: To establish the position of Dean of the College of Education at the University of Massachusetts at Boston. (Doc. T88-031)

The next item was a request from the Amherst campus to grant a waiver to Mr. Franklyn Donant, Director of Student Activities, to serve the required full six months following his return from a four and one-half month professional improvement leave. Mr. Donant returned from his leave effective March 20, 1988 and wishes to terminate his employment June 25, 1988. It was moved, seconded and

VOTED: To waive the requirement that Franklyn Donant serve six full months at the University of Massachusetts at Amherst following the expiration of his professional leave. (Doc. T88-042)

Trustee DiCara then presented the Recommendations of the SubCommittee on Governance. In summary, the revisions acknowledge the roles of the Board of Regents and the Division of Capital Planning, clarifies the relationship between the President, the Board of Trustees and Search Committees in the appointment of the campus Chancellors and brings the By-Laws and Governance document into conformity. In addition, there is a requirement to review the Governance Document in five years.

It was moved, seconded and

VOTED: To amend Doc. T73-098, as amended, as follows:

(a) By striking out the paragraph immediately following Section II and inserting in place thereof the following: -

Consistent with Chapter 15A and pursuant to Chapter 75 of the General Laws of the Commonwealth, the Board of Trustees may establish general policies governing the University. The authority of the Board shall include, but is not limited to, the following specific powers:

(b) By striking out Section II.A.4 and inserting in place thereof the following:

4. The Trustees will appoint the President, the Chancellors, the Treasurer, and the Secretary of the University, set their salaries, and periodically evaluate their performance.

When appointing the President, the Board will seek nominations from a broadly representative search committee appointed by the Board. The Board will determine the charge to and composition of the search committee after seeking the recommendations of the appropriate campus governing body(s) and, when appropriate, other components of the University. The Board will appoint faculty and student representatives to the search committee upon nomination by the appropriate governing body(s).

When appointing a Chancellor, the Board will seek nominations from a broadly representative search committee appointed by the Chair of the Trustees in consultation with the President. The Chair will determine the charge to and composition of the search committee after seeking the recommendations of the appropriate campus governing body(s) and, when appropriate, other components of the University. The Board will appoint faculty and student representatives to the search committee upon nomination by the appropriate governing body(s). The President will recommend two or more candidates to the Board.

(c) By inserting at the end of the Section II.A.5 the following: -

, consistent with the authority vested in the Department of Capital Planning and Operations.

(d) By striking out the second sentence of the Section II.C.3 and inserting in place thereof the following: -

When appointing a Vice Chancellor with line responsibilities, a Provost, or a Dean, the Chancellor will seek nominations from a broadly representative search committee.

(e) By striking out Section III and relettering the remaining sections.

(f) By striking out the second sentence in Section IV, as relettered, and inserting in place thereof the following: -

The Board of Trustees will review the foregoing policies and procedures every five years.

The last item was an added item to the agenda and was to Establish the Position of Deputy Chancellor for Financial Affairs--UM/Worcester. Chancellor Laster spoke to the current organizational structure at the Medical Center and said this position would be equivalent to that of Chief Financial Officer in a Corporation. The individual would not supplant or diminish the activities of the financial individuals in the various components.

It was moved, seconded and

VOTED: To establish the position of Deputy Chancellor for Financial Affairs at the University of Massachusetts Medical Center. (Doc. T88-052)

Under Other Business, Chair Knowles thanked Trustees Lopes and Wingle for their contributions throughout the year and made a presentation on behalf of the Board.

The meeting adjourned at 3:15 p.m.

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

5032t

UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE MEETING OF THE
BOARD OF TRUSTEES

Thursday, July 14, 1988; 4:00 p.m.
Thirteenth Floor Conference Room
250 Stuart Street
Boston, Massachusetts

Trustees and Trustee Staff: Chair Knowles; Trustees Carlin, DiCara, Foley, Huang, Kruczek, Oakes, Orefice, Walker and Zervas; Mrs. Fontaine, Administrative Secretary

Trustees Absent: Trustees Baker, Bowman, Haynes, Huang, Huguenin, McBay

University Administration: President Knapp; Vice Presidents Lenhardt and Smith; Mr. Searson, General Counsel

UM/Amherst: Chancellor Duffey; Mr. Page, Acting Vice Chancellor for Administration and Finance

UM/Boston: Mr. Babcock, Acting Chancellor; Mr. Baxter, Vice Chancellor for Administration and Finance

UM/Worcester: Chancellor Laster

Chair Knowles called the meeting to order at 4:10 p.m. Trustee Foley was welcomed to his first meeting as a member of the Board. The first item on the agenda was New Student Fees--UM/Amherst and UM/Boston.

President Knapp distributed a chart demonstrating the development of the FY89 Budget as it passed through various levels of governance. Vice President Lenhardt then described the chart.

Chancellor Duffey noted that the proposed instructional fee had been considered for a number of years. The additional revenue will enable the campus to complete implementation of its general education program. Acting Chancellor Babcock then described the measures taken at the Harbor campus to cope with the shortfall.

Chair Knowles then called for responses from the students. Trustee Orefice introduced Michael Greiner and Jason Rabinowitz, students from the Amherst campus, who expressed concerns over the proposed fee. In response to one student's remarks, Chair Knowles stressed that the fee was for one year only, an extension of the fee would need Board approval. Trustee Walker then expressed the opinions of students on the Boston campus.

After further discussion, it was moved, seconded and

VOTED: To approve a $115 per semester emergency instructional support fee at the Amherst campus and a $65 per semester emergency instructional fee at the Boston campus for the purposes set forth in Doc. T88-063.

Further, to approve the establishment of Instructional Support Trust Funds at the Amherst and Boston campuses for the purposes set forth in Doc. T88-063.

Trustees Orefice and Walker voted against the motion.

The next item on the agenda was Tuition Retention. After discussion, it was moved, seconded and

VOTED: To approve, in accordance with Section 11, Chapter 75 of the Massachusetts General Laws, the establishment of a fund entitled the "University of Massachusetts Tuition Retention Fund." The purpose of this fund is to receive and disburse the funds generated from a tuition increase that was voted by the Board of Trustees for FY1989. The fund will be subject to all rules and regulations contained in the Trust Fund Management Policy (Doc. T83-008). Establishment of the fund is contingent upon final enactment in the FY1989 state budget act of the provision contained in Item 7100-1111 of Section 2C of the House and Senate Conference Committee recommendations for the FY1989 budget and will operate in accordance with regulations established by the Board of Regents. The Tuition Retention Fund will not be used for permanent (01) salaries. (Doc. T88-064)

The final item was FY89 Operating Budget. After discussion, it was moved, seconded and

VOTED: To authorize the President to approve and adopt the policies and procedures governing the allocation and expenditure of state and non-state funds by the University from July 1, 1988 until such time as the Board of Trustees adopts the Operating Budget for Fiscal Year 1989.

There was no further business to come before the Board and the meeting was adjourned at 5:25 p.m.

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

5129t

UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE MEETING OF THE
BOARD OF TRUSTEES

Wednesday, August 3, 1988; 4:00 p.m.
Wareham Field Station
Glen Charlie Road
Wareham, Massachusetts

Trustees and Trustee Staff: Chair Knowles; Trustees Baker, Carlin, DiCara, Foley, Haynes, Huang, Huguenin, Kruczek, McBay, Orefice, Walker and Zervas; Mrs. Fontaine, Administrative Secretary

Trustees Absent: Trustees Bowman, Oakes

University Administration: President Knapp; Vice Presidents Lenhardt, Smith and Willits; Ms. Napora, Asst. Vice President; Mr. Searson, General Counsel; Mr. Bench, First Associate General Counsel; Mr. Wolcott, Sr. Director of Auditing

UM/Amherst: Chancellor Duffey; Provost O'Brien;

UM/Boston: Chancellor-Elect Penney; Mr. Babcock, Acting Chancellor; Provost Deiner; Mr. Baxter, Vice Chancellor for Administration and Finance; Professor Hogarty, Faculty Representative

UM/Worcester: Chancellor Laster; Professor Cooke, Faculty Representative

Chair Knowles convened the meeting at 2:05 p.m.

The first item dealt with was consideration of the minutes of the prior meetings of the Board. It was moved, seconded and

VOTED: To approve the minutes of the May 17, 1988 and June 1, 1988 meetings of the Board.

Chair Knowles began his report by welcoming Dr. Sherry H. Penney, Chancellor at the Boston campus.

He then introduced Mr. Irving Demoranville, Director of the Wareham Experiment Station, who spoke about the activities and projects at the station.

Chair Knowles then introduced and welcomed to the Board of Trustees Judith Baker, Michael Foley, Robert Haynes, Alex Walker, Stephanie Orefice and Michael Kruczek.

President Knapp, as part of his report, gave an overview of the FY89 Budget and the plans to deal with the estimated shortfall. He then outlined the capital projects for the University.

Chancellor Duffey pointed out that the curriculum fee revenue will not be used to meet the shortfall but to enhance and respond to needs in undergraduate education that have not been funded. He announced that Professor Max Roache had received the first MacArthur Foundation Grant, a five year grant of $372,000. Professor Roache plans to use the funds to establish a small school in Brookline for the indentification and training of talented musicians.

Acting Chancellor Babcock spoke to the Capital Budget renovations at Boston including 250 Stuart Street and the planning and design of Student Union Building. He reported that during the summer a number of workshops had been held including one supported by an NSF grant involving 75 teachers and science and education faculty from the University.

Chancellor Laster said the Capital Funds for the construction of the Ambulatory Care Clinic is a singular step forward for the campus and one that is engendering a great sense of excitement and optimism for the future. It will be important for patient care, the demand for care, and it will also enhance the teaching of clinical medicine which is moving from the bedside to the clinic at a great rate because of the insurance mechanisms.

He also announced that the Center is in the final stages of the evaluation of responses to an RFP for leasing research space for the institution.

Trustee Zervas then gave the report of the Committee of Academic and Students Affairs and indicated the affirmative action profile for the twenty-eight Tenure Recommendations was:

	NUMBER	FEMALE	MINORITY
Amherst	16	6	2
Boston	10	3	3
Worcester	2	0	1
Total	28	9	6

It was moved, seconded and

VOTED: To concur with the President in the appointment with tenure of the following individuals:

University of Massachusetts at Amherst:

Douglas L. Anderton as Associate Professor of Sociology
Shlomo Barnoon as Associate Professor of Public Health
Samuel R. Delaney as Professor of Comparative Literature
Marilyn Haring-Hidore as Professor of Education
William Kerrigan as Professor of English

University of Massachusetts at Boston:

Francis G. Caro as Professor of Gerontology
Brenda Cherry as Professor of Nursing
Thomas Ferguson as Professor of Political Science
Jemadari Kamara as Associate Professor of Community Planning
Elizabeth Anne McCauley as Associate Professor of Art

(Doc. T88-057)

And,

VOTED: To concur with the President in the award of tenure to the following individuals:

University of Massachusetts at Amherst:

Robert W. Jackson as Associate Professor of Electrical and Computer Engineering
Peter A. Monson as Associate Professor Chemical Engineering
Margaret Shaw as Associate Professor of Hotel, Restaurant and Travel Administration
Virginia B. Vincenti as Associate Professor of Home Economics
Jay R. Berkovitz as Associate Professor of Judaic and Near Eastern Studies
John Buonaccorsi as Associate Professor of Mathematics and Statistics
Marla Brassard as Associate Professor of Education
Sonia Nito as Associate Professor of Education
Kathleen Debevec as Associate Professor of Marketing
Ronald Karren as Associate Professor of Management
Lawrence Zacharias as Associate Professor of Management

University of Massachusetts at Boston:

Kenneth Campbell as Associate Professor of Biology
Arthur Millman as Associate Professor of Philosophy
Philip Quaglieri as Associate Professor of Management
Leon Zurawicki as Associate Professor of Marketing
Bette Woody as Associate Professor of General Education

University of Massachusetts at Worcester:

W. Thomas Edwards as Associate Professor of Anesthesiology
David A. Phillips as Associate Professor of Radiology

(Doc. T88-054)

The next item on the agenda was the Proposal for a B.F.A. in Architectural Studies at UM/Amherst.

It was moved, seconded and

VOTED: To approve, subject to the concurrence of the Board of Regents, the B.F.A. Program in Architectural Studies at the University of Massachusetts at Amherst as described in Doc. T88-036.

The Board then considered the B.A. in Dance at UM/Amherst.

It was moved, seconded and

VOTED: To approve, subject to the concurrence of the Board of Regents, the B.A. Program in Dance at the University of Massachusetts at Amherst as described in Doc. T88-037.

Graduation Lists from the Worcester campus were then considered. There two candidates for the Doctor of Philosophy in Medical Sciences and one candidate for the Master of Science in Medical Sciences.

It was moved, seconded and

VOTED: To approve the Medical School Graduation Lists contained in Doc. T88-001c.

Trustee Zervas then said the Committee discussed the proposal for Ph.D. in Geography from the Amherst campus and the Faculty Grievance Procedure at the Worcester campus, both will be on the agenda for the next committee meeting.

Chair Knowles reported on the July 19th Affirmative Action Committee meeting. The only item on the agenda for approval, was the Affirmative Action Plans at Worcester and the President's Office.

It was moved, seconded and

VOTED: To approve the Affirmative Action Plan for the University of Massachusetts at Worcester (Doc. T88-040) and the Plan for the Office of the President. (Doc. T88-041)

Chair Knowles, reporting for Trustee Oakes, gave the Budget and Financial Affairs Committee report. The first item on the agenda was Telecommunications. He reported that the committee discussed at length the development of the project and the award of the contract to Ericsson Information Systems, the lowest qualified bidder.

The Student Resident Hall fee at Amherst which will be effective in 1990 will be capped at $100. Also, at the Amherst campus there was a commitment to increase the number of public access workstations for off-campus students and to negotiate favorable student prices for microcomputer equipment.

After discussion, it was moved, seconded and

VOTED: The Board of Trustees hereby:

Accepts the recommendation of the Tri-Campus Committee on Telecommunications as presented in the January 5, 1988 letter from Martin A. Smith, Chairperson of the University Telecommunications Committee to Vice President Stephen Lenhardt. "The committee is recommending Ericsson Information Systems, a Division of Ericsson Systems, Inc., as the lowest qualified bidder meeting the University of Massachusetts specification for a fully integrated University-wide digital communications system capable of handling voice and data across the University of Massachusetts network."

Approves award of a contract for the purchase and installation of a University-wide multi-campus telecommunications system for the University of Massachusetts to Ericsson Information Systems, a division of Ericsson Systems, Inc., based upon the RFP document and vendor's bid response on Project No. UMA 87-18.

Approves the proposed plan for financing the Telecommunications System as described in the document from Public Resources Advisory Group.

(i) Authorizes President Knapp to execute and deliver, behalf of the University of Massachusetts, a Lease-Purchase Agreement between the University of Massachusetts and Ericsson Information Systems, substantially in the form attached hereto as Document T85-060A, with such amendments and modifications as he may approve, his execution thereof conclusively to identify the same as being the Lease-Purchase Agreement (with approved changes, it any) authorized by this vote; and (ii) to execute and deliver or consent to and approve, on behalf of the University of Massachusetts, any other documents necessary or desirable to make effective the transaction contemplated in said Agreement.

Trustees Carlin and DiCara are recorded as opposed.

The next item on the agenda was FY89 Revenue Based Budgets which include non-student fee based budgets over $500,000. The Amherst proposal also included consolidation of trust funds, name changes of trust funds and the establishment of the Medical Education and Studies Trust Fund.

It was moved, seconded and

VOTED: To approve the Revenue Based Budgets of each of the three campuses contained in Doc. T88-065 and to approve the consolidation of functionally similar multipurpose trust funded activities at the University of Massachusetts at Amherst into the Academic Performance and Public Events Trust Fund, the Education Public Service Trust Fund, the Fee-For-Service Trust Fund, the Auxiliary Enterprises Trust Fund and the Laboratory Animal Care Trust Fund for the purposes set forth in Doc. T88-065.

And further,

To change the name of the Horace M. Bond Center for Equal Education Trust Fund at the University of Massachusetts at Amherst to the Equity and Excellence Trust Fund and to approve the revised Statement of Trust as contained in Doc. T88-065.

And,

To change the name of the Valley Health Plan Trust Fund at the University of Massachusetts at Amherst to the Kaiser Permanente Trust Fund and to approve the revised Statement of Trust as contained in Doc. T88-065

And further,

To change the name of the Research Council Trust Fund at the University of Massachusetts at Amherst to the Research Support Services Trust Fund. (Doc. T76-085A)

And,

To establish the Medical Education and Studies Trust Fund at the University of Massachusetts at Amherst which self-supporting trust fund shall have as its purpose on-going medical education of University Health Systems physicians and clinicians; revenue derived from stipends and honoraria are expended to provide the education. (Doc. T88-065)

The University of Massachusetts Medical Center Hospital FY89 Budget was then considered. The projected revenue of $143.20 million was based on a 15% rate increase. After discussion of the FTE included in the budget, it was moved, seconded and

VOTED: To approve the FY89 Budget of the University of Massachusetts Medical Center Hospital and the 15% rate increase for FY89 (Doc. T88-065)

The next item on the agenda was the FY89 Group Practice Budget.

It was moved, seconded and

VOTED: To approve the FY89 Group Practice Budget contained in Doc. T88-065.

Then considered was Motor Vehicle Fines at the Amherst campus.

It was moved, seconded and

VOTED: To approve the schedule of fines for parking offenses at the Amherst campus, as set forth in Doc. T82-023B, pending approval of the Motor Vehicle Rules and Regulations amendments at the October meeting of the Board.

Personnel Actions at the Boston campus were then considered.

It was moved, seconded and

VOTED: To approve an overscale salary of $82,500 for Brenda Cherry, Dean of the College of Nursing at the University of Massachusetts at Boston. (Doc. T88-061, Addendum 1);

And further,

To approve an overscale salary of $75,000 for Jemadari Kamara, Dean of the College of Public and Community Service. (Doc. T88-062, Addendum 1)

The next action item on the agenda was a Modification to the Tuition Waiver Policy to expand support for exceptionally talented students with demonstrated outstanding ability in community service, academics, athletics or the arts.

The proposed amendment would:

1. Increase the number of tuition waivers for exceptionally talented students from 100 to 135 for the Amherst campus

2. Allow up to one-third of the waivers at the Amherst campus to be granted to out-of-state students

It was moved, seconded and

VOTED: Subject to the concurrence of the Board of Regents, to amend the Tuition Waiver Policies (Doc. T83-098) by striking the number "100" in the first line of Section 4.2, and inserting the number "135" and further, to add the following after the word "Trustees" in line 10: "At the Amherst campus up to one-third of said waivers may be granted to out-of-state students."

Then considered were Audits.

It was moved, seconded and

VOTED: To accept the following audits:

Internal Audits
University Health Center Pharmacy--UM/Amherst (Doc. T88-067)

Chancellors (Dean's) Fund--UM/Worcester (Doc. T88-068)

External Audits
Teaching Hospital Trust Fund--UM/Worcester (Doc. T88-069)
Group Practice Plan--UM/Worcester (Doc. T88-070)

Chair Knowles then gave the report of the Executive Committee. The first item on the agenda was Changes in Trustee By-Laws which parallel revisions made in the Governance Document at the June meeting.

It was moved, seconded and

VOTED: To amend the By-Laws of the Board of Trustees (Doc. T81-028C, as amended) as follows:

(a) By striking out Article III, section 5(f) and inserting in place thereof the following: -

(f) To consider the appointment of the President and the Chancellors of the University and make recommendations to the Board with respect thereto.

(b) By striking out Article III, Section 5(g) and inserting in place thereof the following: -

(g) To consider appointments by the President of the Vice Presidents, and make recommendations to the Board with respect to concurrence therewith; to consider and make recommendations on all appointments of academic and other administrative officers which may require the concurrence of the Board.

The next item was approval of the Hospital Management Board Minutes of April 20th and May 18th including the reappointment of Ralph Crowley, Angela Dorenkamp, Ronald Teixeira, Andrew Knowles, James Carlin, Richard Huguenin, and Arthur Pappas as members of the Board of Directors for University Health Systems, Inc.

It was moved, seconded and

VOTED: To approve the minutes of the Hospital Management Board's meetings of April 20 and May 18, 1988 and the reappointments to the Board of University Health Systems, Inc. (Doc. T88-058)

Delegations of Authority required annually by the Department of Public Health were then considered.

It was moved, seconded and

VOTED: To reaffirm the following Board Votes:

Board vote of December 6, 1978:

To reaffirm the delegations of authority to the Treasurer set forth in Doc. T78-126, viz:

1. Custody of investment of endowment funds
2. Investment of short-term cash "float"

3. Acquisition of and sale of real property on behalf of the University
4. Financial relationship with the University of Massachusetts Building Authority and the the University of Massachusetts Building Association
5. Official signatory for University checks
6. University banking relationships including control of opening and closing bank accounts
7. Addition of accounts and funds to financial system
8. Fire, casualty and liability insurance
9. Contract and grant signatory.

Board vote of October October 13, 1981:

To affirm that the Treasurer of the University of Massachusetts or his designee, shall be the sole contracting officer of the University with the authority to execute all contracts, grants, restricted gifts (excluding endowments), and amendments thereto for sponsored program in instruction, research, or public service, unless and until otherwise voted by the Board of Trustees.

Board votes of August 7, 1985:

a. To authorize the Chancellor of the University of Massachusetts at Worcester, in consultation with the Office of the President, to negotiate and execute contracts developed in accordance with the provisions of the January 8, 1976 Interagency Agreement (Doc. T76-031C) or as may be amended by consent of all parties thereto; further, to authorize the Chancellor to delegate to the Dean the authority to execute such agreements and contracts in the absence of the Chancellor.

b. To authorize the Chancellor of the Medical Center to execute on behalf of the University in FY1979 and subsequent years such Hospital, Reimbursement Agreements with Blue Cross of Massachusetts, Inc., and/or its successor as are substantially similar in concept and reimbursement mechanisms as are now in effect and as may be required for participation in the Blue Cross service plan whereby payment for hospital care, treatment, and reimbursement for other health services are provided by Blue Cross to members of such plan.

c. Further, to authorize the Chancellor of the University of Massachusetts Medical Center to delegate to the Dean of the University of Massachusetts at Worcester the authority to execute such agreements and contracts in the absence of the Chancellor. (Doc. T82-072)

Then considered was a request from the Boston campus to name one of the two auditoriums in Wheatley Hall In Honor of Muriel S. Snowden. Mrs. Snowden is a distinguished citizen of the Commonwealth and a former Trustee of the University of Massachusetts.

It was moved, seconded and

VOTED: To name the auditorium in Wheatley Hall at the University of Massachusetts at Boston the Muriel C. Snowden Auditorium, this to honor a distinguished citizen of the Commonwealth and a former member of this Board. (Doc. T88-059)

Revisions to the Residency Requirements--UM/Amherst were then considered. The revisions clarify language and make the procedures more efficient.

It was moved, seconded and

VOTED: To approve the revised Rules and Regulations Governing the Residency Status of Students for Tuition Purposes set forth in Doc. T80-002B.

The next item on the agenda was the Appointment of Dr. Brenda Cherry as Dean of the School of Nursing--UM/Boston. Dr. Cherry is currently Associate Dean at George Mason University School of Nursing.

It was moved, seconded and

VOTED: To concur with the President in the appointment of Brenda Cherry as Dean of the School of Nursing at the University of Massachusetts at Boston, effective January 1, 1989. (Doc. T88-061)

Acting Chancellor Babcock also reviewed the background of Jemadari Kamara Recommended for Appointment as Dean of the College of Public and Community Service. Dr. Kamara is currently director of the Afro-American Studies Program and Assistant Professor of Afro-American Studies and Political Science at the University of Michigan -- Flint.

It was moved, seconded and

VOTED: To concur with the President in the appointment of Jemadari Kamara as Dean of the College of Public and Community Service at the University of Massachusetts at Boston effective September 1, 1988. (Doc. T88-062)

The next item was to endorse the Amherst campus' ban on alcohol at outdoor events and modifications to the Alcoholic Beverage Policy to reflect changes which have occurred since the Board adopted the Policy.

After discussion of the definition of outdoor events was moved, seconded and

VOTED: To approve the recently instituted ba[n] at outdoor events on the Amherst cam[pus] modifications of the Alcoholic Bevera[ge Policy] contained in Doc. T74-081B.

Under other business, the Board considered a request name the new Polymer Research Center on the Amherst campus Congressman Silvio Conte who was chiefly responsible for the appropriation of nearly $20 million in federal funds for the project.

It was moved, seconded and

VOTED: To name the Polymer Research Center at the University of Massachusetts at Amherst in honor of Congressman Silvio Conte, a dedicated friend of the University and an instrumental advocate of the Polymer project. (Doc. T88-071)

Chair Knowles then called for a motion to go into Executive Session to discuss Honorary Degrees. The time was 3:30 p.m. Chair Knowles voted for the motion as did Trustees Baker, Carlin, DiCara, Foley, Haynes Huang, Huguenin, Kruczek, McBay, Orefice, Walker, and Zervas.

It was moved, seconded and

VOTED: To go into Executive Session.

At 3:35 p.m. the Board came out of Executive Session and

VOTED: To award honorary degrees to those individuals agreed upon in executive session and to instruct the Chancellor of the Amherst campus to proceed with the necessary arrangements.

There being no further business to come before the Board, the meeting was adjourned at 3:35 p.m.

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

5216t

UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE MEETING OF THE
BOARD OF TRUSTEES

Wednesday, October 5, 1988; 2:00 p.m.
Massachusetts Microelectronics Center
Classroom Building
Room 107
Westborough, Massachusetts

Trustees and Trustee Staff: Chair Knowles; Trustees Bowman, Carlin, DiCara, Huang, Huguenin, Kruczek, Oakes, Orefice, Reed, Teixeira, Walker and Zervas; Mrs. Fontaine, Administrative Secretary

Trustees Absent: Trustees Baker, Foley, Haynes and McBay

University Administration: President Knapp; Vice Presidents Lenhardt and Smith; General Counsel Searson; Treasurer Brand; Mr. Wolcott, Director of Auditing

UM/Amherst: Chancellor Duffey

UM/Boston: Chancellor Penney; Mr. Baxter, Vice Chancellor for Administration and Finance; Professor Hogarty, Faculty Representative

UM/Worcester: Chancellor Laster; Professor Cooke, Faculty Representative

Chair Knowles called the meeting to order at 2:05 p.m. and described the history and purpose of the Microelectronics Center. At 2:10 p.m. the Board recessed to tour the Fabrication Facility at the Center.

The Board reconvened at 2:35 p.m. and Chair Knowles welcomed Trustees Reed and Teixeira to their first meeting. He also announced the election of William L. Mahoney and Bernard J. Doherty as the Amherst Alumni Trustees.

The Board then considered the Multi Purpose Convocation Center--UM/Amherst. The Budget and Financial Affairs Committee recommended the University undertake a 25 year bond issue to support construction of the Mullins Convocation Center. It was moved, seconded and

VOTED: (see attached Documents)

At 2:45 p.m. the meeting recessed so Trustees who were also members of the Building Authority could leave to join the Building Authority meeting in progress. The meeting reconvened at 2:50 p.m.

The Board then considered the minutes of prior meetings of the Board. Trustee Orefice asked that the minutes of the August 3, 1988 meeting be clarified to include under the item, Telecommunications, that the Student Residence Hall fee at UM/Amherst which will be effective in 1990 will be capped at $100 subject to a yearly 5% cost-of-living adjustment.

President Knapp began his report by saying that the University is assessing the impact of the FY89 budget upon the operation of the institutions. The steps taken include restricting enrollment, cancelling sections of classes and positions have been held vacant. Planning for the FY90 budget is in process, with submissions being forwarded to the Board of Regents.

He also reported that the State Auditor has issued an audit report on Bridgewater State College which makes recommendations related to its Foundation and Alumni Association and their operations, and this report could have considerable impact upon how the University Foundation relates to the University and its general operations.

Chancellor Duffey reported that on Wednesday, October 12th a special convocation entitled "Three and a Half Billion Years of Life on Earth" would be held with three of the world's most renowned life scientists and evolutionary biologists. The special convocation will introduce to the University community Lynn Margulis, a Distinguished University Professor of Botany and William G. Chaloner, who was recently appointed a Wilmer D. Barrett Professor of Botany and Peter H. Raven, who is Director of the Missouri Botanical Garden and Engelmann Professor of Botany at Washington University, St. Louis. Dr. Raven will be honored with an honorary degree

Chancellor Penney reported on the successful Convocation held on the campus September 14th. She announced that the radio station WUMB/FM, a public station, has received the 1988 Corporation for Public Broadcasting Local Radio Development Award for its overall excellence in promoting and advertising of the program "Black Expression/The Quiet Storm."

In concluding her report, she spoke about grants and contracts received by the Faculty: Alan Clarke

has received $204,570 in support of the ACCESS program, $168,037 to support the Veteran's Education Program and also $117,824 to aid in the Talent Program. Professor Wornie Reed, Director of the Trotter Institute has received a grant of $39,100 from the Morris Falk Medical Foundation to study the health status of black americans. Professors Richard White and Ruth Bennett of the Biology Department have received an award of $58,427 from the National Science Foundation to study the Functional Development of the Insect Visual System. Professor James Case has received $75,000 from the City of Boston to support the adult literacy Institute. Maryellen Colton, from the Center of Survey Research in association with Professor Sue Gore of Sociology has been awarded $187,098 by the National Institute of Mental Health to support a project on gender stress and coping. Professor Donaldo Macedo has received a grant totalling $379,875 to work on Bilingual education programs. Professor Robert Morris from Mathematics has a grant $80,217 from the National Science Foundation to study Digital Typography.

Chancellor Laster then reported that the campus will receive a total of $35 million for the current year in sponsored research grants. He also reported that the campus has been designated as one of the six centers in the country to create, if possible, a vaccine against the AID's virus.

He announced plans for a Convocation entitled "Serving Humanity a Rededication" on November 22nd. Dr. Arnold Relman, editor, New England Journal of Medicine will be keynote speaker. Invitations will be sent out at a later date.

He announced that the Center has purchased a Lithotriper, a device used to destroy gallstones. This experimental device will put the Medical Center in a lead role in the evaluation of this technology and will open up additional clinical capabilities on the campus.

Trustee Zervas then gave the report of the Committee on Academic and Student Affairs. The first item on the agenda was Awards of Tenure--UM/Amherst and UM/Boston. It was moved, seconded and

VOTED: To concur with the President in the award of tenure to Kei May Lau as Associate Professor of Electrical and Computer Engineering at the University of Massachusetts at Amherst;

Further,

> To concur with the President in the award of tenure to Emilie Steele as Associate Professor of Applied Language and Mathematics at the College of Public and Community Service. (Doc. t88-072)

The next item was the Establishment of a Major in Philosophy and Public Policy. She indicated there has been a strong demand for this program based on a pilot course given in 1985, "Philosophical Foundations in Public Policy." It's a distinct curriculum that has an internship in government agencies, court systems, environmental groups and health care groups and a senior year of directed study.

It was then moved, seconded and

> VOTED: To approve, subject to the concurrence of the Board of Regents, the establishment of a Major in Philosophy and Public Policy at the Boston Campus as described in Doc. T88-073.

The next item was the Ph.D. Program in Geography --UM/Amherst. It was moved, seconded and

> VOTED: To approve, subject to the concurrence of the Board of Regents, the Ph.D. Program in Geography as described in Doc. T88-055.

Next on the agenda was approval of the Graduation Lists--UM/Amherst. The list includes 283 undergraduates and 5 continuing education candidates recommended to receive degrees in September, 1988. It was moved, seconded and

> VOTED: To approve the September 1, 1988 graduation lists, Doc. T88-001D at Amherst subject to certification of completion by the Recorder of the campus.

The next item was a Change in Nomenclature --UM/Amherst. The Department of Spanish and Portuguese requests a change in the name of its Ph.D. Program from Spanish to Hispanic Literature and Linguistics. It was moved, seconded and

> VOTED: To change the name of the Ph.D. Program in Spanish and Portuguese at the Amherst campus to the Ph.D. Program in Hispanic Literature and Linguistics. (Doc. T88-066)

The last item was Approval to Establish Three Endowed Chairs at the University of Massachusetts Medical Center. The chairs are the result of a bequest of $3,000,000 from the late Richard M. Haidack. The income from the capital will be used to enhance the activities of the departments. This gift is unequaled in the history of the University.

It was moved, seconded and

VOTED: To establish the following endowed chairs at the University of Massachusetts:

The Celia and Isaac Haidak Distinguished Professorship in Medical Education; Gerald L. Haidak, M.D., first incumbent.

The Harry M. Haidak Distinguished Professorship in Surgery; H. Brownell Wheller, M.D., first incumbent.

The Richard M. Haidack Distinguished Professorship in Medicine, first incumbent will be the individual who is to be recruited as the new chair of the Department of Medicine.
All chairs will be under the terms and conditions set forth in Doc. t88-074.

She reported that the Committee also discussed the Faculty Grievance Document at the Worcester campus, the Amherst campus Code of Student Conduct, and the Amherst Mission Statement.

Trustee Oakes reported for the Committee on Budget and Financial Affairs. He said the Committee reviewed the FY89 Budget and the sources and uses of funds and how they were changing percentage wise.

It was moved, seconded and

VOTED: That the Board approve the FY89 Operating Budget set forth in Doc. T88-065.

The next item on the agenda was the William Joiner Center--UM/Boston. After discussion about the difficulties this might create in terms of overall development efforts on the campuses, it was moved, seconded and

VOTED: To direct the University Foundation to establish a restricted endowment fund for the purpose of supporting the mission and objectives of the William Joiner Center at the University of Massachusetts at Boston, and to direct the Chancellor of the Boston campus to prepare a set of guidelines and policies governing expenditures from the endowment for review and approval by the Board. Further, to appropriate $100,000 from trustee Reserve as seed money for this purpose. (Doc. T88-076)

The next item on the agenda was approval of the spending plans for the Tuition Retention Account for the University of Massachusetts at Amherst, Boston and Worcester. At Amherst, the projected receipts of $2.9 million are budgeted for three areas: teaching assistants, the library, and central campus expenses. At Boston and Worcester, the funds will be used for equipment expenditures.

It was moved, seconded and

VOTED: To approve the FY89 Spending Plans for the Tuition Retention Account for the University of Massachusetts at Amherst, Boston and Worcester as contained in Doc. T88-079.

The final item was a request to lease to the Amherst Housing Authority for 99 years a 3.5 acre parcel of land abutting Fairfield Street and Berkshire Terrace, to transfer ownership to the Amherst Housing Authority of a 33,000 square foot parcel at the corner of Olympia Drive and East Pleasant Street, and to transfer ownership to the University of one parcel of land, from the Amherst Housing Authority.

Neighborhood resident, Barbara Southworth addressed the Board about the negative impact the construction of subsidized low-income housing would have on the residential areas. On behalf of the residents she contended that the choice of the particular site was hasty, ill-advised and done without complete knowledge of the facts. She stated the University acquired the site by eminent domain in the 1960's and the area is still used for educational purposes including the campus equestrian program and a soil and percolation study by Plant and Soil Sciences Professor Daniel Hillel. She also noted that three single family houses are currently for sale in the neighborhood and suggested the Housing Authority purchase the homes to intergrate people into the

neighborhood and make them feel part of the neighborhood. Further, she suggested that the University be given the opportunity to show generosity to the town and to the state through the gift of another parcel of land which is now in its possession and is not being used for educational purposes.

Connie Krueger, Amherst Housing Planner said that the town has gone through a very intensive review process and the project was part of the town's plan to address the issue of affordable housing.

After a discussion about the concerns of the neighborhood, it was moved, seconded and

VOTED: To refer the matter of the Amherst Housing Authority to the Executive Committee for further consideration.

The vote was 7:5.

Chair Knowles then gave the report of the September 20th Executive Committee meeting. The first item on the agenda was the Minutes of the June 15, 1988 Hospital Management Board. It was moved, seconded and

VOTED: To approve the amended minutes of June 15, 1988 meeting of the Hospital Management Board of the University of Massachusetts Medical Center including the May, 1988 unaudited financial statement, and the appointments and reappointments to the Medical Staff. (Doc. T88-077)

The next item on the agenda was Faculty Grievance Procedures for the Worcester campus. The purpose is to "foster" prompt and equitable resolution of grievances by either informal or formal means.

It was moved, seconded and

VOTED: To approve the Faculty Grievance Procedure of the University of Massachusetts at Worcester contained in Doc. T88-038A.

The next item on the agenda was the Code of Student Conduct--UM/Amherst. The proposed changes were minor procedural changes and working changes to clarify the language in the Code. It was then moved, seconded and

VOTED: To approve the revisions to the Code of Student Conduct of the University of Massachusetts at Amherst contained in Doc. t86-030B, as amended.

The last item on the agenda was approval of the Motor Vehicle Rules and Regulations at the Amherst campus. It was moved, seconded and

VOTED: To approve the revisions in the Motor Vehicle Rules and Regulations of the University of Massachusetts at Amherst set forth in Doc. T82-023B.

Under Other Business, Chair Knowles announced the appointment of the Nominating Committee: Trustee Zervas, Chair, Trustees Bowman and Huguenin.

Chair Knowles called for a motion to go into Executive Session to discuss Honorary Degrees. At 4:35 p.m., it was moved, seconded and, on roll call,

VOTED: To enter Executive Session.

Chair Knowles voted for the motion as did Trustees Bowman, Carlin, DiCara, Huang, Kruczek, Oakes, Orefice, Reed, Teixeira, Walker and Zervas.

At 4:45 p.m. the Committee returned to open session and it was moved, seconded and

VOTED: To award honorary degrees to those individuals agreed upon in Executive Session and to instruct the Chancellors to proceed with the necessary arrangements.

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

5338t

RESOLUTION OF THE TRUSTEES
OF THE UNIVERSITY OF MASSACHUSETTS
APPROVING THE CONTRACT FOR FINANCIAL
ASSISTANCE, MANAGEMENT AND SERVICES 1988-A PROJECTS

RESOLVED: That the making of a Contract for Financial Assistance, Management and Services 1988-A Projects between The Commonwealth of Massachusetts, acting by and through the Trustees (the "Trustees") of the University of Massachusetts (the "University"), and University of Massachusetts Building Authority pursuant to Chapter 773 of the Acts of 1960, as amended, and pertaining to the undertaking by the Authority of projects consisting of (a) the construction at the Amherst campus of the University of a multi-purpose arena and convocation center for winter sports and other major University events (which shall be named the William D. Mullins Memorial Center) and (b) rehabilitation of and improvements to McGuirk Alumni Stadium, for which projects a request was authorized by the Trustees on October 5, 1988, be and the same hereby is authorized; that the Trustees or a majority thereof be and hereby are authorized, in the name and on behalf of The Commonwealth of Massachusetts, to sign, seal with common seal of said University and of the Trustees, acknowledge if deemed advisable,

and deliver a Contract for Financial Assistance, Management and Services 1988-A Projects in the form presented to this meeting (subject to such minor changes, insertions and omissions as may be approved by the Trustees or a majority thereof and the Chairman or Vice Chairman of the Authority) and providing for the issuance by the Authority of bonds in the maximum principal amount of $23,855,278.85 and a Maximum Project Cost of $60,000,000; that the form of such Contract for Financial Assistance, Management and Services 1988-A Projects presented to this meeting and each term, condition and provision therein contained be and the same hereby are approved, subject to such minor changes, insertions and omissions as may be approved by the Trustees or a majority thereof and the Chairman or Vice Chairman of the Authority; and that the execution of such Contract for Financial Assistance, Management and Services 1988-A Projects by the Trustees or a majority thereof shall be conclusive evidence (a) of the approval by the Trustees of any such minor changes, insertions or omissions and the authorization of the same and (b) that the form of

Contract for Financial Assistance Management and Services 1988-A Projects so executed (with approved changes, insertions and omissions, if any) is as hereby authorized and approved.

RESOLUTION OF THE TRUSTEES OF THE
UNIVERSITY OF MASSACHUSETTS AUTHORIZING
THE SIGNING OF THE GUARANTY ON THE
UNIVERSITY OF MASSACHUSETTS BUILDING AUTHORITY
PROJECT REVENUE BONDS,
SERIES 1988-A

RESOLVED: That the Chairman, Vice Chairman and Administrative Secretary to the Board of Trustees (the "Trustees") of the University of Massachusetts be and each such officer acting alone hereby is authorized, in the name and on behalf of The Commonwealth of Massachusetts, to sign by manual or facsimile signature the form of guaranty provided in the Contract for Financial Assistance, Management and Services 1988-A Projects between said Commonwealth and University of Massachusetts Building Authority dated as of October 1, 1988 on each bond issued by said Authority to finance the cost of the Projects referred to in said Contract; in case any officer of the Trustees whose signature or facsimile signature shall appear on any such bond shall cease to be such officer before the delivery thereof, such signature or facsimile signature shall nevertheless be valid and sufficient for all purposes, the same as if such officer had remained in office until such delivery.

TRUSTEES' RESOLUTION RE FEES, ETC. 1988-A PROJECTS

RESOLVED That the fees, rents, rates and other charges proposed by the University of Massachusetts Building Authority (the "Authority") to be established for Fiscal Year 1989 pursuant to the Contract for Financial Assistance, Management and Services 1988-A Projects dated as of October 1, 1988 (the "Contract") for the use of the projects of the Authority referred to therein (the "Projects"), a request for which Projects was authorized by this Board of Trustees on October 5, 1988, be and the same hereby are approved;

That in implementation of said fees, rents, rates and other charges as applicable to the Projects the mandatory fee to be paid by all full-time students and other students, as the Trustees may determine, attending the Amherst campus of the University of Massachusetts (the "University") shall be so adjusted for the purpose of providing revenues from or attributable to the use of the Projects effective for the Fiscal Year 1989 so as to be sufficient, when added to other revenues derived from the Projects and payable to the Authority pursuant to the Contract, to meet the requirements of Section 9 of Chapter 733 of the Acts of 1960, as amended, to the extent that such requirements are not otherwise satisfied by the Board of Trustees or from other funds provided by the Authority for such purpose, it being understood that all fees, rents, rates and other charges due to the Authority for fiscal year 1989 pursuant to the Contract are to be satisfied from other funds provided by the Authority.

RESOLUTION OF THE TRUSTEES
OF THE UNIVERSITY OF MASSACHUSETTS
REQUESTING INITIATION OF PROJECTS

WHEREAS University of Massachusetts Building Authority (the Authority) is authorized by Chapter 773 of the Acts of 1960, as amended, among other things, to provide dormitories, dining commons and other buildings and structures for the use of the University of Massachusetts (the University), its students, staff and their dependents and certain organizations related to the University and to initiate projects for such purposes upon written request made by authority of the Trustees (the Trustees) of the University and upon written approval from the Commissioner of Administration and the Chancellor of the Board of Regents of Higher Education; and

WHEREAS in the judgment of the Trustees it is in the best interests of the University, its students, staff and their dependents and said organizations that the Authority initiate the projects described below;

NOW, THEREFORE, BE IT RESOLVED THAT

1. David C. Knapp, president of the University, be and he hereby is authorized in the name and on behalf of the Trustees to make a written request of the Authority that the Authority initiate projects consisting of (a) the construction at the Amherst Campus of the University of a multi-purpose arena and convocation center for winter sports and other major university events, and (b) rehabilitation of and improvements to McGuirk Alumni Stadium;

2. The projects to be initiated by the Authority in accordance with the request authorized to be made in section 1 of this resolution may in the discretion of the Authority be undertaken separately or together for financing purposes; and

3. Said David C. Knapp and all other officers of the University be and they are and each acting singly is authorized and directed to request the Commissioner of

Administration and the Chancellor of the Board of Regents of Higher Education to approve in writing the undertaking by the Authority of the projects a request for which is hereby authorized and to do such other things and to take such other action as to the officer or officers so acting shall, as conclusively evidenced by their taking the action hereby authorized, deem necessary or desirable to be done or taken to carry into effect the matters hereby authorized.

UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE MEETING OF THE
BOARD OF TRUSTEES

Wednesday, December 7, 1988; 2:00 p.m.
Third Floor Meeting Room
Massachusetts State Archives
Boston, Massachusetts

Trustee and Trustee Staff: Trustee Knowles, Chair; Trustees Baker, Bowman, Carlin, DiCara, Doherty, Foley, Haynes, Huang, Kruczek, Mahoney, Oakes, Orefice, Reed, Walker, and Zervas; Mrs. Fontaine; Administrative Secretary, Ms. Warner; Deputy Secretary

Trustees Absent: Trustees Huguenin, McBay and Teixeira

University Administration: President Knapp; Vice Presidents Smith and Lenhardt; Associate Vice President Willits; Mr. Searson; General Counsel, Mr. Wolcott; Senior Director of Auditing

UM/Amherst: Chancellor Duffey; Professor Kinney, Faculty Representative

UM/Boston: Chancellor Penney, Professor Hogarty, Faculty Representative

UM/Worcester: Chancellor Laster, Provost Kelly, Professor Cooke, Faculty Representative, Dr. Eckhert, Director of Family and Community Medicine

Chair Knowles called the meeting to order at 2:10 p.m. and announced that Trustee Oakes and President Knapp were at that moment meeting with Governor Dukakis in regard to the budget crisis. He said a report would follow at the conclusion of the meeting.

The Board then heard reports from the Chancellors. Chancellor Laster reported on the creation of a program for Molecular Medicine, with a focus in Molecular Biology as it relates to human medicine, cancer, brain disease, Alzheimer's, genetics, aging, immunology and virus disease. The Department will be to be housed in the Bio-Technology Research Park and will be headed by Dr. Michael Czech, Chairman of Bio Chemistry.

He reported that the Medical School continues to explore assessments of its teaching capabilities in the process of getting ready for accreditation review in the School of Medicine and the Graduate School of

Nursing and is looking at issues related to improving the quality of teaching.

Chancellor Penney reported on recent awards and grants received by individuals at the campus including honors bestowed to Professor Barbara Luedtke, an archaeologist, for her work on preservation of materials on the Boston Harbor Islands, and Alan Clarke and Andrea Shein received special funding in the amount of $33,000 from the Massachusetts Department of Public Health for work with disabled students in the Education and Support Unit. Floyd Fowler, received from Policy Analysis, Inc. a contract to conduct a survey on angina. Professor Kenneth Kleene in the Biology Department received a National Science Foundation grant of $84,000 to study RUI:mRNA's encoding testis-specific nuclear proteins. Thomas Mangione in the Center for Service Research has a $110,000 contract from the Governor's Highway Safety Bureau. Professor Anne McElroy, of Environmental Sciences, received a $74,000 contract from the National Cancer Institute. Patricia Monteith has been granted a $102,000 public service grant from the Corporation for Public Broadcasting. Dean of the Graduate School and Acting Dean of the Education School, Dean Safwat, has received $90,000 for the extension of the Title III grant. David Stockton, Director of Health Services has received a FIPSE grant funded by the Secretary of Health Education to contuct a study of drug prevention in the student center area, and Professor Hans van Willigen Department of Chemistry, has received $103,000 from the Department of Energy for research on electron transfer.

Chancellor Penney also reported that a meeting had been set up with the M.D.C. concerning the Vietnam Veteran's Memorial and that improvements had been made.

Chancellor Duffey reported on two events held on the Amherst campus. The first being the fourth meeting of the Chancellor's Executive Committee, chaired by Richard Mahoney, of Monsanto. He reported that the Committee had expanded to thirty five members and at the last meeting committees were created to work on long range planning, student life, and public affairs. The second event was a dinner held on November 18th which recognized the professional accomplishments and civic contributions of 125 young alumni from the classes of 1967-1987.

The Board then considered the minutes of the October 5, 1988 meeting of the Board. Without discussion, it was moved, seconded and

VOTED: To approve the minutes of the October 5, 1988 meeting of the Board of Trustees.

Trustee Zervas then gave the report of the Committee on Academic and Student Affairs. The first item for consideration was the Appointment with Tenure for Dr. Jane B. Lian, Professor of Cell Biology at the University of Massachusetts at Worcester, a leading scientist in the area of bone research. It was moved, seconded and

VOTED: To concur with the President in the appointment of Dr. Jane B. Lian as Professor of Cell Biology with tenure at the University of Massachusetts at Worcester. (Doc. T88-080)

The second item was Awards of Tenure to Dr. Judith S. Young as Associate Professor of Physics and Astronomy at the University of Massachusetts at Amherst and to Dr. Leonard Laster as Professor of Medicine at the University of Massachusetts at Worcester. It was moved, seconded and

VOTED: To concur with the President in the award of tenure to Dr. Judith S. Young as Associate Professor of Physics and Astronomy at the University of Massachusetts at Amherst and Dr. Leonard Laster as Professor of Medicine at the University of Massachusetts at ~~Worcester.~~ (Doc. T88-081)

The next item was to approve the Graduation Lists for UM/Boston and UM/Worcester. It was moved, seconded and

VOTED: To approve the December, 1988 graduation lists of the University of Massachusetts at Boston and the graduation lists of the University of Massachusetts at Worcester subject to the completion of all requirements as certified by the Recorder of the campus. (Doc. T88-001E)

The next item considered was the request of Dr. Barry Levy to waive the requirement that he return to the Department of Family and Community Medicine at the University of Massachusetts at Worcester for one year

on completion of his sabbatical leave. Trustee Zervas explained this item had been thoroughly discussed by the Committee and that the Committee had held a special meeting to consider all issues. The Committee on a vote of 3:2 recommended the Board approve the request.

The Chair then introduced Dr. Lynn Eckhert, Chair of the Department of Family Medicine at the Medical School in Worcester and asked her to provide background about this item. She explained that Dr. Levy, a talented Occupational Health Physician, came to the University of Massachusetts at Worcester in 1977 and was Director of the Occupational Health Program. In 1980 he was given a two month leave of absence with pay to go to Cambodia. In 1984 he was given a six week leave to go to China. In 1987 he requested a sabbatical, which was granted, and which he spent in Kenya. He was granted the sabbatical with the expectation that he would return to the Worcester campus and that the University would benefit from this sabbatical. In the spring of 1988 he requested a leave of absence for another year in order to do further work in International Health. Dr. Eckhert stated that the Occupational Health Program is very small, with only three physicians, who have service commitments, teach students and on site services commitments in industry. For the benefit of the department, a decision was made not to grant a year leave of absence to Dr. Levy. Trustees Zervas and Huang felt that the nature of the research work Dr. Levy was doing made this an exceptional case. Trustee Baker stated that the University, as a public institution, perceives a sabbatical as an investment in the University and with a sabbatical come requirements: to return for one year or return the past year's salary. It was moved and seconded

> To approve Dr. Barry Levy's request to waive the requirement that he return to the Department of Family and Community Medicine at the University of Massachusetts at Worcester for one year on completion of his sabbatical leave. (Doc. T88-093)

The motion was defeated on a vote of 13:2.

Trustee Zervas then read a Resolution which she asked the Chair to send to the Executive Council of the Hospital regarding a breach of confidentiality concerning the tenure process. It was moved, seconded and

VOTED: As the University discharges its obligations and responsibilities to society by the advancement and dissemination of knowledge, the variety and complexity of its task require and ensure the interdependence of the governing board, the administration, the faculty, the students and other groups within the academic community. The Board of Trustees has long recognized this interdependence among and within the three campuses.

The Board further recognizes the integrity of personnel processes and the resultant decisions. It, therefore, cannot condone a breach of confidentiality of established personnel processes. Such behavior diminishes the University, its reputation, its credibility, and the achievement of its goals.

She then reported that the Committee discussed a proposal from the Amherst campus concerning the reorganization of the School of Health Sciences. The plan would divide Nursing and Public Health and establish them as separate schools, each headed by its own Dean. This proposal will be discussed further at the next committee meeting.

Next was the report of the Executive Committee. A motion was made to approve the Hospital Management Board Meeting Minutes. It was moved, seconded and

VOTED: To approve the amended minutes of the September 28th Hospital Management Board including the June, July and August, 1988 unaudited financial statements, and the appointments and reappointments to the Medical Staff. (Doc. T88-084)

The next item for consideration was a lease to the Amherst Housing Authority of a 3 1/2 acre parcel of land abutting Fairfield Street and Berkshire Terrace for ninety nine years. Chancellor Duffey stated that the administration supports the proposal of the Housing Authority to build three duplexes for low income housing on a piece of land at the edge of the campus currently not used and unsuitable for any

building by the University. John Hite, Chair of the Amherst Housing Authority, stated that one of the stipulations of the Chapter 705 program was to find parcels of land from state agencies in order to reduce the cost to the Housing Authority. He stated that 33% of the Housing Authority Waiting List is made up of University employees or graduate student families. Mr. Hite presented a letter of endorsement to the Board from the Board of Selectmen of the Town of Amherst. Trustee Zervas expressed her concern with institution encroachment on a small neighborhood of ninety families. Trustee Foley, having viewed the property and spoken with the residents of the neighborhood, expressed a desire that the Town of Amherst make improvements in the condition of the street. It was moved, seconded and

VOTED: To authorize the Chancellor of the University of Massachusetts at Amherst, subject to review by the President's Office and the approval of the Division of Capital Planning and Operations, the Board of Regents and the Massachusetts Legislature, to lease for a period of 99 years a 3.5 acre parcel of land abutting Fairfield Street and Berkshire Terrace to the Amherst Housing Authority under the terms and conditions set forth in Doc. T88-078;

and,

To approve, subject to the approval of the Division of Capital Planning and Operations, the Board of Regents and the Massachusetts Legislature, the transfer of ownership to the Amherst Housing Authority of a 33,000 square foot parcel at the corner of Olympia Drive and East Pleasant Street subject to the transferal of ownership to the Commonwealth (for University use) of a one acre parcel on Olympia Drive owned by the Amherst Housing Authority under the terms and conditions set forth in Doc. T88-078;

and further,

To accept on behalf of the University of Massachusetts the transfer of ownership of a one acre parcel on Olympia Drive from the Amherst Housing Authority to the Commonwealth (for University use), subject to the approval of the Board of Regents and the Division of Capital Planning and Operations, and under the terms and conditions set forth in Doc. T88-078.

As a result of the Committee's discussion concerning the lease to the Amherst Housing Authority, it recommended there be a University Land Use Policy. It was moved, seconded and

VOTED: To direct the President to submit to the May, 1989 Executive Committee meeting a land use policy for each campus.

The Board then considered an amendment to the Executive Compensation Policy to change the reference to "Special and Community Activities" to "Operating Support Funds". It was moved, seconded and

VOTED: To amend the Executive Compensation Policy by deleting under the category Entertainment references to the Special and Community Activities Funds and inserting in place thereof Operating Support Funds. (Doc. T87-032B)

The report of the Committee on Budget and Financial Affairs was then presented. The first item was a request to allocate an additional $45,000 from Trustee Reserve in order to finance the activities of the Commission on the Future of the University for an additional two months. It was moved, seconded and

VOTED: To allocate $45,000 from the Trustee Reserve to the Commission on the Future of the University for the purposes set forth in Doc T88-092.

The next item was allocation of the Massachusetts Educational Loan Authority Refund to the three campuses. The funds will be divided equally $14,333 per campus to purchase computer hardware and software and to improve financial aid counseling programs. It was moved, seconded and

VOTED: To allocate, in equal shares, to the three campuses, the $43,000 MELA refund for the purposes set forth in Doc. T84-034B.

The next item was the establishment of a Capital Asset Fund at UM/Worcester, a self supporting fund to be used for capital improvements in the hospital. Trustee Carlin indicated that it was the clear intent of the Budget and Finance Committee of the Hospital and the Management Board that the term capital be broadly defined as to include those things that will provide long term benefits to the Medical Center, especially as it involves advanced medical technology. It was moved, seconded and

VOTED: To establish the University of Massachusetts Hospital Capital Asset Fund, which self-supporting fund will have as its purpose the funding of the Hospital's depreciation of its property, plant and equipment, all as more fully described in Doc. T88-086

The next item was for approval of guidelines for the expenditure of endowment income of the William Joiner Center Endowment Fund UM/Boston. It was moved, seconded and

VOTED: To approve the guidelines for the expenditure of endowment income of the William Joiner Center Endowment Fund set forth in Doc. T88-076.

Trustee Zervas then gave the report of the Nominating Committee. It was moved, seconded and

VOTED: To confirm and approve the officers and committees of the Board set forth in Doc. T88-094, all to serve until the next annual meeting of the Board.

Chair Knowles recessed the meeting at 3:25 p.m. and reconvened the meeting at 3:32 p.m. upon the arrival of Trustee Oakes and President Knapp.

President Knapp began his report by expressing personal appreciation and thanks to Chair Knowles for his leadership and ideas during his tenure as Chair, and that he hoped Andy would continue to serve the University in the future.

He then reported on his recent visit to the Soviet Union where an agreement was reached between the University of Massachusetts and Leningrad Polytechnic Institute which will provide exchange programs for fifteen students of each institution. Faculty exchanges and more extensive student exchanges are being worked out.

He also reported that the University has initiated a City Lights Program which is a teacher-centered effort that is bringing University and private sector resources to three city high schools in Dorchester, Worcester and Holyoke in an attempt to give them the support needed to assess their situations, evaluate their individual needs, and develop specific strategies to improve teaching and learning in each school.

The final item President Knapp addressed was the current budget situation and that the University and all higher education institutions are faced with having to revert to the State 3% of its appropriation. This comes on top of a series of reductions in spending which have taken place in the last fiscal year and the beginning of this fiscal year. The impact of this is already extremely severe. The Boston campus has approximately 166 unfilled positions, student enrollment is down approximately 1000 and academic sections are closed. Similar conditions exist at the other campuses. President Knapp stated that the Governor was prepared to be helpful in working with the institutions but, the University could not expect relief from the reversion process. The Governor expressed his concern that he did not want anything to effect the quality of the institutions but that he saw a need for additional revenue. President Knapp requested a special meeting of the Board to take immediate action on any policy changes which might be necessary to deal with the reversion.

Chair Knowles then called for a motion to enter Executive Session to consider Audits and strategy with respect to collective bargaining as it relates to the budget. At 3:45 p.m., it was moved, seconded and

VOTED: To enter executive session

Trustee Knowles voted for the motion as did Trustees Baker, Bowman, Carlin, Foley, Haynes, Huang, Kruczek, Mahoney, Oakes, Orefice, Reed, Walker and Zervas.

At 4:35 p.m, the Board reconvened in open session and it was moved, seconded and

VOTED: To accept the following audits:

Amherst Parking Garage #89-3 (Doc. T88-087
Waltham Field Station #8904 (Doc. T88-088)

There being no further business the meeting adjourned at 4:36 p.m.

Margaret D Warner

Margaret D. Warner
Deputy Secretary
to the Board of Trustees

5440t

UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE SPECIAL MEETING OF THE
BOARD OF TRUSTEES

Tuesday, December 13, 1988; 2:00 p.m.
Chancellor's Conference Room
University of Massachusetts
Boston, Massachusetts

Trustees and Trustee Staff: Trustee Oakes, Chair; Trustees Carlin, DiCara, Doherty, Foley, Haynes, Huguenin, Knowles, Kruczek, Mahoney, Orefice, Reed, Teixeira, Walker and Zervas; Mrs. Fontaine, Administrative Secretary; Ms. Warner, Deputy Secretary

Trustees Absent: Trustees Baker, Bowman, Huang, and McBay

University Administration: President Knapp; Vice Presidents Smith and Lenhardt; Associate Vice President Willits; Mr. Searson, General Counsel

UM/Amherst: Chancellor Duffey

UM/Boston: Chancellor Penney; Professor Hogarty, Faculty Representative

UM/Worcester: Chancellor Laster, Dr. Cooke, Faculty Representative

Trustee Oakes, Chair, called the Special Meeting of the Board of Trustees to order at 2:00 p.m. and called on President Knapp to detail the proposed steps necessary to meet the required reversion of state funds.

President Knapp began his report by indicating he had received confirmation from the Board of Regents that the University was required to reduce spending by 3% or roughly $8 million, which must be achieved over the next six months. He noted that this reversion is the fourth reduction in state funding which has taken place in public higher education since July of 1987, reducing the total University budget by approximately $21 million. The reduction has shifted the burden of financing the operation from the state to other sources. Given the kinds of reductions the University has had this year, the tuition retention concept originally intended to provide for funding for program improvement, has had to be to sustain basic operations of the University. The University has also instituted emergency student fees at two campuses.

The President reluctantly outlined the actions needed to meet the reversion target and to sustain the quality and quantity of academic services the University provides. He added that in order to maintain the proper semblance of the teaching programs the steps are necessary. The reduction program calls for a continuation of the hiring freeze established earlier this year, lowering the enrollment on the Amherst and Boston campuses by almost 5000 FTE students, reducing spending in non-personnel accounts by over $3 million, reducing travel university-wide, reducing the purchases of educational supplies and equipment, delaying merit increases for non-unit staff, increasing curriculum fees at Amherst and Boston by $50 effective January 1, 1989, allocating $1.7 million in Trustee reserve and $1 million in campus reserves to alleviate some of the worst effects of the reductions, and achieving personnel savings in state-funded positions by $2.9 million.

In concluding his report, he noted that the guiding principle in addressing this crisis has been to perserve the quality of the institution at all cost. To quote him "To do otherwise would be to undermine the accomplishments of the last decade and jeopardize the investment which the Commonwealth has made in the University. Access to mediocrity is no benefit to the citizens of Massachusetts. For more than a century, the University has contributed to meeting the manpower requirements, the economic development and the educational needs of the Commonwealth. The erratic history of state support in recent years jeopardizes the University's ability to fulfill that mission. Unless the state's fiscal policy changes, the University will soon enroll fewer students, offer fewer academic programs and cost significantly more to attend."

Chancellor Duffey reported that over the last fifteen months the campus has reduced its staff to 80 below the authorized number of personnel. The new cuts will require the campus to effect a hiring freeze which is estimated to result in 160 more vacancies by July 1st. In addition, the requirements of the current stricture involve layoffs effective February 5th of approximately 242 teaching assistants, 12 part-time faculty, and 73 other full-time employees to produce a savings of 4.5 million. In addition, the campus would delay awarding non-unit increases. The area of greatest importance will be the effect on class scheduling. The hiring freeze reduction will result in the cancellation of 350 course sections affecting 11,330 students and a small number of students may not be able to receive the courses necessary to graduate.

The campus will also need to make severe reductions in non-personnel accounts including reducing lighting, and closing campus buildings after 5 p.m. to generate energy savings. This will be a major hardship for students because a warm building to study in when you live in a crowded dorm is very important. The campus will also reduce the coal pile inventory.

In order to avoid taking actions which most sharply affect students, the campus is asking for an allocation from Trustee reserve and is seeking approval of an $50 increase in the curriculum fee for the next semester. This was recommended with the understanding that 25% of that amount will be set aside for a scholarship funds and that all students will be allowed and urged to petition for relief from this fee on the basis of need.

Chancellor Penney said the effects of the $1.8 million reversion on the Boston campus will be devastating. She detailed the mission of the Boston campus and reaffirmed its commitment to accessible quality higher education to students in the Boston area. After the first set of reductions last July, the campus lost $4 million, closed its doors to 200 qualified students and turned away 300 more special students. Included in that group were 62 highly qualified individuals that could not be admitted to graduate programs in education at a time when the commonwealth and the country needs qualified teachers. The campus reduced its commitment to part-time instructors and cancelled 80 sections. The result was that the headcount went down 1,000 in September, 1988 from September, 1987. A freeze on all positions was instituted. Currently, 166 positions are frozen and this is before the new reversions, a 12% reduction of the workforce. The campus also cut 800,000 from its expense accounts, and have very little equipment and supplies to support programs.

In order to reduce its appropriation by an additional $1.8 million the campus has put in place even more strict spending controls, all travel on state funds has been restricted, outside purchases limited and a stricter hiring freeze has been imposed. It has also been agreed to by the Vice Chancellors and Deans to contribute at least one day's pay to an emergency student scholarship fund to help students with increased fees. Beyond this, the campus has little choice except to reduce expenses by another $325,000, delay the non-unit merit to January, 1989, use additional savings from the vacancies above and beyond those 166, cancel all part-time sections for the spring semester, impose some fee increases, and very reluctantly increase the curriculum support fee.

The cancellation of 306 part-time sections will affect 1500 FTE. The full-time equivalents will have declined by 2,000 in the last six months. Moreover, cancellations of these sections will mean Nursing students will not be able to complete their degrees, neither will education students. Cancelling the other sections will prevent students from getting the critical essential skills courses they need in math and english.

The campus will also request support from the Trustee Reserve to restore some of the crucial part-time sections.

Chancellor Laster said similar actions are being taken at the Worcester campus. There will be a hiring freeze for the non-academic departments to achieve a 10% budget cut, non-unit merit increases will be delayed for three months, and reimbursement to the affiliated hospitals for clerkships is being reduced to 50%. He added that the campus will consider imposing a student fee in the coming year. The Worcester campus will also ask for help from the Trustee Reserve to restore the capacity to buy books and other equipment.

Chair Oakes then spoke about the seriousness of the actions the Board was considering and the implications for the future of the University. He added that he hoped the University could begin to think of ways to convince the legislature that the University is a priority and important investment in the future of the state.

Trustee Orefice said among the student population in Amherst there are feelings that the $50 fee increase is just another step in the wrong direction. She cautioned the Trustees to its implications as it would send a negative message to the student body in Amherst. She distributed to the Trustees petitions from the students and said it was the feeling on campus that students are overburdened between fees and tuition.

Trustee DiCara, in speaking about the current fiscal situation, said the real challenge in dealing with the cuts is to support members of the Legislature who may have to make that even more difficult vote to increase taxes. He pointed out that Trustees, faculty and staff have an obligation to call their representatives and senators to say there is a problem, its not going to go away, and its having a dramatic impact on me, on my life, and the quality of life in this Commonwealth.

Trustee Walker, who agreed with Trustee DiCara, said the State Legislature needs to be educated about public higher education in Massachusetts. For the University to have to raise tuition and fees to balance the State budget is detrimental to all students at the campuses.

Trustee Teixeira said he was disturbed about the betrayal of trust represented by the actions the Board was considering. Students enroll with the expectation of graduating in 1989, having planned their curriculum. Some of them will not, which is going to cause irreparable harm to their careers.

Professor Hogarty, faculty representative to the Trustees from the Boston campus, pointed out that the Boston campus community met that morning at the State House steps to voice its demands and concerns. He also echoed the sentiment of Chancellor Penney that the clientele of students at Boston was particularly geared toward the poor and the disadvantaged and he entered an urgent plea on their behalf. He also urged strong support for the use of the trustee reserve in order that the campus does not have to cancel a great number of of part-time sections.

Trustee Oakes recognized Mr. Juan Roberts and Mr. Mike Griffin representing the American Federation of State County and Municipal Employees at the Amherst campus.

He then recognized Mr. Gerry Quarles from the Professional Association at the University of Massachusetts at Amherst (PAUMA).

Mr. Quarles commended President Knapp and Chancellor Duffey for the extraordinary efforts they had made during the crisis, but said the Executive Board had come to the conclusion that the Amherst plan will not be successful because they feel that many on Beacon Hill would fail to appreciate the significance of state employees embracing it. In closing, he said the Executive Board's recommendation was that the campus revisit its program reduction contingency plans which were formulated some years ago, freeze all hiring which cannot convincingly demonstrate a financial savings or gain, and determine if its is truly the express will and intention of Beacon Hill to further burden students and parents with ever increasing fees.

Michael Greiner, Public Policy Chair for Student Government Association at University of Massachusetts at Amherst then addressed the Board. He spoke about a recent article in a local newspaper that discussed how due to the many increases in fees, the University had turned its back on its mission. It was no longer the school for the bus driver's kid. Coming from a family who worked for the MBTA, he presented his views about these new fees. Even if financial aid were made available more than anything else there's a psychological barrier created. Particular fee increases are a dangerous precedent to set, and this situation is opening the way for fees to spiral way out of the reach of the bus driver's kid.

Pierre LaLiberte from the Graduate Student Senate at University of Massachusetts at Amherst expressed his view that if the Commonwealth takes seriously its commitment to having the Amherst campus as a major research institution, this was not the way to go.

Julie Rodick, Speaker of the Student Senate at the Boston campus and Cindy Polinski, a student at the Boston campus spoke about efforts to let the legislature know that students cannot afford anymore increases or cuts in services at the campus.

Jules Chametzky, speaking as a representative of the Massachusetts Society of Professors for the Faculty and Librarians Union at the Amherst campus, pointed out the union's opposition to faculty payless days and student increases.

Trustee Carlin said this was the third or fourth time in the last thirteen years this has occurred and suggested a solution that has been successful in other states, formula funding. He suggested the University immediately start to take the necessary steps to establish in the Commonwealth formula funding for higher education.

Ernest May, the delegate from the Amherst faculty Senate, also expressed a plea for a more rationale, more reasonable approach in the future and expressed the senate's outrage in what's going on and hoped the Board will find available funds before taking drastic actions.

Trustee Carlin then proposed the following motions. It was moved, seconded and

VOTED: To approve an increase in the Emergency Instructional Support Fee from $115 to an amount not to exceed $165 per semester at the Amherst campus and to extend said increase to the Amherst graduate students and from $65 to an amount not to exceed $115 per semester at the Boston campus for the period of January 1, 1989 through June 30, 1989. (Doc. T88-063)

Trustees Haynes, Kruczek, Orefice and Teixeria and Walker are recorded as opposed.

It was moved, seconded and

VOTED: To approve an increase in the parking fee at the University of Massachusetts at Boston from $2.00 per day to $3.00 per day effective January 1, 1989. (Doc. T74-034B)

and,

VOTED: To approve an increase in the Continuing Education Registration Fee from $15.00 to $25.00 per semester. (Doc. T79-065)

Trustees Orefice, Teixeira and Walker are recorded as opposed.

It was moved, seconded and

VOTED: To change the name of the Special Student Registration Trust Fund to the Non-Degree-Seeking Student Trust Fund and to add to the principal purposes of the fund "and other support services."

And further, to approve an increase in the Non-Degree-Seeking Student Fee from $15.00 to $25.00 per semester. (Doc. T84-110A)

Trustees Orefice and Walker are recorded as opposed.

It was moved, seconded and

VOTED: To authorize the expenditure of Trustee Reserve and Trust Fund Interest (TFI) Reserve Funds in the amount of $1.7 million for the express purpose of supporting academic and instructional programs at the three campuses, and to direct the Chancellors of the three campuses to prepare specific plans for the expenditure of such funds at their campus. Such plans are to be prepared within two weeks from the date of this vote and to be presented to the President for review and approval. (Doc. T88-095)

and,

VOTED: To change the effective date of awarding the 3% salary adjustment pool to non-unit professional employees from October 1, 1988, as originally adopted by the Board of Trustees on August 6, 1986, to January 1, 1989. (Doc. T86-065A)

Trustees Kruzcek, Orefice, Teixeira and Walker are recorded as opposed.

Trustee Oakes, Chair, called for a motion to enter Executive Session to consider strategy with respect to Collective Bargaining as it relates to the budget.

At 3:25 p.m., it was moved, seconded and

VOTED: To enter Executive Session

Trustee Oakes voted for the motion as did Trustees Carlin, DiCara, Doherty, Foley, Hayes, Huguenin, Knowles, Kruczek, Mahoney, Orefice, Reed, Teixeira, Walker and Zervas.

At 4:05 p.m., the Board reconvened in open session and it was moved, seconded and

VOTED: Whereas, the Board of Trustees hereby determines that retrenchment for financial reasons may be necessary, the President of the University is hereby directed to fulfill any and all obligations relative thereto arising under collective bargaining agreements or under Massachusetts General Laws, Chapter 150E, and to undertake such actions and make such determinations as he shall deem necessary for the operation of the University.

Chair Oakes clarified the fact that while the actions taken by the Board reduce expenditures for the University by the required amount, the institution of the student fee and the use of the Trustee reserve funds allow the University to minimize the impact of the reduction of positions throughout the University.

There being no further business to come before the Board the meeting adjourned at 4:07 p.m.

Cynthia A. Fontaine

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

5457t

UNIVERSITY OF MASSACHUSETTS

BOARD OF TRUSTEES

MINUTES OF THE MEETING OF THE EXECUTIVE COMMITTEE

Tuesday, January 19, 1988; 2:00 p.m.
Thirteenth Floor Conference Room
250 Stuart Street
Boston, Massachusetts

Committee and Committee Staff: Chair Knowles; Trustees Carlin, Huguenin, Lopes, Oakes and Zervas; Mrs. Fontaine, Administrative Secretary; Mr. Miller, Recorder

Committee Member Absent: Trustee Bowman

Other Trustees: Trustee Wingle

University Administration: President Knapp; Vice Presidents Smith and Lenhardt

UM/Amherst: Chancellor Duffey

UM/Boston: Mr. Toomey, Associate Director, Library

UM/Worcester: Chancellor Laster

The meeting was called to order at 2:45 p.m. The first item on the agenda was Hospital Management Board Minutes. After discussion, it was moved, seconded and

VOTED: To recommend that the Board accept the minutes of the October 21, 1987 meeting of the Hospital Management Board subject to receipt of clarification of the matter of Directors and Officers Liability. (Doc. T88-007)

The Committee then discussed Property Easement at the Harbor Campus. The John F. Kennedy Library had asked for the granting of an easement near the Library building to provide for a turn-around. As negotiations were still underway the Trustees took no action.

Trustee Zervas said she saw a need for a policy in the matter of granting land or land-use at the Harbor Campus. She recalled the recent issue of an organization being granted use of land for a memorial. By the time the Trustees heard of the proposal it was all but an accomplished fact. Trustee Oakes suggested a resolution putting a moratorium on all such proposals pending the development of a policy. President Knapp said a draft resolution and policy would be prepared.

The Trustees then discussed the 125th Anniversary Proclamation. After discussion and a brief amendment to the proclamation, it was moved, seconded and

VOTED: To recommend that the Board issue the proclamation set forth in Doc. T88-008A.

WHEREAS, the Massachusetts Agricultural College was founded in 1863 in response to the initiative of the Morrill Land-Grant act, and was vested with the three-fold mission of education, research, and public service; and

WHEREAS, in 1931, the institution was renamed the Massachusetts State College to reflect the expanded scope of its mission and programs, and in 1947 was renamed the University of Massachusetts, and identified in Chapter 75 of the General Laws of the Commonwealth as the State's University; and

WHEREAS, in 1962, the University of Massachusetts Medical Center was established, and located in Worcester in order to provide medical education and services to the people of the Commonwealth; and

WHEREAS, in 1965, the University of Massachusetts at Boston was established to extend the mission of the University to the capital and urban center of the Commonwealth; and

WHEREAS, the University of Massachusetts now educates one-third of the total student body enrolled in the Commonwealth's system of public higher education, and approximately twenty percent of the Commonwealth's residents enrolled as undergraduates in public and private colleges and universities throughout the country; and

WHEREAS, the University of Massachusetts has received national and international recognition for its research in the natural and social sciences, arts and humanities, and medical sciences; and

WHEREAS, the University of Massachusetts provides a wide array of services to the citizens of Massachusetts through its institutes, centers, partnerships and other programs;

THEREFORE, be it resolved, that the Board of Trustees proclaims the year 1988 as the 125th Anniversary of the University of Massachusetts, and

RESOLVES, further that the University of Massachusetts will enter the next century dedicated to continuing its service to the people of the Commonwealth by retaining its status as the finest public university in New England and by becoming one of the finest in the United States.

The agenda having been completed, there were discussions of items of interest to the University community.

Two bills had been passed by the Legislature. The first made provision for 10 endowed chairs to be established on a matching funds basis. The Trustees will establish a trust fund for each chair, as soon as one million dollars has been raised from private sources the chair will be "activated." Salaries for the chairs will be funded by the state.

The second bill changed the composition of the Board of Trustees. The bill established a nineteen member board. Three members will be student trustees, one from each campus. Sixteen members will be appointed by the Governor, two of whom will be alums elected the Amherst alums, one elected by the alums of the Boston campus and one alum elected by the alums of the Worcester campus.

Also discussed was a seemingly "reborn" capital outlay budget. A subcommittee now being formed in the Legislature to look into the matter of trust funds. The President had been asked to appear before the subcommittee to represent the University segment of public higher education. It was agreed that the Chair of the Board and Trustees Oakes, Chair of the Budget and Financial Affairs Committee, could contribute to hearing.

There was no further business to come before the Committee and the meeting was adjourned at 3:15 p.m.

John F. Miller
Deputy Secretary

4770t

THEREFORE, be it resolved, that the Board of Trustees proclaims the year 1988 as the 125th Anniversary of the University of Massachusetts, and

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There was no further business to come before the Committee and the meeting was adjourned at 3:15 p.m.

John F. Miller
Deputy Secretary

4770t

UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE MEETING OF THE
EXECUTIVE COMMITTEE

Tuesday, March 22, 1988; 1:00 p.m.
Meeting Room B&C
University of Massachusetts
Medical Center
55 Lake Avenue North
Worcester, Massachusetts

Committee and Committee Staff: Chair Knowles; Trustees Bowman and Oakes; Mrs. Fontaine, Administrative Secretary; Mr. Miller, Deputy Secretary

Committee Members Absent: Trustees Carlin, Huguenin, Lopes and Zervas

University Administration: President Knapp; Vice Presidents Smith and Lenhardt; Ms. Willits, Associate Vice President for Human Resources; Mr. Searson, General Counsel

UM/Amherst: Chancellor Duffey

UM/Boston: Mr. Baxter, Vice Chancellor for Administration and Finance; Mr. Babcock, Associate Chancellor

UM/Worcester: Chancellor Laster; Mr. Waterbrook, Vice Chancellor/Hospital Director

Chair Knowles called the meeting to order at 1:15 p.m. He then noted the lack of a quorum and said the present meeting would only discuss the items on the agenda. The Committee would reconvene on April 6, the day of the Board meeting, and vote at that time.

The first three items on the agenda, he continued, Clarification of the Hospital Management Board - Minutes of October 21, 1987; Hospital Management Board Minutes of November 18, 1987 and January 20, 1988, and Appointment of Marilyn Haring-Hidore were self-explanatory.

The Committee then considered Revisions to the Code of Student Conduct--UM/Amherst. Chancellor Duffey said the revisions were a draft which had been discussed with many individuals on campus. Counsel Searson opined that some of the language in the revisions could lead to untoward results. After discussion it was agreed that a panel consisting of Chancellor Duffey, Vice President Smith and Counsel Searson meet to iron out the differing interpretations of the language.

The next item was JFK Library Easement. Associate Chancellor Babcock said that the library needed more space for archival materials that were presently stored elsewhere and more meeting space. To this end they are planning to construct more space.

In effect, the Library wanted two easements -- a permanent one on which to construct a service road and a temporary one to provide space for construction equipment.

The present situation, he continued, is that the Trustees have authorized the President to grant such easements and had asked him to conduct the necessary negotiations. The Trustees would, at all times, be kept informed. Also, while Counsel Bench had opined that the Division of Capital Planning and Operations would not be involved it seemed politic to inform them as well.

The last agenda item was Long Range Planning. In response to a questions from the Chair, Vice President Lenhardt said it was to inform the Trustees of the reconstitution of the President's Planning Council. This because of the Regents request for an operational long range plan and because the plan had not been updated for some time. The Executive Committee would be informed as the plan went through its several stages.

President Knapp expressed his frustration on having to develop a very detailed plan and operating the plan under a budget process which bears no relationship to the plan. Chancellor Laster questioned the validity of such plans and indicated that such devices could have a negative impact on the operation. Others concurred.

At the conclusion of the discussion, there was no further business to come before the Committee and the meeting was adjourned at 2:25 p.m.

John F. Miller
Deputy Secretary

4891t

UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE MEETING OF THE
EXECUTIVE COMMITTEE

Wednesday, April 6, 1988; 1:30 p.m.
Room 308
Administration Building
University of Massachusetts
Boston, Massachusetts

Committee and Committee Staff: Chair Knowles; Trustees Bowman, Carlin, Huguenin, Lopes, Oakes and Zervas; Mrs. Fontaine, Administrative Secretary; Mr. Miller, Deputy Secretary

University Administration: President Knapp; Mr. Lenhardt, Vice President for Management and Fiscal Affairs; Mr. Searson, General Counsel

UM/Amherst: Chancellor Duffey

UM/Boston: Chancellor Corrigan

UM/Worcester: Chancellor Laster

Chair Knowles called the meeting to order at 1:36 p.m. He noted that the first item on the agenda was Clarification of the Hospital Management Board Minutes of the Meeting of October 21, 1987. The matter having been discussed at earlier Trustee meetings, after brief discussion it was moved, seconded and

VOTED: To recommend that the Board amend the By-Laws of the Hospital Management Board as proposed by said Board at its October 21, 1987 meeting as follows:

To strike Part A, Article 4, which reads "The University Board of Trustees shall purchase and maintain insurance on any officer, member or agent of the Hospital Management Board against any expense for which he is indemnified hereunder." (Doc. T88-007, Addendum 1; Doc. T83-058, as amended).

The second item also dealt with the Hospital Management Board. After a brief discussion, it was moved, seconded and

VOTED: To recommend that the Board approve the minutes of the November 18, 1987 and the minutes of the January 20, 1988 meetings of the Hospital Management Board. (Doc. T88-018)

The Committee then discussed the Appointment of Marilyn Haring-Hidore. At the request of the Chair for some background on the appointment, Chancellor Duffey said it was the result of a national search. Her previous career and education had clearly made her the strongest candidate for the post of Dean of the School of Education at the Amherst campus. The appointment had the full backing of the administration and the faculty.

It was then moved, seconded and

VOTED: To recommend that the Board concur along with the President in the appointment by the Chancellor of Marilyn Haring-Hidore as Dean of the School of Education at the University of Massachusetts at Amherst. (Doc. T88-022)

The Committee then considered the Code of Student Conduct--UM/Amherst. In the course of the discussion it was noted that now there was congruity between Chancellor Duffey and General Counsel Searson on the language of the proposed amendment. After further discussion, it was moved, seconded and

VOTED: To recommend that the Board amend the Code of Student Conduct of the University of Massachusetts at Amherst (Doc. T86-030A) as follows:

(a) By deleting Part II.B.1. and inserting in place thereof the following:

1. "Harrassment," which includes but is not limited to:

a. "Physical assualt" which includes but is not limited to physical attack upon or physical interference with a person which prevents the person from conducting his or her customary or ususal affairs, puts the person in fear for his or her physical safety, or causes the person to suffer actual physical injury. The University has special concern for incidents in which students are subject to physical assault because of membership in a particular racial, religious, gender or sexual orientation group.

b. Conduct less than a physical attack or physical interference which interferes with a person in the conduct of his hor her customary or usual affairs, such as the posting of threatening letters directed to the person, the use of threatening language directed at another, harassing or threatening telephone calls, the vandalism or misappropriation of a person's property, or the vandalism of a person's room (e.g. by graffiti). The University has special concern for incidents in which students are subject to such conduct because of membership in a particular racial, religious, gender of sexual orientation group.

(b) By deleting Part V and inserting in place thereof the following:

V. In recommending or determining a sanction, a hearing board or designated University official, respecitively, may consider the student's present demeanor and past disciplinary record, the nature of the offense, the severity of any damage, injury, or harm resulting therefrom, and other factors. The University has a special concern for incidents in which students are subject to harassment because of membership in a particular racial, religious, gender or sexual orientation group. Such incidents damage not only individuals, but also the free and open academic environment of the University. More severe sanctions are appropriate for such conduct. If the charge against the student is sustained, the responsible University official may impose one or more the following sanctions

The Chair called for a motion to enter executive session to discuss the Award of an Honorary Degree. Without discussion, it was moved, seconded and, on roll call,

VOTED: To enter executive session.

Chair Knowles voted the for the motion as did Trustees Bowman, Carlin, Huguenin, Lopes, Oakes and Zervas.

The time was 1:50 p.m.

At 1:54 p.m. the Committee returned to open session and it was moved, seconded and

VOTED: To recommend that the Board award an honorary degree to the individual agreed upon in executive session and to instruct Chancellor Duffey to proceed with the necessary arrangements.

There was no further business to come before the Committee and the meeting was adjourned at 1:55 p.m.

John F. Miller
Deputy Secretary

4942t

UNIVERSITY OF MASSACHUSETTS

BOARD OF TRUSTEES

MINUTES OF THE MEETING OF THE
EXECUTIVE COMMITTEE

Tuesday, May 17, 1988; 2:00 p.m.
Thirteenth Floor Conference Room
250 Stuart Street
Boston, Massachusetts

Committee and Committee Staff: Chair Knowles; Trustees Bowman, Carlin, Lopes, Oakes and Zervas; Mrs. Fontaine, Administrative Secretary; Mr. Miller, Recorder

Committee Member Absent: Trustee Huguenin

Other Trustees: Trustees DiCara and Wingle

University Administration: President Knapp; Vice Presidents Lenhardt, Smith and Willits; General Counsel Searson

UM/Amherst: Chancellor Duffey

UM/Boston: Provost Diener

UM/Worcester: Chancellor Laster

Chair Knowles convened the meeting of the Executive Committee at 2:05 p.m.

The first item on the agenda was Approval of the Hospital Management Board Minutes. The actions of the Hospital Management Board dealt with appointments and reappointments to the hospital staff, receipt of unaudited financial statements and the reappointment of Barbara Greenberg, Raul A. Laborde and John F. Scott, Ph.D. to three year terms on the Hospital Management Board. William C. Sullivan was also appointed as a community member for a three year term. Mr. Sullivan is Assistant General Counsel at E.G.&G. It was then moved, seconded and

VOTED: To recommend that the Board approve the minutes of the February 17, and March 15, 1988, meetings of the Hospital Management Board of the University of Massachusetts Medical Center including the January and February 1988 unaudited financial statement, and the respective appointments

of Barbara Greenberg, Raul A. Laborde, John F. Scott, Ph.D., and William C. Sullivan as members of the Hospital Management Board. (Doc. T88-039)

President Knapp indicated that the Trustee Committee on Academic and Student Affairs at its meeting on May 11th voted to rename the Institute of Learning and Teaching as the College of Education. The request before the Committee was to Establish the Position of Dean of the College of Education.

Provost Diener spoke to the College structure at the campus and indicated that this change would not lead to an undergraduate degree in Education. It was moved, seconded and

VOTED: To recommend that the Board establish the position of Dean of the College of Education at the University of Massachusetts at Boston. (Doc. T88-031)

The next item was a request from the Amherst campus to Grant a Waiver to Mr. Franklyn Donant, Director of Student Activities, to serve the required full six months following his return from a four and one-half month professional improvement leave. Mr. Donant returned from his leave effective March 20, 1988 and wishes to terminate his employment June 25, 1988.

It was moved, seconded and

VOTED: To recommend that the Board waive the requirement that Franklyn Donant serve six full months at the University of Massachusetts at Amherst following the expiration of his professional leave. (Doc. T88-042)

Trustee DiCara then presented the Recommendations of the SubCommittee on Governance. In summary, the revisions acknowledge the role of the Board of Regents, the Division of Capital Planning, clarifies the relationship between the President, the Board of Trustees and Search Committees in the appointment of the campus Chancellors, and brings the By-Laws and Governance Document into conformity. In addition, there is a requirement to review the Governance in five years.

It was moved, seconded and

VOTED: To recommend that the Board amend Doc. T73-098, as amended, as follows:

(a) By striking out the paragraph immediately following Section II and inserting in place thereof the following: -

Consistent with Chapter 15A and pursuant to Chapter 75 of the General Laws of the Commonwealth, the Board of Trustees may establish general policies governing the University. The authority of the Board shall include, but is not limited to, the following specific powers:

(b) By striking out Section II.A.4 and inserting in place thereof the following:

4. The Trustees will appoint the President, the Chancellors, the Treasurer, and the Secretary of the University, set their salaries, and periodically evaluate their performance.

When appointing the President, the Board will seek nominations from a broadly representative search committee appointed by the Board. The Board will determine the charge to and composition of the search committee after seeking the recommendations of the appropriate campus governing body(s) and, when appropriate, other components of the University. The Board will appoint faculty and student representatives to the search committee upon nomination by the appropriate governing body(s).

When appointing a Chancellor, the Board will seek nominations from a broadly representative search committee appointed by the Chair of the Trustees in consultation with the President. The Chair will determine the charge to and composition of the search committee after seeking the recommendations of the appropriate campus governing body(s) and, when appropriate, other components of the University. The Board will appoint faculty and student representatives to the search committee upon nomination by the appropriate governing body(s). The President will recommend two or more candidates to the Board.

(c) By inserting at the end of the Section II.A.5 the following: -

, consistent with the authority vested in the Department of Capital Planning and Operations.

(d) By striking out the second sentence of the Section II.C.3 and inserting in place thereof the following: -

When appointing a Vice Chancellor with line responsibilities, a Provost, or a Dean, the Chancellor will seek nominations from a broadly representative search committee.

(e) By striking out Section III and relettering the remaining sections.

(f) By striking out the second sentence in Section IV, as relettered, and inserting in place thereof the following: -

The Board of Trustees will review the foregoing policies and procedures every five years.

The last item for approval was Executive Compensation. Associate Vice President Willits presented a proposed language change to the current policy regarding annuities provided for the President and Chancellors. This language change was necessitated because of a change in state law which eliminated the 1978 provision that stipulated for employees hired after January 1, 1979 the maximum salary upon which their retirement allowance could be based was $30,000.

It was then moved, seconded and

VOTED: To recommend that the Board amend the policy on Executive Compensation (Doc. T87-032, Addendum 1) as follows:

By deleting the last sentence under the category Annuity and inserting in place thereof the following:

These amounts shall not exceed from University funds 7% of the amount of annual base salary in excess of $30,000 paid to an annuity of the incumbent's choice.

Trustee Oakes requested that the agenda for the Budget and Financial Affairs Committee include discussion of retirement benefits for the President and Chancellors.

President Knapp then reported that the House Ways and Means Committee had reported out its appropriation bill for 1989. President Knapp and the Chancellors spoke to the reaction this would have on the campuses.

There being no further business to come before the Committee the meeting adjourned at 2:50 p.m.

Cynthia A. Fontaine

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

5002t

UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE MEETING OF THE
EXECUTIVE COMMITTEE

Tuesday, July 19, 1988; 1:00 p.m.
Chancellor's Conference Room
Quinn Administration Building
University of Massachusetts
Boston, Massachusetts

Committee and Committee Staff: Chair Knowles; Trustees Bowman, Carlin, Kruczek, and Oakes; Mrs. Fontaine, Administrative Secretary

Other Trustees: Trustees Walker and Orefice

Committee Members Absent: Trustees Huguenin, and Zervas

University Administration: President Knapp; Vice Presidents Lenhardt and Smith; Mr. Searson, General Counsel

UM/Amherst: Chancellor Duffey; Dr. Madson, Vice Chancellor for Student Affairs; Dr. Ling, Vice Chancellor for University Relations and Development

UM/Boston: Acting Chancellor Babcock

UM/Worcester: Chancellor Laster

Chair Knowles convened the meeting at 1:15 p.m.

The first item on the agenda was Changes in Trustee By-Laws which parallel revisions made in the Governance Document at the last meeting. It was moved, seconded and

VOTED: To recommend that the Board amend the By-Laws of the Board of Trustees (Doc. T81-028C, as amended) as follows:

(a) By striking out Article III, section 5(f) and inserting in place thereof the following: -

(f) To consider the appointment of the President and the Chancellors of the University and make recommendations to the Board with respect thereto.

(b) By striking out Article III, Section 5(g) and inserting in place thereof the following: -

(cont.)

(g) To consider appointments by the President of the Vice Presidents, and make recommendations to the Board with respect to concurrence therewith; to consider and make recommendations on all appointments of academic and other administrative officers which may require the concurrence of the Board.

The next item was approval of the Hospital Management Board Minutes of April 20th and May 18th including the reappointment of Ralph Crowley, Angela Dorenkamp, Ronald Teixeira, Andrew Knowles, James Carlin, Richard Huguenin, and Arthur Pappas as members of the Board of Directors for University Health Systems, Inc. It was moved, seconded and

VOTED: To recommend that the Board approve the minutes of the Hospital Management Board's meetings of April 20 and May 18, 1988 and the reappointments to the Board of University Health Systems, Inc.

Delegations of Authority required annually by the Department of Public Health were then considered. It was moved, seconded and

VOTED: To recommend that the Board reaffirm the following Board votes:

Board vote of December 6, 1978:

To reaffirm the delegations of authority to the Treasurer set forth in Doc. T78-126, viz:

1. Custody of investment of endowment funds
2. Investment of short-term cash "float"
3. Acquisition of and sale of real property on behalf of the University
4. Financial relationship with the University of Massachusetts Building Authority and the the University of Massachusetts Building Association
5. Official signatory for University checks
6. University banking relationships including control of opening and closing bank accounts
7. Addition of accounts and funds to financial system
8. Fire, casualty and liability insurance
9. Contract and grant signatory

Board vote of October 13, 1981:

To affirm that the Treasurer of the University of Massachusetts or his designee, shall be the sole contracting officer of the University with the authority to execute all contracts, grants, restricted gifts (excluding endowments), and amendments thereto for sponsored program in instruction, research, or public service, unless and until otherwise voted by the Board of Trustees.

Board votes of August 7, 1985:

a. To authorize the Chancellor of the University of Massachusetts at Worcester, in consultation with the Office of the President, to negotiate and execute contracts developed in accordance with the provisions of the January 8, 1976 Interagency Agreement (Doc. T76-031C) or as may be amended by consent of all parties thereto; further, to authorize the Chancellor to delegate to the Dean the authority to execute such agreements and contracts in the absence of the Chancellor.

b. To authorize the Chancellor of the Medical Center to execute on behalf of the University in FY1979 and subsequent years such Hospital, Reimbursement Agreements with Blue Cross of Massachusetts, Inc., and/or its successor as are substantially similar in concept and reimbursement mechanisms as are now in effect and as may be required for participation in the Blue Cross service plan whereby payment for hospital care, treatment, and reimbursement for other health services are provided by Blue Cross to members of such plan.

c. Further, to authorize the Chancellor of the University of Massachusetts Medical Center to delegate to the Dean of the University of Massachusetts at Worcester the authority to execute such agreements and contracts in the absence of the Chancellor. (Doc. T82-072)

The Committee then considered a request from the Boston campus to name one of the two auditoriums, in Wheatley Hall, In Honor of Muriel S. Snowden. Mrs. Snowden was a distinguished citizen of the Commonwealth and a former Trustee of the University of Massachusetts. It was moved, seconded and

VOTED: To recommend that the Board name the auditorium in Wheatley Hall at the University of Massachusetts at Boston the Muriel C. Snowden Auditorium, this to honor a distinguished citizen of the Commonwealth and a former member of this Board. (Doc. T88-059)

Revisions to the Residency Requirements--UM/Amherst were then considered. Vice Chancellor Madson said the revisions clarify language and make the procedures more efficient. It was moved, seconded and

VOTED: To recommend that the Board approve the revised Rules and Regulations Governing the Residency Status of Students for Tuition Purposes set forth in Doc. T80-002B.

Trustee Bowman in presenting the next item, Alumni Fund--UM/Amherst, said the University Relations and Development Committee will focus this year on development issues of the University at all three campuses. Vice Chancellor Ling spoke about the development office at Amherst, its history over the last five years relative to the growth in personnel, expenditures and annual giving. In summary, the Development and Alumni affairs staff has doubled to a total of 44.5; total expenditures for personnel and non-personnel increased from $791,246 and total growth in non-campaign dollars from 1984-1987 was approximately $2.2 million.

Vice Chancellor Ling noted that the average age of alumni is increasing and the numbers of alumni are increasing. As these alumni age and move into more lucrative parts of their careers, she expects to see more giving.

Then ensued discussion about undertaking a capital campaign and the need to properly organize and coordinate in order to raise funds at Amherst. The status of Boston and Worcester development will be discussed in more detail at a later meeting.

Acting Chancellor Babcock then spoke to the Appointment of Dr. Brenda Cherry as Dean of the School of Nursing--UM/Boston. Dr. Cherry is currently Associate Dean at George Mason University School of Nursing. It was moved, seconded and

VOTED: To recommend that the Board concur with the President in the appointment of Brenda Cherry as Dean of the School of Nursing at the University of Massachusetts at Boston, effective January 1, 1989. (Doc. T88-061)

Acting Chancellor Babcock then reviewed the background of Jemadari Kamara Recommended for Appointment as Dean of the College of Public and Community Service. Dr. Kamara is currently director of the Afro-American Studies Program and Assistant Professor of Afro-American Studies and Political Science at the University of Michigan - Flint.

He has a record of both service and scholarship which bring together research and a commitment to the search in a way which are particularly appropriate for the College of Public and Community Service. It was moved, seconded and

VOTED: To recommend that the Board concur with the President in the appointment of Jemadari Kamara as Dean of the College of Public and Community Service at the University of Massachusetts at Boston effective September 1, 1988. (Doc. T88-062)

President Knapp noted that with regard to the Alcoholic Beverage Policy--UM/Amherst, it was discovered that 14 years ago the Board adopted an Alcoholic Beverage Policy at the campus which has gone through a significant number of modification over the years which have never been brought to the Board. He added that he would put together a package identifying the changes along with the current recommendation. This would allow policy to be in effect at the beginning of the school year.

Chair Knowles then called for a motion to go into Executive Session to discuss Honorary Degrees. Chair Knowles voted for the motion as did Trustees Bowman, Carlin, Kruczek and Oakes. The time was 2:20 p.m. and it was moved, seconded and

VOTED: To go into Executive Session.

At 2:36 p.m. the Committee came out of Executive Session and

VOTED: To recommend that the Board award honorary degrees to those individuals agreed upon in Executive Session and to instruct the Chancellor of the Amherst campus to proceed with the necessary arrangements.

The meeting adjourned at 2:30 p.m.

Cynthia A. Fontaine

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

5130t

UNIVERSITY OF MASSACHUSETTS

BOARD OF TRUSTEES

MINUTES OF THE MEETING OF THE
EXECUTIVE COMMITTEE
Tuesday, September 20, 1988; 1:00 p.m.
Room 1101
Campus Center
University of Massachusetts
Amherst, Massachusetts

Committee and Committee Staff: Chair Knowles; Trustees Carlin, Huguenin, Kruczek, Oakes and Zervas; Mrs. Fontaine, Administrative Secretary

Committee Member Absent: Trustee Bowman

Other Trustees: Trustees Orefice and Walker

University Administration: President Knapp; Vice President Lenhardt; Mr. Searson, General Counsel

UM/Amherst: Chancellor Duffey

UM/Boston: Chancellor Penney; Vice Chancellor Babcock

UM/Worcester: Chancellor Laster; Provost Kelly

Chair Knowles called the meeting to order at 1:05 p.m. and asked that the Committee go into Executive Session to discuss Honorary Degrees. At 1:06 p.m. it was moved, seconded and, on roll call,

VOTED: To enter Executive Session.

Chair Knowles voted for the motion as did Trustees Carlin, Huguenin, Kruczek, and Oakes. Trustee Zervas arrived at 1:10 p.m.

At 1:35 the Committee returned to open session and it was moved, seconded and

VOTED: To recommend that the Board award honorary degrees to those individuals agreed upon in executive session and to instruct the Chancellors to proceed with the necessary arrangements.

The Committee then considered the Minutes of the June 15, 1988 Hospital Management Board. Trustee Carlin noted that on page 3 of the minutes it talks about the Capital Outlay Budget Bill and the bottom paragraph should read "Dr. Laster" not Carlin.

He also mentioned that the issue of FTE at the Hospital is still not resolved and he pointed to the June 15th minutes, page 2 "Mr. Carlin requested to record the motion that the budget calls for no increase FTE's for the next fiscal year." Dr. Laster asked to go on record in complete accord with the commitment to have no increase. Without further discussion, it was moved, seconded and

VOTED: To recommend that the Board approve the amended minutes of June 15, 1988 meeting of the Hospital Management Board of the University of Massachusetts Medical Center including the May, 1988 unaudited financial statement, and the appointments and reappointments to the Medical Staff. (Doc. T88-077)

The next item on the agenda was Faculty Grievance Procedures for the Worcester campus. Provost Kelly outlined the procedures. The purpose is to "foster" prompt and equitable resolution of grievances by either informal or formal means.

Counsel Searson's exception to that section of the document involving an appeal to a standing committee of the Board was noted. Trustee Zervas added that the Committee on Academic and Student Affairs recommended that the document be consistent with the policies on the Amherst and Boston campuses. It was moved, seconded and

VOTED: To recommend that the Board approve the Faculty Grievance Procedure of the University of Massachusetts at Worcester contained in Doc. T88-038A.

The next item on the agenda was the Code of Student Conduct--UM/Amherst. The proposed changes were minor procedural changes and wording changes to clarify the language in the Code. Chancellor Duffey indicated there was concern about ensuring student representation on campus hearing Boards as well as the authority of the University Administration to appoint single hearing officers. An amendment was submitted to reflect this change.

Trustee Orefice asked to go on record that the students were not in agreement with the amendment which provides for a decision by the Vice Chancellor for Student Affairs or his/her designee. After discussion it was moved, seconded and

VOTED: To recommend that the Board approve the revisions to the Code of Student Conduct of the University of Massachusetts at Amherst contained in Doc. T86-030B, as amended.

The last item on the agenda for approval was Motor Vehicle Rules and Regulations at the Amherst campus. It was moved, seconded and

VOTED: To recommend that the Board approve the revisions in the Motor Vehicle Rules and Regulations of the University of Massachusetts at Amherst set forth in Doc. T82-023B.

The Committee then discussed a proposal to have the University become the licensee and manager of the proposed Dock at Columbia Point. Vice Chancellor Babcock reviewed the history of the dock, which was originally conceived as a federal project and will now be built by the state in order to provide water access to all the facilities at Columbia Point.

Since it will be a state facility, the Department of Environmental Management is interested in a state organization becoming responsible for the on-going management by the dock. The actual cost of construction of the dock is $500,000; the dredging is in addition to that. Initial costs entailed in managing the dock is estimated at less than 10% of construction costs plus five positions for security.

From the discussion that followed, it was clear that the Committee had no interest in pursuing this proposal.

There being no further business to come before the Board the meeting adjourned at 2:20 p.m.

Cynthia A. Fontaine

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

5253t

UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE MEETING OF THE
EXECUTIVE COMMITTEE

Tuesday, November 22, 1988; 2:00 p.m.
Meeting Room A
UMass Medical Center
Worcester, Massachusetts

Committee and Committee Staff: Chair Knowles; Trustees Bowman, Carlin, Huguenin, Kruczek, Oakes and Zervas; Mrs. Fontaine, Administrative Secretary; Ms. Warner, Deputy Secretary

Other Trustees: Trustees Haynes and Orefice

University Administration: President Knapp; Associate Vice President Willits

UM/Amherst: Chancellor Duffey; Mr. Hite, Chair of the Amherst Housing Authority, Professor Minear, Amherst Selectman; Ms. Schroder, Associate Director of the Amherst Housing Authority

UM/Boston: Chancellor Penney

UM/Worcester: Chancellor Laster

Chair Knowles called the meeting to order at 2:20 p.m. The first item on the agenda was Hospital Management Board Minutes. Trustee Carlin asked that the minutes be clarified. The minutes were changed by inserting under 1) paragraph 2

> For the fiscal year ending June 30, 1988, unaudited operating gain is $2.7 million compared to $1.4 million budgeted. The Hospital's August financial statement reflects an operating gain of $641,000 compared to a budgeted gain of $234,000. This brings the Hospital's two month actual gain to $887,000 compared to a budgeted loss of $26,000.
>
> If the level of activity continues for the remainder of the fiscal year, we would be ahead of what was anticipated.
>
> It was moved, seconded, and
>
> VOTED: To recommend that the Board approve the amended minutes of the September 28th Hospital Management Board including the June, July and August, 1988 unaudited financial statements, and the appointments and reappointments to the Medical Staff. (Doc. T88-084)

The Chair then asked that the Committee consider the Amherst Housing Authority issue which had been discussed at the October 5th Board of Trustees meeting and referred to the Executive Committee. Trustee Bowman and Trustee Zervas spoke to the feeling of discontent by the neighbors, the fact that there are other parcels of University land that might be available, and the absence of a land policy.

John Hite, Chair of the Amherst Housing Authority, introduced Dick Minear, an Amherst selectman and faculty member, and Nancy Schroder, Associate Director of the Amherst Housing Authority, who spoke in favor of the request. Mr. Hite distributed a map which identified the Fairfield Street site. The Housing Authority will be using 1 1/2 acres of the 3.5 acre site to build three duplexes for low-income housing, and the lot sizes would be consistent with that of the neighborhood. The support in the community to build these three duplexes for low income housing for six additional families ranged from the Board of Selectmen through the Planning Board, the League of Women voters and the local legislative members. The town currently has a waiting list for affordable housing of 497 families many of whom work at the University.

There was also a discussion of the future location of the Polymer Building and its relationship to the neighborhood. It was moved, seconded and

VOTED: To recommend that the Board authorize the Chancellor of the University of Massachusetts at Amherst, subject to review by the President's Office and the approval of the Division of Capital Planning and Operations, the Board of Regents and the Massachusetts Legislature, to lease for a period of 99 years a 3.5 acre parcel of land abutting Fairfield Street and Berkshire Terrace to the Amherst Housing Authority under the terms and conditions set forth in Doc. T88-078;

and,

To recommended that the Board, subject to the approval of the Division of Capital Planning and Operations, the Board of Regents and the Massachusetts Legislature, the transfer of ownership to the Amherst Housing Authority of a 33,000 square foot parcel at the corner of Olympia Drive and East Pleasant Street subject to the transferral of ownership to the Commonwealth (for University use) of a one acre parcel on Olympia Drive owned by the Amherst Housing Authority under the terms and conditions set forth in Doc. T88-078;

and further,

To recommend that the Board on behalf of the University of Massachusetts the transfer of ownership of a one acre parcel on Olympia Drive from the Amherst Housing Authority to the Commonwealth (for University use), subject to the approval of the Board of Regents and tne Division of Capital Planning and Operations, and under the terms and conditions set forth in Doc. T88-078.

The vote was 4:3.

It was then moved, seconded and

VOTED: To recommend that the Board direct the President to submit to the May, 1989 Executive Committee meeting a land use policy for each campus.

The Committee then discussed Executive Compensation. Chair Knowles indicated that the Board of Regents' salary increase guidelines allow the Board to consider maximum increases of 12% for President Knapp, 9% for Chancellor Duffey and 7% for Chancellor Laster.

The guidelines also require individual evaluations. The Committee commented on the performance of the President and Chancellors. It was concluded that if there was ever a more needed time for the services of superb individuals, it was now. Given the challenges of the budget situation, their duties are more difficult than ever. The University runs the risk of losing them at this point because their salaries are not competitive with other institutions, and the risk we might take in awarding an increase is less than the risk we face in potentially losing them to other institutions.

President Knapp then described concerns which are surfacing with the current status of the budget. Should the University have to revert to the State 3% or 5% of its appropriation, the campuses will have to make some very hard decisions by the campuses. In addition to those reversion figures the Boston campus is already facing a $1.2 million deficit in its fuel account.

It was the sense of the Committee that if times were good the Committee would recommend the maximum allowable increase. However, after a lengthy discussion of salary increases received by other state employees including a 5% cost of living increase given to University employees on June 26, 1988 and available alternatives, it was moved, seconded and

VOTED: To recommend that the Board approve, based on the annual evaluations, a 5% increase of the state-funded salary, effective January 1, 1989, for President Knapp and Chancellors Duffey and Laster; said increases are comparable to those previously received by all other University employees.

The Committee then considered an amendment to the Executive Compensation Policy (T87-032A) to change the reference to "Special and Community Activities Funds" to "Operating Support Funds".

It was moved, seconded and

VOTED: To recommend that the Board amend the Executive Compensation Policy by deleting under the category Entertainment references to the Special and Community Activities Funds and inserting in place thereof Operating Support Funds. (Doc. T87-032B)

Trustee Zervas then reported about the November 16th Academic and Student Affairs Committee meeting and the incidence of a breach of the confidentiality of the tenure process. It was agreed that a resolution would be brought before the full Board concerning this issue and sent to the University Community along with a letter to the Executive Council.

Chair Knowles then called for a motion to enter into executive session to discuss collective bargaining. The time was 3:35 p.m. It was moved seconded and

VOTED: To enter executive session.

Chair Knowles voted for the motion as did Trustees Bowman, Carlin, Huguenin, Kruczek, Oakes and Zervas.

At 4:00 p.m., the Committee came out of executive session and the meeting adjourned.

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

5395t

UNIVERSITY OF MASSACHUSETTS

BOARD OF TRUSTEES

MINUTES OF THE MEETING OF THE
COMMITTEE ON BUDGET AND FINANCIAL AFFAIRS

Wednesday, January 27, 1988; 2:00 p.m.
Conference Room S1-123
University of Massachusetts
Medical School
Worcester, Massachusetts

Committee and Committee Staff: Trustee Oakes, Chair; Trustees Carlin, DiCara, Knowles and Wingle; Mrs. Fontaine, Administrative Secretary; Mr. Miller, Recorder

University Administration: President Knapp; Vice Presidents Smith and Lenhardt; Treasurer Brand; Mr. Searson, General Counsel;

UM/Amherst: Provost O'Brien; Vice Chancellor DeNyse; Mr. Littlefield, Associate Chancellor

UM/Boston: Vice Chancellor Baxter

UM/Worcester: Chancellor Laster; Vice Chancellor Adams

Trustee Oakes, Chair, called the meeting to order at 2:00 p.m. The first item on the agenda was Rosalind A. Matthews Scholarship.

The Committee discussed the terms and conditions of awarding the scholarship. President Knapp suggested that the Board allocate $50,000 from the Trustee Reserve to the University of Massachusetts Foundation. Additional support would be sought from private sources, e.g. John Hancock, NAACP. The scholarship would be a four year scholarship in the amount of tuition. Students eligible for the scholarship would be inner city/disadvantaged students, particularly graduates of the Boston Public School System who demonstrate financial need, enrolling in the School of Management at either undergraduate campus. The goal of the Matthews Scholarship would be to increase the number of minority and female students majoring in business administration and management. The selection would be made by the Board of Trustees and by application to the President's Office. The scholarship would be available to both freshmen and transfer students.

It was then moved, seconded and

VOTED: To recommend that the Board allocate $50,000 from the Trustee Reserve to the University of Massachusetts Foundation for the purpose of establishing the Rosalind A. Matthews Scholarship Endowment Fund; the terms and conditions of the award of the scholarship(s) will be determined by the Board at a later date. (Doc. T88-006)

The Committee then discussed Parking and Transit Policy and Fee Schedules--UM/Amherst. Provost O'Brien said the changes in the Parking Policy and Fee Schedules were designed to establish a more rational assignment of parking spaces and to insure that parking and transit will have a secure financial basis regardless of changes in outside funding.

After further discussion, it was moved, seconded and

VOTED: To recommend that the Board approve the changes in the parking system and parking fees set forth in Doc. T88-009.

And further,

VOTED: To recommend that the Board approve a student transit fee of $10.00 per student for FY1939 and 1990 and a student transit fee of $15.00 per student for FY1991, 1992 and 1993 which is a phased equivalent of the student transit fee as set forth in Trustee Document T88-009.

Treasurer Brand then discussed his FY87 Investment Report (Doc. T88-010). While the recent stock market crash had a deleterious effect on the University's investments and income, the overall performance for the year, on a comparative and actual basis, had been acceptable.

Trustee Oakes, Chair, called for a motion to enter into Executive Session in order to discuss Audits. Without discussion, it was moved, seconded and on roll call

VOTED: To enter Executive Session.

Trustee Oakes, Chair, voted for the motion as did Trustees Carlin, DiCara, Knowles and Wingle.

The time was 2:55 p.m.

At 3:15 the Committee voted to return to open session.

It was then moved, seconded and

VOTED: To recommend that the Board accept the following audits:

Draft Audit Report #88-3, Consultants
(Doc. T88-011)
Draft Audit Report #88-4, Other Issues
(Doc. T88-012)
External Audit Report - NCAA Intercollegiate Athletics Program--UM/Amherst
(Doc. T88-013)

There was no further business to come before the Committee and the meeting was adjourned at 3:15 p.m.

John F. Miller
Deputy Secretary

4779t

UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE MEETING OF THE COMMITTEE ON
BUDGET AND FINANCIAL AFFAIRS

Tuesday, March 22, 1988; 11:00 a.m.
Meeting Room B&C
University of Massachusetts
Medical Center
Worcester, Massachusetts

Committee and Committee Staff: Trustee Oakes, Chair; Trustees DiCara and Knowles; Mrs. Fontaine, Administrative Secretary; Mr. Miller, Deputy Secretary

Committee Members Absent: Trustees Carlin and Wingle

University Administration: President Knapp; Vice President Lenhardt; Mr. Searson, General Counsel; Ms. Willits, Associate Vice President for Human Resources; Mr. Wolcott, Senior Director of Auditing

UM/Amherst: Chancellor Duffey; Mr. DeNyse, Vice Chancellor for Administration and Finance; Mr. Page, Administrator

UM/Boston: Mr. Baxter, Vice Chancellor for Administration and Finance; Vice Chancellor Babcock

UM/Worcester: Chancellor Laster; Mr. Adams, Vice Chancellor for Administration and Finance;

Chairman Oakes convened the meeting of the Budget and Financial Affairs Committee at 11:10 a.m.

The first item on the agenda was Mid Year Review --UM/Amherst, UM/Boston, UM/Worcester. Vice President Lenhardt indicated that for non-state appropriated funds we are in a sound financial situation. The reviews show two or three minor trust fund issues concerning balances, but plans are in place to solve those problems.

As far as the review of the state appropriation, the review must be viewed in context of the current budget situation. To balance the State's budget, the Secretary for Administration and Finance requested a reversion of $22 million dollars from public higher education. The University's share of that reversion turned out to be $7.5 million dollars. A freeze was put on funds for graduate stipends, for equipment, and on the funds for non-unit professional and classified salary increases.

The freezes have since been lifted, but the University is now expected to revert over 2.1 million dollars throughout the University. In the mid-year review summary deficits appear in the salary account at all three campuses which reflect the fact that the non-unit salary increase money has yet to be moved into the University's account.

The share of the reversion for the University vis-a-vis the state colleges and community colleges, is disproportionate. The overall higher education budget the University reflects 43% of the state's appropriation. The reversion is 48%.

Expectations for the 1989 budget are not encouraging.

Within the mid-year review was a detailed review of Trust Fund Interest activity which indicates original budgets, adjusted budgets and expenditures.

President Knapp indicated that a number of bills dealing with public higher education trust funds were before the Education Committee. He added that he had asked that Committee to defer action on those bills until the House Ways and Means Subcommittee on Trust Funds has made its recommendation.

The problems in the fuel-utilities account at Boston and Amherst were then discussed. Both campuses are experiencing problems due to cuts and continued underfunding.

The next item on the agenda was FY89 Student-Fee Based Budget and Related Fees. Under the category of budgets and associated non-mandatory student and other fees, the Committee discussed the new Student Counseling Fee which is assessed one time and the proposed increases in the Athletics fee at Boston.

Chancellor Duffey then spoke to the proposed increase in the Student Health Fee at Amherst. The proposed change of $20 to an annual fee of $270 is necessary because of the increase cost of personnel.

It was moved, seconded and

VOTED: To recommend that the Board take the following action:

To approve the FY89 Student-Fee Based Budgets and related fees contained in Doc T88-020:

A. Budgets and associated Student fees (no increase and/or over $500,000 annual budget):

(Annual)

Fund Name	Annual Budget	Change	FY89 Fee
Amherst			
Continuing Education	$ 4,767,000	$0	$65/80/30
Int'l Programs	$ 1,485,000	$0	$ 20
Authority Fees	$ 6,070,000	$0	$261
Boston			
Student Health	$1,529,932	$0	$60
Student Activity	$ 753,000	$0	$30

B. Budgets and associated mandatory student fees (increases)

Amherst			
Athletics (Undergrad.)	$2,142,000	$ 5	$112
Fine Arts Council	$1,452,000	$ 4	$ 34
Student Activity	$4,192,000	$ 3	$107.50
Student Health	$9,064,000	$20	$270
Grad. Student Senate	$ 265,000	$ 9	$ 63

C. Budgets and associated non-mandatory student and other fees (increases)

Amherst			
Graduate Student Prog.	$ 220,000	$10	$ 75
New Student Counseling	$ 381,000	$18	$ 80
Resident Hall Telecom	$ 781,000	$ 4	$ 70
Supp. Health Plan	$2,171,000	$20	$225
Undergrad. Commencement		$ 3	$ 33
Boston			
Continuing Education	$2,756,658		
Undergraduate		$11	$65
Graduate		$12.5	$80
Late Registration		$ 5	$25
Bad Check		$ 5	$25
Athletics	$1,147,668		
Ind. Community Membership		$50	$100
Family Community Membership		$35	$125
Youth Membership		$ 0	$ 30
Worcester			
Student Health Extended Coverage	$200,688	$11	$200

D. Budgets and associated fees (Room and Board)

Amherst			
Room Charge	$18,102,000	$141	$1,513
Board Charge	$30,965,000	$ 78	$1,280

The Personnel Actions at Boston and Amherst were then considered. It was moved, seconded and

VOTED: To recommend that the Board approve an overscale salary of $64,107 for B. Donald Grose, Director of Libraries at the University of Massachusetts at Boston. (Doc. T88-021)

And further,

VOTED: To approve an overscale salary of $85,000 for Marilyn Haring-Hidore, Dean of the School of Education at the University of Massachusetts at Amherst. (Doc. T88-022)

Trustee Oakes then asked for a motion to enter executive session to discuss Audits. Without discussion, it was moved, seconded and

VOTED: To enter executive session.

The time was 11:50 a.m. Trustees Oakes, Chair, voted for the motion as did Trustees DiCara and Knowles.

At 12:15 p.m. the Committee came out of executive session and

VOTED: To recommend that the Board accept the following audits:

University of Massachusetts at Boston
Athletic Trust Fund (Doc. T88-024)
NCAA Intercollegiate Athletic Program (Doc. T88-023)
WUMB-FM Radio Station (Doc. T88-025)

University of Massachusetts at Amherst
Bursar's Office (Doc. T88-026)
WFCR and Friends of WFCR, Inc. (Doc. T88-027)

At 12:16 p.m. the meeting recessed. At 1:00 p.m. the meeting reconvened and

VOTED: To recommend that the Board approve a memorandum of understanding, including salary adjustments, for University of Massachusetts Medical Center nurses. (Doc. T86-086A)

The meeting adjourned at 1:20 p.m.

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

4887t

UNIVERSITY OF MASSACHUSETTS

BOARD OF TRUSTEES

MINUTES OF THE MEETING OF THE COMMITTEE ON
BUDGET AND FINANCIAL AFFAIRS

Wednesday, May 25, 1988; 2:00 p.m.
Room S1-123
Medical School
University of Massachusetts
Worcester, Massachusetts

Committee and Committee Staff: Chair Oakes; Trustees Carlin, DiCara, and Knowles; Mrs. Fontaine, Administrative Secretary

Committee Member Absent: Trustee Wingle

University Administration: President Knapp; Vice President Lenhardt; General Counsel Searson

UM/Amherst: Chancellor Duffey; Vice Chancellor DeNyse; Professor Roberts, Faculty Representative

UM/Boston: Vice Chancellor Baxter

UM/Worcester: Chancellor Laster

Trustee Oakes, Chair, called the meeting to order at 2:00 p.m. and asked President Knapp to update the Committee on the latest developments concerning the the FY89 Budget. The version reported from the House is estimated to represent a shortfull of $13.5 million for the University. Additional problems include deficiencies in Cooperative Extension funding and the potential of further reductions in 01 and 03 accounts. The effect this would have on the University would require steps being taken which might include limiting freshman or transfer admissions, instituting a hiring freeze, reducing the number of courses/and or class sections offered, reassessing and reducing the number and level of community service activities, instituting a moratorium on salary increases for all non-unit employees, and reducing the number of positions.

With regard to the Capital Outlay budget the Board of Regents has proposed to the House Ways and Means Committee a reduction of $36 million which would eliminate all recommended physical education facilities and a further reduction of $58 million targeted for projects at the University of Lowell, Southeastern Massachusetts University, North Shore Community College, and Middlesex Community College.

The Committee then considered Tuition Charges. President Knapp reported that the Board of Regents approved an increase in tuition for undergraduate, graduate and non-resident students and authorized the the Chancellor of the Board of Regents to implement the increases if tuition retention or acceptable appropriations are not made available to public higher education institutions. Additional tuition revenue generated for the University would be approximately $3 million. It was moved, seconded and

VOTED: To recommend that the Board approve the Tuition charges for the 1988-89 Academic Year as set forth below; provided, however, that the President is authorized to reduce the tuition charges if the Chancellor of the Board of Regents exercises his discretion as authorized by the Board of Regents:

A. Resident Tuition Per Year

	AMHERST	BOSTON	WORCESTER
Undergraduate	$1,404	$1,404	n/a
Graduate	$1,758	$1,758	$6,378

B. Nonresident Tuition Per Year

	AMHERST	BOSTON	WORCESTER
Undergraduate	$4,836	$4,836	n/a
Graduate	$5,070	$5,070	n/a

Trustee Oakes, Chair, presented a two-part loan request from University Health Systems, Inc.. First, a loan of $250,000 for the purposes of the Home Health Care project was requested subject to a revised business plan being presented to the Committee prior to the June 1st Board meeting. Further, it was stipulated that the loan for this investment be from University funds with interest to be at the prime rate. Second, a loan of $250,000 for working capital for University Health Systems. Both loans would be subject to the repayment of $75,000 from a previous loan approved by the Board February 2, 1986 for the Magnetic Resonance Imaging Center.

After further discussion about the terms of the loan including the repayment schedule, it was moved, seconded and

VOTED: To recommend that the Board approve a loan of $250,000 from the Hospital Trust Fund to University Health Systems of New England, Inc., which shall be in the form of a demand note, for the second year of operation. The interest rate shall be consistent with University on-going cash investment rates, and the term and conditions of the demand note shall be subject to approval by the Vice President of Management, General Counsel, the Chancellor of the Medical Center, and the University Health Systems Board of Directors.

Further, to approve a loan of up to $250,000 from the University which shall be in the form of a demand note to UMed for the purpose of investment in a home care partnership program. The interest rate shall be consistent with University on-going cash investment rates, and the terms and conditions of the demand note shall be subject to approval by the Vice President for Management, General Counsel, the Chancellor of the Medical Center, and the the University Health Systems Board of Directors.

Provided, further, that prior to said loans being made, a repayment of $75,000 shall be received on a loan approved by the Board on February 2, 1986. (Doc. T86-013)

Vice Chancellor Baxter then presented a request for an Overscale Salary of $72,000 for Dr. Yung-Ping Chen. Dr. Chen will occupy the Frank J. Manning Chair in Social Gerontology at the University of Massachusetts at Boston. It was moved, seconded and

VOTED: To recommend that the Board approve an overscale salary of $72,000 for Dr. Yung-Ping Chen, Professor and Frank J. Manning Eminent Scholar in Social Gerontology at the University of Massachusetts at Boston. (Doc. T88-029, Addendum 1)

The next item was to increase the Salary Ranges for twelve-month Department Chairs at the Medical Center to reflect across-the-board salary increases: 4% for FY87, 4% for FY88, and 5% for FY89. This recommendation also allows the President in the future to increase the salary ranges for these individuals consistent with salary increases approved by the Board of Trustees. It was moved, seconded and

VOTED: To recommend that the Board concur with the President in raising the salary range for the Chairman A Schedule (12 month department chairs) at the University of Massachusetts Medical Center, as described in Doc. T88-048; further, to delegate to the President beginning FY90, the authority to raise this salary range long with other University salary ranges in accordance with Trustee-determined salary increases. Further, to delegate to the President beginning FY89 the authority to designate overscale salaries to chairpersons during their tenure as chair. (Doc. T81-068, T81-070, T84-108)

The Committee discussed and tabled a request to establish a Financial Aid Special Project Fund from reimbursement from the Massachusetts Educational Loan Authority. The fund, as proposed, would support proposals from Financial Aid Directors which would enhance management and control of Financial Aid Funds. The Committee, in the course of its discussion, requested that a list of the specific projects be brought to the Committee.

Then considered was the University Travel Policy. The new policy treats reimbursement from all funding sources for travel expenses consistently and allows for reimbursement for parking and tolls while operating a privately owned vehicle on University business in addition to receiving 22¢ for mileage reimbursement. The new policy also establishes a per diem rate for meal reimbursement based on the number of hours an individual is on travel status. It was recommended that the per diem rate be deferred for implementation until FY90.

It was moved, seconded and

VOTED: To recommend that the Board approve the University Travel Policy contained in Doc. T88-043, for implementation at the beginning of FY89; however, page 6, the change in the per diem rate, be implemented for FY90; further, to rescind the Travel Policy contained in Doc. T78-053, approved by the Board February 1, 1978.

The next two items dealt with Residential Contracts for the 1988-1989 Academic Year at the Amherst Campus. The revisions to the Prince House Residential Contract included annual changes in dates and an increase in the prepayment charge from $50.00 to $100.00 which is applied toward their rent. The revisions to the Residence Hall Contract include similar date changes and changes intended to clarify entry into student rooms. It was moved, seconded and

VOTED: To recommend that the Board approve the revisions to the Prince House Residential Contract including an increase in the prepayment charge from $50.00 to $100.00 as contained in Doc. T80-045B.

and,

VOTED: To recommend that the Board approve the changes in the Residential Contract at the University of Massachusetts at Amherst contained in Doc. T79-034C.

The Committee then heard an explanation of the benefits provided under the State Retirement System vis-a-vis the change in the Pension Reform Law which eliminated the 1978 provision that stipulated for employees hired after January 1, 1979 the maximum salary upon which their retirement allowance could be based was $30,000.

The Committee then reviewed the Housing Allowance provided for the President and Chancellors currently set at $1,500 per month and recommended an increase to $1,600 per month realizing, however, that this did not accurately reflect current market conditions.

It was moved, seconded and

VOTED: To recommend that the Board amend the Board votes of 10/3/84 and 4/8/87 on Executive Compensation to increase the housing allowance for the President and Chancellors to $1,600 per month. (Doc. T87-032)

Vice President Lenhardt then briefed the Committee on the status of the Telecommunications Project. Funding for the Amherst campus has been received in the amount of $5 million in the FY88 budget, $10.4 million is currently proposed in the FY89 budget and additional funds will be secured from non-state funds. The next steps are to identify a legal firm to develop appropriate contract language to handle the legal

details for the financing vehicle and to release the RFP for finance/lease purchase agreement. It is anticipated that the contract will be brought to the July 28th Committee meeting for action.

Mr. Wolcott, Director of Internal Auditing, presented an interim one-year Audit Plan. The major areas of audit concentration for FY89 will be:

* External audit support and coordination
* Specific purpose audits
* Internal control systems audits
* EDP/MIS system control audits
* Selected revenue-based trust funds
* Follow-up on previous audits, internal and external
* Other audits as required
* Audit planning and program development

A five year audit plan designed to provide complete coverage throughout the University is being planned and expected to be brought to the Committee in January 1989. Trustee Oakes, Chair, stressed the opportunity, given the budgetary constraints, to utilize the audit function to ensure better financial control, tighten operations throughout the University, and to look for ways to reduce expenditures. President Knapp emphasized the value of the auditing function to the University as a management function. It was moved, seconded and

VOTED: To recommend that the Board approve the FY89 Audit Plan contained in Doc. T88-050.

Trustee Oakes, Chair, then called for a motion to go into Executive Session to discuss Audits. Without discussion, it was moved, seconded and on roll call

VOTED: To enter Executive Session.

Trustee Oakes, Chair, voted for the motion as did Trustees Carlin, DiCara, and Knowles.

The time was 3:15 p.m.

At 3:30 p.m. the Committee voted to return to open session. It was then moved, seconded and

VOTED: To recommend that the Board accept the following audits:

University Health Services--UM/Amherst
(Doc. T88-044)
Medical School Teaching Hospital Trust Fund
(Doc. T88-045)
Medical School Group Practice Plan
(Doc. T88-046)
Medical Center Self-Insurance Trust Fund
(Doc. T88-047)

There was no further business to come before the Committee and the meeting adjourned at 3:31 p.m.

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

5008t

UNIVERSITY OF MASSACHUSETTS

BOARD OF TRUSTEES

MINUTES OF THE SPECIAL MEETING OF THE COMMITTEE ON BUDGET AND FINANCIAL AFFAIRS

Wednesday, June 1, 1988; 1:45 p.m.
University of Massachusetts
Medical School
Meeting Room B
Worcester, MA

Committee and Committee Staff: Chair Oakes, Trustees Carlin and DiCara; Mrs. Fontaine, Administrative Secretary

University Administration: Vice President Lenhardt

UM/Worcester: Chancellor Laster; Tom Bergan, Executive Director of University Health Systems, Inc.

Trustee Oakes, Chair, called the Special Meeting of the Committee on Budget and Financial Affairs to order at 1:50 p.m. The purpose of the meeting was to consider the two loan requests from University Health Systems vis a vis the business plan. After discussion, it was agreed that the loan to UMed would be obtained from either the University or commercially with the University as the guarantee. It was moved, seconded and

VOTED: To recommend that the Board approve a loan of $250,000 from the Hospital Trust Fund to University Health Systems of New England, Inc., which shall be in the form of a demand note, for the second year of operation. The interest rate shall be consistent with University on-going cash investment rates, and the terms and conditions of the demand note shall be subject to approval by the Vice President of Management, General Counsel, the Chancellor of the Medical Center, and the University Health Systems Board of Directors.

Further, to approve a loan of up to $250,000 from the University which shall be in the form of a demand note to UMed for the purpose of investment in a home care partnership program or to provide a loan guarantee on a loan from a commercial bank to UMed. The interest rate shall be consistent with University on-going cash investment rates, and the terms and conditions of the demand note or loan guarantee shall be subject to approval by the Vice President for Management, General Counsel, the Chancellor of the Medical Center, and the University Health Systems Board of Directors.

Provided, further, that prior to said loans being made, a repayment of $75,000 shall be received on a loan approved by the Board February 2, 1986 (Doc. T86-013)

There being no further business to come before the Committee, the meeting adjourned at 2:00 p.m.

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

5029t

UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE MEETING OF THE
BUDGET AND FINANCIAL AFFAIRS COMMITTEE

Wednesday, July 27, 1988; 2:00 p.m.
S123 Conference Room
University of Massachusetts
Medical School
55 Lake Avenue North
Worcester, MA 01605

Trustees and Trustee Staff: Chair Oakes; Trustees Carlin, DiCara, Haynes, Knowles, and Orefice; Mrs. Fontaine, Administrative Secretary

Other Trustees: Trustees Kruczek and Walker

University Administration: President Knapp; Vice President Lenhardt; Treasurer Brand; Ms. Napora, Assistant Vice President of Management and Fiscal Affairs Napora; Mr. Wolcott, Director of Auditing; Mr. Bench, Associate Counsel; Mr. Trotman, Director, UMass Foundation

UM/Amherst: Chancellor Duffey; Provost O'Brien

UM/Boston: Acting Chancellor Babcock; Mr. Baxter, Vice Chancellor for Administration and Finance

UM/Worcester: Chancellor Laster

Guests: Wesley Hough and Ann-Ellen Marcus Hornidge

Chair Oakes convened the meeting at 2:05 p.m. and introduced Trustee Robert Haynes.

The first item on the agenda was Telecommunications. Vice President Lenhardt gave an overview of the project and the contract for the purchase and installation of a University-wide multi-campus telecommunications system to Ericsson Information Systems, the lowest qualified bidder. He then introduced Wesley Hough of Public Resources Advisory Group and Ann-Ellen Marcus Hornidge of Mintz, Levin, Cohn, Ferris, Glovsky and Popep, P.C., who spoke to the financing of the project. The total cost of the Ericsson contract plus campus start-up costs, construction contingencies and financing expenses will be financed from:

Commonwealth Capital Contributions	$15,400,000
Certificates of participation	18,670,000
Hospital Capital Contribution	749,000
Interest Earnings	1,621,000
	$36,440,000

The estimated fee requirement for resident Hall students two years from now will be approximately $100 a year.

Trustee Haynes expressed his concern that local sub-contractors and union/area labor be hired for the project and this be ensured through the contract process.

Trustee Orefice raised her concern about going forward with the project now not knowing what the students will be charged in two years.

After further discussion, it was moved, seconded and

VOTED: To recommend that the Board accept the recommendation of the Tri-Campus Committee on Telecommunications as presented in the January 2, 1988 letter from Martin A. Smith, Chairperson of the University Telecommunications Committee to Vice President Stephen Lenhardt. "The committee is recommending Ericsson Information Systems, a Division of Ericsson Systems, Inc., as the lowest qualified bidder meeting the University of Massachusetts specification for a fully integrated University-wide digital communications system capable of handling voice and data across the University of Massachusetts network."

Approves award of a contract for the purchase and installation of a University-wide multi-campus telecommunications system for the University of Massachusetts to Ericsson Information Systems, a division of Ericsson Systems Inc., based upon the RFP document and vendor's bid response on Project No. UMA 87-18.

Approves the proposed plan for financing the Telecommunications System as described in the document from Public Resources Advisory Group.

(i) Authorizes President Knapp to executive and deliver, on behalf of the University of Massachusetts, a Lease-Purchase Agreement between the University of Massachusetts and Ericsson Information Systems, substantially in the

form attached hereto as Document T85-060A, with such amendments and modifications as he may approve, his execution thereof conclusively to identify the same as being the Lease-Purchase Agreement (with approved changes, if any) authorized by this vote; and (ii) to execute and deliver or consent to and approve, on behalf of the University of Massachusetts, any other documents necessary or desirable to make effective the transaction contemplated in said Agreement.

The vote was 3:2:1

The next item on the agenda was FY89 Revenue Based Budgets which include non-student fee based budgets over $500,000. The Amherst proposal also included consolidation of trust funds, name changes of trust funds and the establishment of the Medical Education and Studies Trust Fund. It was moved, seconded and

VOTED: To recommend that the Board approve the Revenue Based Budgets of each of the three campuses contained in Doc. T88-065 and to approve the consolidation of functionally similar multipurpose trust funded activities at the University of Massachusetts at Amherst into the Academic Performance and Public Events Trust Fund, the Education Public Service Trust Fund, the Fee-For-Service Trust Fund, the Auxiliary Enterprises Trust Fund and the Laboratory Animal Care Trust Fund for the purposes set forth in Doc. T88-065.

And further,

To recommend that the Board change the name of the Horace M. Bond Center for Equal Education Trust Fund at the University of Massachusetts at Amherst to the Equity and Excellence Trust Fund and to approve the revised Statement of Trust as contained in Doc. T88-065.

And,

To recommend that the Board change the name of the Valley Health Plan Trust Fund at the University of Massachusetts at Amherst to the Kaiser Permanente Trust Fund and to approve the revised Statement of Trust as contained in Doc. T88-065.

And further,

To recommend that the Board change the name of the Research Council Trust Fund at the University of Massachusetts at Amherst to the Research Support Services Trust Fund. (Doc. T76-085A)

And,

To recommend that the Board establish the Medical Education and Studies Trust Fund at the University of Massachusetts at Amherst which self-supporting trust fund shall have as its purpose on-going medical education of University Health Systems physicians and clinicians; revenue derived from stipends and honoraria are expended to provide the education. (Doc. T88-065)

The University of Massachusetts Medical Center Hospital FY89 Budget was then considered. Acting Hospital Director Gail Frieswick said the projected revenue of 143.2 million was based upon a 15% rate increase.

Trustee Carlin raised a question concerning the number of FTE included in the budget versus what had been approved by the Hospital Management Board. After discussion, the Committee asked Trustee Carlin to review with the administration the FTE prior to the August 3rd Board meeting.

It was moved, seconded and

VOTED: To recommend that the Board take the following action:

To approve the FY89 Budget of the University of Massachusetts Medical Center Hospital and the 15% rate increase for FY89. (Doc. T88-065)

Mr. Webster, Executive Director of the Group Practice, then commented on the FY89 Group Practice Budget. He highlighted several changes among them an increase in cash receipts, the major change in the expenditure side - the percentage contribution to the Dean's Fund and an increase in physician salaries. It was moved, seconded and

VOTED: To recommend that the Board approve the FY89 Group Practice Budget contained in Doc. T88-065.

The next item on the agenda was Motor Vehicle Fines at the Amherst campus. It was moved, seconded and

VOTED: To recommend that the Board approve the schedule of fines for parking offenses at the Amherst campus, as set forth in Doc. T82-023B, pending approval of the Motor Vehicle Rules and Regulations amendments at the October meeting of the Board.

The committee then considered Personnel Actions at the Boston campus. It was moved, seconded and

VOTED: To recommend that the Board approve an overscale salary of $82,500 for Brenda Cherry, Dean of the College of Nursing at the University of Massachusetts at Boston. (Doc. T88-061, Addendum 1);

And further,

To recommend that the Board approve an overscale salary of $75,000 for Jemadari Kamara, Dean of the College of Public and Community Service. (Doc. T88-062, Addendum 1)

Treasurer Brand then described the investment policy guidelines for the management of the Medical Center Malpractice Self-Insurance Program. The report distributed by the Treasurer will be reviewed by Chancellor Laster. No action was required by the Committee.

The next item on the agenda was a Modification to the Tuition Waiver Policy to expand support for exceptionally talented students with demonstrated outstanding ability in community service, academics, athletics or the arts.

The proposed amendment would:

1. Incease the number of tuition waivers for exceptionally talented students from 100 to 135 for the Amherst campus

2. Allow up to one-third of the waivers at the Amherst campus be granted to out-of-state students

It was moved, seconded and

VOTED: To recommend that the Board, subject to the concurrence of the Board of Regents, amend the Tuition Waiver Policies (Doc. T83-098) by striking the number "100" in the first line of Section 4.2, and inserting the number "135" and further, to add the following after the word "Trustees" in line 10: "At the Amherst campus up to one-third of said waivers may be granted to out-of-state students."

Trustee Oakes, Chair, called for a motion to go into Executive Session for the purpose of discussing Audits. The time was 3:45 p.m. Chair Oakes voted for the motion as did Trustees Carlin, DiCara, Haynes, Knowles and Orefice.

At 4:00 p.m. the Committee came out of Executive Session and

VOTED: To recommend that the Board accept the following audits:

Internal Audits
University Health Center Pharmacy--UM/Amherst (Doc. T88-067)

Chancellors (Dean's) Fund--UM/Worcester (Doc. T88-068)

External Audits
Teaching Hospital Trust Fund--UM/Worcester (Doc. T88-069)
Group Practice Plan--UM/Worcester (Doc. T88-070)

The meeting adjourned at 4:05 p.m.

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

5147t

UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE MEETING OF THE
COMMITTEE ON BUDGET AND FINANCIAL AFFAIRS

Tuesday, September 20, 1988; 11:00 a.m.
Room 1101
Campus Center
University of Massachusetts
Amherst, Massachusetts

Trustees and Trustee Staff: Chair Oakes; Trustees Carlin, DiCara, Knowles and Orefice; Mrs. Fontaine, Administrative Secretary

Trustees Absent: Trustee Haynes

Other Trustees: Trustees Kruczek and Walker

University Administration: President Knapp; Vice President Lenhardt; Ms. Napora, Assistant Vice President for Management and Fiscal Affairs; Mr. Wolcott, Senior Director of Auditing

UM/Amherst: Chancellor Duffey; Mr. Littlefield, Planning Office

UM/Boston: Chancellor Penney; Mr. Baxter, Vice Chancellor for Administration and Finance

UM/Worcester: Chancellor Laster, Ms. Giacomarra, Assistant Dean for Fiscal Services

Trustee Oakes, Chair, convened the meeting at 11:10 a.m. The order of the agenda was changed and the first item considered was the William Joiner Center at the Boston campus. Chancellor Penney, in introducing this item, gave the proposal's background which is an academic and service program which studies the effects of war and culture specifically focusing on Vietnam.

Mr. Robert Glassman, a Vietnam veteran and businessman, addressed the Board to request it establish an autonomous foundation to raise support for the Center's activities and make a start-up contribution. Mr. Glassman indicated his personal commitment of $100,000 and to assist the Center through fundraising with others.

The Committee discussed the proposal, the source and scope of support and the vehicle which could be used to provide the support.

After discussion it was agreed that for the October 5th meeting, Counsel for the University would explore an acceptable financing mechanism and the level of support would be $100,000.

The next item considered was the FY1989 Operating Budget. Vice President Lenhardt gave an outline of the overall operating budget which is a compilation of all sources of funds and proposed expenditures. To meet the FY89 appropriation the steps taken early in the fiscal year included a freeze on hiring, a delay in awarding merit increases to professional staff, and reductions in personnel through attrition, elimination of programs and services and planned enrollment reductions.

He distributed charts identifying sources and uses of FY89 operating funds. He noted that focus of the University's budget had changed this year to adhere to higher education funding standards and to reflect how funds are allocated by organizational units on each campus. He noted shifts in state revenue. While state support has increased in absolute dollars the increases have not kept pace with the growth in the non-state portion of the budget. Also, expenditures for instruction have remained relatively the same, while research and public service expenditures have increased slightly.

The Committee then heard from the Chancellors about the allocation of expenditures and resources at the campuses. The Committee requested, at a later time, to devote more time to the creative ways we allow the University to grow and expand.

It was moved, seconded and

VOTED: To recommend that the Board approve the FY89 Operating Budget set forth in Doc. T88-065.

The next item on the agenda was a Request to Reaffirm Board Approval of December 5, 1985 to the UMass Building Authority to build the Mullins Convocation Center. The Authority will undertake a $24,000,000 bond issue and utilize the bond proceedings along with a $25,000,000 State Capital Outlay appropriation to construct the Center. Based on a 25 year bond issue recommended by the Committee, the 1993 student fee for authority projects would be $180 until 2004 when the previous debt service would be paid off and the fee would decrease to $71.

It was then moved, seconded and

VOTED: To recommend that the Board take the following action:

WHEREAS:

University of Massachusetts Building Authority (the Authority) is authorized by Chapter 773 of the Acts of 1960, as amended, among other things, to provide dormitories, dining commons and other buildings and structures for the use of the

University of Massachusetts (the University), its students, staff and their dependents and certain organizations related to the University and to initiate projects for such purposes upon written request made by authority of the Trustees (the Trustees) of the University and upon written approval from the Commissioner of Administration and the Chancellor of the Board of Regents of Higher Education; and

WHEREAS:

in the judgment of the Trustees it is in the best interests of the University, its students, staff and their dependents and said organizations that the Authority initiate the projects described below;

NOW, THEREFORE, BE IT RESOLVED THAT:

1. David C. Knapp, president of the University, be and he hereby is authorized in the name and on behalf of the Trustees to make a written request of the Authority that the Authority initiate projects consisting of (a) the construction at the Amherst Campus of the University of a multi-purpose arena and convocation center, as more particularly described in Trustee Document No. T85-088A or as approved by the Trustees pursuant to the Contract for Financial Assistance, Management and Services to be entered into with respect to the projects between the Commonwealth of Massachusetts, acting by and through the Trustees, and the Authority, for winter sports and other major university events, and (b) rehabilitation of and improvements to McGuirk Alumni Stadium;

2. The projects to be initiated by the Authority in accordance with the request authorized to be made in section 1 of this resolution may in the discretion of the Authority be undertaken separately or together for financing purposes; and

3. Said David C. Knapp and all other officers of the University be and they are and each acting singly is authorized and directed to request the Commissioner of Administration and the Chancellor of the Board of Regents of Higher Education to approve in writing the undertaking by the Authority of the projects a request for which is hereby authorized and to do such other things and to take such other action as to the officer or officers so acting shall, as conclusively

evidenced by their taking the action hereby authorized, deem necessary or desirable to be done or taken to carry into effect the matters hereby authorized.

RESOLVED:

That the fees, rents, rates and other charges proposed by the University of Massachusetts Building Authority (the "Authority") to be established for Fiscal Year 1989 pursuant to the Contract for Financial Assistance, Management and Services 1988-A Projects dated as of October 1, 1988 (the "Contract") for the use of the projects of the Authority referred to therein (the "Projects"), a request for which Projects was authorized by this Board of Trustees on October 5, 1988, be and the same hereby are approved;

That in implementation of said fees, rents, rates and other charges as applicable to the Projects and mandatory fee to be paid by all full-time students and other students, as the Trustees may determine, attending the Amherst campus of the University of Massachusetts (the "University") shall be so adjusted for the purpose of providing revenues from or attributable to the use of the Projects effective for the Fiscal Year 1989 so as to be sufficient, when added to other revenues derived from the Projects and payable to the Authority pursuant to the Contract, to meet the requirements of Section 9 of Chapter 733 of the Acts of 1960, as amended to the extent that such requirements are not otherwise satisfied by the Board of Trustees or from other funds provided by the Authority for such purpose, it being understood that all fees, rents, rates and other charges due to the Authority for fiscal year 1989 pursuant to the Contract are to be satisfied from other funds provided by the Authority.

RESOLVED:

That the making of a Contract for Financial Assistance, Management and Services 1988-A Projects between The Commonwealth of Massachusetts, acting by and through the Trustees (the "Trustees") of the University of Massachusetts (the "University"), and University of Massachusetts Building Authority pursuant to Chapter 773 of the Acts of 1960, as amended, and pertaining to the undertaking by the Authority of projects consisting of (a) the construction at the Amherst campus of the University of a

multi-purpose arena and convocation center for winter sports and other major University events (which shall be named the William D. Mullins Memorial Center) and (b) rehabilitation of and improvements to McGuirk Alumni Stadium, for which projects a request was authorized by the Trustees on October 5, 1988, be and the same hereby is authorized; that the Trustees or a majority thereof be and hereby are authorized, in the name and on behalf of The Commonwealth of Massachusetts, to sign, seal with common seal of said University and of the Trustees, acknowledge if deemed advisable, and deliver a Contract for Financial Assistance, Management and Services 1988-A Projects in the form presented to this meeting (subject to such minor changes, insertions and omissions as may be approved by the Trustees or a majority thereof and the Chairman or Vice Chairman of the Authority) and providing for the issuance by the Authority of bonds in the maximum principal amount of **[to be established upon the choice of financing option and sale of bonds]** and a Maximum Project Cost of $60,000,000; that the form of such Contract for Financial Assistance, Management and Services 1988-A Projects presented to this meeting and each term, condition and provision therein contained be and the same hereby are approved, subject to such minor changes, insertions and omissions as may be approved by the Trustees or a majority thereof and the Chairman or Vice Chairman of the Authority; and that the execution of such Contract for Financial Assistance, Management and Services 1988-A Projects by the Trustees or a majority thereof shall be conclusive evidence (a) of the approval by the Trustees of any such minor changes, insertions or omissions and the authorization of the same and (b) that the form of Contract for Financial Assistance Management and Services 1988-A Projects so executed (with approved changes, insertions and omissions, if any) is as hereby authorized and approved.

RESOLVED:

That the Chairman, Vice Chairman and Administrative Secretary to the Board of Trustees (the "Trustees") of the University of Massachusetts be and each such officer acting alone hereby is authorized, in the name and on behalf of The Commonwealth of Massachusetts, to sign by manual or facsimile signature the form of guaranty provided in the Contract for Financial

Assistance, Management and Services 1988-A Projects between said Commonwealth and University of Massachusetts Building Authority dated as of October 1, 1988 on each bond issued by said Authority to finance the cost of the Projects referred to in said Contract; in case any officer of the Trustees whose signature or facsimile signature shall appear on any such bond shall cease to be such officer before the delivery thereof, such signature or facsimile signature shall nevertheless be valid and sufficient for all purposes, the same as if such officer had remained in office until such delivery.

The next item on the agenda was approval of the spending plans for the Tuition Retention Account for the University of Massachusetts at Amherst, Boston and Worcester. At Amherst, the projected receipts of $2.9 million are budgeted for three areas: teaching assistants, the library, and central campus expenses. At Boston and Worcester, the funds will be used for equipment expenditures.

It was moved, seconded and

VOTED: To recommend that the Board approve the FY89 Spending Plans for the Tuition Retention Account for the University of Massachusetts at Amherst, Boston and Worcester as contained in Doc. T88-079.

The last item for approval was a request to lease to the Amherst Housing Authority for 99 years a 3.5 acre parcel of land, to transfer ownership to the Amherst Housing Authority of a 33,000 square foot parcel, and to transfer ownership to the University of one parcel of land, from the Amherst Housing Authority.

It was moved, seconded and

VOTED: To recommend that the Board authorize the Chancellor of the University of Massachusetts at Amherst, subject to review by the President's Office and the approval of the Division of Capital Planning and Operations, the Board of Regents and the Massachusetts Legislature, to lease for a period of 99 years a 3.5 acre parcel of land abutting Fairfield Street and Berkshire Terrace to the Amherst Housing Authority under the terms and conditions set forth in Doc. T88-078;

and,

To approve, subject to the approval of the Division of Capital Planning and Operations, the Board of Regents and the Massachusetts Legislature, the transfer of ownership to the Amherst Housing Authority of a 33,000 square foot parcel at the corner of Olympia Drive and East Pleasant Street subject to the transferral of ownership to the Commonwealth (for University use) of a one acre parcel on Olympia Drive owned by the Amherst Housing Authority under the terms and conditions set forth in Doc. T88-078;

and further,

To accept on behalf of the University of Massachusetts the transfer of ownership of a one acre parcel on Olympia Drive from the Amherst Housing Authority to the Commonwealth (for University use), subject to the approval of the Board of Regents and the Division of Capital Planning and Operations, and under the terms and conditions set forth in Doc. T88-078.

Vice President Lenhardt then updated the Committee on the status of the Parking Trust Fund at UM/Worcester. A full new revenue and expense program for the Parking Garage which meets servicing the debt requirement will be presented in December.

There being no further business to come before the Committee, the meeting adjourned at 12:50 p.m.

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

5252t

UNIVERSITY OF MASSACHUSETTS

BOARD OF TRUSTEES

MINUTES OF THE MEETING OF THE
COMMITTEE ON BUDGET AND FINANCIAL AFFAIRS

Wednesday, November 30, 1988; 2:00 p.m.
Conference Room S1-123
University of Massachusetts
Medical School
Worcester, Massachusetts

Committee and Committee Staff: Chair Oakes; Trustees DiCara, Haynes, Knowles, Orefice and Teixeira; Mrs. Fontaine, Administrative Secretary

University Administration: President Knapp; Vice President Lenhardt and Smith; Mr. Searson, General Counsel; Mr. Wolcott, Senior Director of Auditing

UM/Amherst: Chancellor Duffey; Mr. Page, Vice Chancellor for Administration and Finance

UM/Boston: Chancellor Penney

UM/Worcester: Chancellor Laster

Chair Oakes called the meeting to order at 2:05 p.m. The first item on the agenda was a request to allocate an additional $45,000 from the Trustee Reserve in order to finance the activities of the Commission on the Future of the University for an additional two months. It was moved, seconded and

VOTED: To recommend that the Board allocate $45,000 from the Trustee Reserve to the Commission on the Future of the University for the purposes set forth in Doc. T88-092.

The next item was the Massachusetts Educational Loan Authority Refund which was originally before the Committee in May, 1988. At that time, the Committee requested specific proposals from the campuses for the use of the $43,000. The proposals provide for the refund to be divided equally, $14,333 per campus, and the funds will be used to improve debt management through the purchase of computer hardware and software and to improve financial aid counseling programs. The Committee discussed the specific programs and clarified its concern about language in the proposal

concerning training for individuals needed to update staff concerning changes in Federal regulations. It was moved, seconded and

VOTED: To recommend that the Board allocate, in equal shares, to the three campuses, the $43,000 MELA refund for the purposes set forth in Doc. T84-034B.

The next item was a request to establish a Capital Asset Fund at the University of Massachusetts Hospital. The fund, anticipated at $3,000,000 for FY89, will be funded from the Hospital's operating surplus and will be used to depreciate the Hospital's property, plant and equipment. All expenditures will need Board approval and may only be used for the acquisition of property, plant and equipment. The fund will be segregated from all other University funds and both principal and interest from the funds can be expended. A management/overhead fee will be paid in lieu of trust fund interest. The advantages of this fund will allow the hospital to adhere more appropriately to generally accepted accounting principles and maximize of potential revenues from third party health insurers.

It was then moved, seconded and

VOTED: To recommend that the Board establish the University of Massachusetts Hospital Capital Asset Fund, which self-supporting fund will have as its purpose the funding of the Hospital's depreciation of its property, plant and equipment, all as more fully described in Doc. T88-086.

The next item was to approve the expenditure guidelines for income from the Trustee appropriation of $100,000 to the William Joiner Foundation. The guidelines will limit the use of these funds to:

1. Scholarships limited to:

   a. A veteran or the family member of a veteran to work within the UMass/Boston Graduate Psychology Program on research related to Post-Traumatic Stress Syndrome, or

   b. A graduate of the UMass/Boston Veterans Educational Training Program seeking to pursue post-baccalaureate study at UMass/Boston; and/or

2. To establish and fund a Joiner Center Lecture Series aimed at educating the public-at-large, as well as members of the University Community, of the work and studies carried out under the auspices of the Joiner Center.

3. The Chancellor, at her/his discretion, may elect to expend funds on projects of merit which advance the work of the Joiner Center.

It was moved, seconded and

VOTED: To recommend that the Board approve the guidelines for the expenditure of endowment income of the William Joiner Center Endowment Fund set forth in Doc. T88-091.

The next item discussed by the Committee was the Trust Fund Interest Report on FY88 expenditures and proposed expenditures for FY89 for the President's Office and campuses. This report is based on the allocations that were approved in October. Not included in the report were Trustee Reserve monies or program funds separately budgeted.

The next item was a discussion of Capital Outlay. Vice President Lenhardt distributed charts which reflect the aggregate capital outlay appropriations for the University. After a review, the Committee requested information concerning monies appropriated but not expended, e.g., Telecommunication funds made available in 1987.

It was reported that the Governor had cut $275 million from the Capital Outlay. Questions were raised as to how the University would be affected.

The Committee also discussed the Division of Capital Planning Operations timeframe to construct a building. Attention was focused on the study selection step which requires six months from the date a request is made for a Design Study. That step adds one year to the process.

President Knapp was directed to get information on how construction times in Massachusetts compare with times in the private sector and in other States. Of particular interest was the loss incurred through the delay.

President Knapp then updated the Committee on the current budget situation and the possibility of the

University having to revert to the State 3% or 5% of its appropriation. The reversions, if enacted, would require some very hard decisions by the campuses.

Chair Oakes then called for a motion to enter Executive Session to discuss audits. The time was 3:20 p.m. It was moved, seconded and

VOTED: To enter Executive Session

Chair Oakes voted for the motion as did Trustees DiCara, Haynes, Knowles, Orefice and Teixeira.

At 4:00 p.m., the Committee came out of Executive Session and reconvened the Committee meeting.

It was then moved, seconded and

VOTED: To recommend that the Board accept the following audits:

Amherst Parking Garage Audit #89-3
(Doc. T88-087)
Waltham Field Station Audit #89-4
(Doc. T88-088)

There being no further business before the Committee the meeting adjourned at 4:02 p.m.

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

5405t

UNIVERSITY OF MASSACHUSETTS

BOARD OF TRUSTEES

MINUTES OF THE MEETING OF THE COMMITTEE ON
ACADEMIC AND STUDENT AFFAIRS

Wednesday, January 13, 1988; 2:00 p.m.
Thirteenth Floor Conference Room
250 Stuart Street
Boston, Massachusetts

Committee and Committee Staff: Chairperson Zervas; Trustees Huang, Knowles and Lopes; Mrs. Fontaine, Administrative Secretary; Mr. Miller, Deputy Secretary

Committee Member Absent: Trustee Huguenin

University Administration: President Knapp; Vice Presidents Lenhardt and Smith; Mr. Bench, First Associate Counsel

UM/Amherst: Provost O'Brien

UM/Boston: Chancellor Corrigan; Provost Diener

UM/Worcester: Dr. Hanshaw, Dean/Provost; Dr. Kelly, Deputy Provost

Trustee Zervas, Chair, called the meeting to order at 2:05 p.m. The first item on the agenda was Appointments with Tenure--UM/Amherst and UM/Worcester. Provost O'Brien commented on the appointment of Dr. Beverly Harris-Schenz with tenure. Dr. Harris-Schenz has established a national and international reputation as a scholar and authority in the methods of teaching German.

Dr. Harriet Robinson will be joining the Department of Pathology at the Medical School as Professor with tenure. She currently holds the position of Principal Scientist at the Worcester Foundation. She has distinguished herself nationally and internationally as one of the country's outstanding scholars in the fields of molecular oncology and retrovirus. Dr. Huang noted she was familiar with Dr. Robinson's work and expressed similar accolades.

It was moved, seconded and

VOTED: To recommend that the Board concur with the President in the appointment of Dr. Harriet Robinson as Professor of Pathology with tenure at the University of Massachusetts Medical Center and of Dr. Beverly Harris-Schenz as Associate Professor of Germanic Languages and Literature with tenure at the University of Massachusetts at Amherst. (Doc. T88-002)

The next item was Awards of Tenure--UM/Amherst and UM/Worcester. Provost O'Brien noted that Dr. James Owers has an excellent record as a researcher as well as substantial public service accomplishments. Dr. Owers has been a major figure in Faculty Governance noted by his service on the Faculty Senate Program and Budget Council.

The second recommendation for an Award with Tenure was for Dr. Judith K. Ockene. Prior to joining the Medical School, Dr. Ockene served as Director of Intervention for the Multiple Risk Factor Intervention Trial at the Harvard School of Medicine. During a short time, Dr. Ockene has acquired a national and international reputation for her work in the field of preventive medicine, particularly the behavioral aspects of smoking cessation.

Dr. Huang commented that in examining the faculty make-up at the three campuses, Worcester had the smallest proportion of women, and she, therefor, was pleased to see the Worcester campus attracting qualified women to the faculty.

It was then moved, seconded and

VOTED: To recommend that the Board concur with the President in the award of tenure to James Owers as Associate Professor of General Business and Finance at the University of Massachusetts at Amherst and Judith Ockene as Associate Professor of Medicine at the University of Massachusetts Medical Center. (Doc. T88-003)

The next item was the Appointment of Dr. William G. Chaloner as a Wilmer D. Barrett Professor.

A Barrett Professor is an individual of outstanding national or international distinction who has a full-time appointment elsewhere but visits the campus each semester to lecture and meet with faculty and students. The first two Barrett Professorship appointments were Dr. Andrew Brimmer, an economist and Dr. Billy Taylor, a jazz pianist.

Dr. Chaloner is a distinguished paleobotanist and is familiar with the campus having spent some time there on a previous leave.

It was moved, seconded and

VOTED: To recommend that the Board concur along with the President in the appointment by the Chancellor of William G. Chaloner as Wilmer D. Barrett Professor of Botany at the University of Massachusetts at Amherst. (Doc. T88-004)

The next item was a request to grant Departmental Status to the Gerontology Program. Provost Diener said the Gerontology Program, which resides in the College of Public and Community Services and is located at 250 Stuart Street, is being supported and developed in every way possible. There is currently a proposal for a Doctoral Program which is before the Board of Regents. In addition, the faculty has grown and has solidified over the years. Becoming a center will enable the faculty to come together as a department. It is expected that the Gerontology Center will be one of the leading programs in the country.

After discussion about the logistics of the Center and organizational structure, it was moved, seconded and

VOTED: To recommend that the Board establish the Gerontology Center at the College of Public and Community Service at the University of Massachusetts at Boston. (Doc. T88-005)

The last item on the agenda for action was approval of the Graduation Lists--UM/Amherst and UM/Boston. The list from Amherst consists of 712 candidates for the Bachelor's degree to be awarded February 1, 1988. Boston's candidates to be approved for graduation in December, 1987, include 467 for the Bachelor's degree and 98 for the Master's degree.

It was then moved, seconded and

VOTED: To recommend that the Board approve the February, 1988, graduation lists of the University of Massachusetts at Amherst and the December, 1987, lists at the University of Massachusetts at Boston, subject to the completion of all requirements as certified by the Recorder of the Campus. (Doc. T88-001)

The Committee then discussed establishing a scholarship honoring the late Trustee Matthews. It was suggested that the Board set aside an amount from Trustee Reserve as an endowment and also seek private support. The criteria and exact details would be developed after speaking with her family and submitted to the Committee on Budget and Financial Affairs for appropriate action.

Provost Diener then announced that the Board of Regents had unanimously approved the Ph.D. in Clinical Psychology.

There being no further business to come before the Committee, the meeting adjourned at 2:35 p.m.

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

4766t

UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE MEETING OF THE COMMITTEE ON ACADEMIC AND STUDENT AFFAIRS

Wednesday, March 16, 1983; 2:00 p.m.
Thirteenth Floor Conference Room
250 Stuart Street
Boston, Massachusetts

Committee and Committee Staff: Trustee Huang, Acting Chair; Trustees Huguenin, Knowles, and Lopes; Mrs. Fontaine, Administrative Secretary

Committee Member Absent: Trustee Zervas

Other Trustees: Trustee Wingle

University Administration: President Knapp; Dr. Smith, Vice President for Academic Affairs; Ms. Nethersole, Director of Student Affairs

UM/Amherst: Chancellor Duffey; Provost O'Brien; Dr. Madson, Vice Chancellor for Academic and Student Affairs

UM/Boston: Chancellor Corrigan; Provost Diener; Mr. Babcock, Vice Chancellor for Planning

UM/Worcester: Dr. Hanshaw, Dean/Provost

In the absence of the Chair, Trustee Huang called the meeting to order at 2:05 p.m.

The first item on the agenda was Awards with Tenure--UM/Amherst and UM/Boston. At Amherst, all but one Professor received unanimous recommendations and votes. Provost O'Brien indicated that the negative vote reflected questions about the strength of the individual's research. Provost O'Brien, after a review of the candidate, recommended tenure.

Trustee Huang, asked for clarification about individual's being concurrently awarded tenure and promoted to Associate Professor after serving only three years at the campus. Provost O'Brien indicated that a maximum of three years of credit toward tenure may be awarded at the time of initial appointment to individuals who have had an academic appointment at another college or University.

Provost Diener stated that all cases at Boston had received unanimous recommendations and votes at the campus level.

It was recommended by the Committee that the Rating Category included on the Summary of Tenure Recommendations be utilized by all campuses.

Vice President Smith then gave the Affirmative Action Profile of the candidates recommended:

	TOTAL	FEMALE	MINORITY
Amherst	10	7	1
Boston	5	1	0
Total	15	8	1

It was moved, seconded and

VOTED: To recommend that the Board concur with the President in the award of tenure to the following individuals:

University of Massachusetts at Amherst:

Francisco Cevallos as Associate Professor of Spanish and Portuguese
Copper Giloth as Associate Professor of Art
David D. Sporny as Associate Professor of Music and Dance
Jennifer Stone as Associate Professor of French and Italian
Martha Taunton as Associate Professor of Art
Dara Wier as Professor of English
Molly Fitzgerald-Hayes as Associate Professor of Biochemistry
James Boyce as Associate Professor of Economics
Nancy Folbre as Associate Professor of Economics
Jarice Hanson as Associate Professor of Communication

University of Massachusetts at Boston:

Fiora Bassanese as Associate Professor of Italian
Thomas Buckley as Associate Professor of Anthropology
Peter Fejer as Associate Professor of Mathematics and Computer Science
Sheldon Kalich as Associate Professor of Psychology
Henry Raimondo as Associate Professor of Economics (Doc. T88-014)

The next item on the agenda was the Appointment of Dr. Arnold Rosenberg as Distinguished University Professor--UM/Amherst.

Dr. Rosenberg came to the University as a visiting faculty member from Duke University. His academic specialization is Computer Chip Design, and the University wishes to match his outstanding qualifications by honoring him with a distinguished chair.

It was moved, seconded and

VOTED: To recommend that the Board concur with the President in the appointment by the Chancellor as Distinguished University Professor of Computer and Information Science with tenure at the University of Massachusetts at Amherst. (Doc. T88-015)

The last item on the agenda for action was approval of the Graduation Lists--UM/Amherst, UM/Boston, pending completion of all requirements for graduation and concurrence of good academic standing. At Amherst, 343 graduate candidates were presented for graduation, February 1, 1988 and at Boston there were 953 undergraduate and 101 graduate candidates for May and September, 1988.

It was moved, seconded and

VOTED: To recommend that the Board approve the May and September, 1988 Graduation Lists of the University of Massachusetts at Boston and the February, 1988 list at the University of Massachusetts at Amherst, subject to the completion of all requirements as certified by the Recorder of the campus. (Doc. T88-001A)

The Committee then heard Campus Reports. Vice President Smith said this was an addition to the regular agenda. This provides an opportunity for the campuses to bring items not on the agenda to the attention of the Committee.

Provost O'Brien alerted the Committee that a proposal for reorganization of the college system within the campus would be forthcoming. The recommendation, which is before the Faculty Senate, concerns the School of Health Sciences. Within that school there are currently three groups -- a division of public health, a division of nursing, and a department of communication disorders. The campus committee recommends that the School of Nursing and School of Public Health be tied together in a School of Health with a coordinating Dean. This model follows the College of Arts and Sciences

where there are three deans. Each year one of those deans serves as coordinating dean to oversee those matters which have a bearing on all three colleges.

He also spoke about the severe impact the budget problems have had on the morale of the faculty.

Provost Diener indicated the Boston campus has also been looking at the Nursing Program, and that the search for a Dean of Nursing is in its final stages.

Dean Hanshaw said the students at the Medical School, have launched a campaign to reduce the residence requirement for medical school from five to three years. He also reported that for the first time graduation will include candidates from all three schools.

The last item on the agenda for discussion was Admissions and Financial Aid Reports. Ms. Nethersole, Director of Student Affairs in the President's Office, commented that there are two issues which claim priority on each of the campuses: debt management and indebtedness and recruitment retention of minority students.

Dr. Madson, reporting for the Amherst campus, said applications are expected to increase by 8% this fall. SAT scores are on the rise. For Fall, 1988 the average Math score is 554 and Verbal is 491. The minority student enrollment also continues to improve.

With regard to financial aid, out-of-state students are paying for tuition, fees and room and board, approximately $10,000; in-state students, $6,900.

Vice Chancellor Babcock gave the report for the Boston campus. The graduate student application rate has increased regularly each year while at the same time applications at the freshman and transfer levels have also increased. Two thirds of incoming students each fall are transfer students from institutions such as Northeastern University, Bunker Hill, Mass Bay, Massasoit and Roxbury Community Colleges, Quincy Junior College, UM/Amherst and Boston University.

Approximately 23% of the incoming freshman class each year is minority, about 15% of the transfer students are minority.

Dean Hanshaw said minority recruitment has improved (from 2% to 7% since 1983.) Debt burden is increasing. The average medical student graduating in 1984 had a debt of $25,500; in 1987 it was $32,500 and the highest debt in the Class last year was $76,000.

One of the major concerns at the Medical School is the decline in the number of applications; 1986-870, 1987-724, 1988-623. This decrease is attributable to a combination of factors: the five year residency requirement, demographics, the cost of medical education in general, the regulation of medical practice and the perception of medicine as a career.

Chancellor Duffey then asked for review of an item not on the agenda, Revisions to the Code of Student Conduct. Since it was more appropriate to be voted by the Executive Committee, the revisions were referred to that Committee for review.

There being no further business to come before the Committee, the meeting adjourned at 3:35 p.m.

Cynthia A. Fontaine

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

4876t

UNIVERSITY OF MASSACHUSETTS

BOARD OF TRUSTEES

MINUTES OF THE MEETING OF THE COMMITTEE
ON ACADEMIC AND STUDENT AFFAIRS
Wednesday, May 11, 1988; 2:00 p.m.
Thirteenth Floor Conference Room
250 Stuart Street
Boston, Massachusetts

Committee and Committee Staff: Chair Zervas; Trustees Huguenin, Lopes and McBay; Mrs. Fontaine, Administrative Secretary

Committee Member Absent: Trustee Huang

University Administration: President Knapp; Vice President Smith

UM/Amherst: Provost O'Brien; Professors Kinney and Roberts, Faculty Representatives

UM/Boston: Chancellor Corrigan; Provost Diener; Vice Chancellor Desmond

UM/Worcester: Provost Kelly

Chair Zervas convened the meeting at 2:15 p.m. and introduced Dr. Shirley McBay.

The first item acted on by the Committee was Awards with Tenure at Amherst, Boston, and Worcester. Vice President Smith said all the tenure cases from the three campuses had undergone extensive review at both the campus and President's Office level. The affirmative action profile of the faculty to be awarded tenure:

	NUMBER	FEMALE	MINORITY
AMHERST	9	1	1
BOSTON	3	2	1
WORCESTER	2	0	0
TOTAL	14	3	2

During the course of the discussion the Committee reviewed the criteria for the awarding of tenure. The current criteria are based on:

a) convincing evidence of excellence in at least two areas and strength in a third. The areas being teaching, research, creative or professional activity and service; and

b) reasonable assurance of continuing development and achievement leading to further contributions to the University.

The issue of the public service component of land grant universities and how its evaluated was also discussed.

It was moved, seconded and

VOTED: To recommend that the Board concur with the President in the award of tenure to the following individuals:

University of Massachusetts at Amherst

David E. Alexander, Associate Professor of Geology and Geography
Roberta Day, Associate Professor of Chemistry
Jonathan L. Machta, Associate Professor of Physics and Astronomy
Sut Jhally, Associate Professor of Communication
Donald L. Fisher, Associate Professor of Industrial Engineering and Operations Research
Daniel H. Shanbert, Associate Professor of Electrical and Computer Engineering
Birger Erik Ydstie, Associate Professor of Chemical Engineering
Michael Marcotrigiano, Associate Professor of Plant and Soil Sciences

University of Massachusetts at Boston

Terrence McLarney, Associate Professor, Law Center, CPCS
Miren Uriarte, Associate Professor of Human Services
Barbara Signer, Associate Professor of Mathematics Education/Computer-Based Education

University of Massachusetts at Worcester

Russell A. Barkley, Professor of Psychiatry
John P. Mordes, Associate Professor of Medicine (Doc. T88-028)

The next item acted on by the Committee was a recommendation from the Boston campus that Dr. Yung-Ping Chen be Appointed to a tenured position as Professor in the Gerontology Center. Dr. Chen will be the first occupant of the Frank Manning Eminent Scholar's Chair in Social Gerontology

Dr. Chen is an internationally recognized scholar with a well-earned reputation. He comes to the University from the McCahan Foundation of the American College, Bryn Mawr, Pennsylvania where he served as research director professor of economics while holding the Frank M. Engle Distinguished Chair in Economic Security Research.

It was moved, seconded and

VOTED: To recommend that the Board concur with the appointment by the President of Yung-Ping Chen as Professor of Gerontology with tenure and Frank J. Manning Eminent Scholar in Social Gerontology. (Doc. T88-029)

The Committee then considered the appointment of Dr. Paul Mariani as Distinguished University Professor at the University of Massachusetts at Amherst. Dr. Mariani is an accomplished poet, biographer, critic and member of the English department faculty. It was moved, seconded and

VOTED: To recommend that the Board concur with the President in the appointment of Paul Mariani as Distinguished University Professor of English. (Doc. T88-030)

Changes in Nomenclature were then considered. The Boston campus submitted requests that both the School of Nursing and the Institute for Learning and Teaching be recognized as Colleges. These changes reflect the increasing development of these units on campus and will ensure parity. It was moved, seconded and

VOTED: To recommend that the Board change the name of the School of Nursing at the University of Massachusetts at Boston to the College of Nursing; (Doc. T88-031)

and further,

To change the name of the Institute for Learning and Teaching, also at Boston, to the College of Education. (Doc. T88-031)

At Worcester, the request was to change the name of the Medical School's Department of Anatomy to the Department of Cell Biology. This request received extensive discussion at the campus level and received overwhelming support from all segments of campus governance. Provost Kelly reiterated that the proposed changed does not imply a diminution in the commitment of the department to the study of human gross anatomy. It was moved, seconded and

VOTED: To recommend that the Board change the name of the Department of Anatomy at the University of Massachusetts Medical School to the Department of Cell Biology. (Doc. T88-031)

Graduation Lists were then considered. At Amherst, the May, 1988 graduation lists included 3,233 undergraduate candidates, 9 candidates from the Division of Continuing Education, and 51 candidates to receive the Associate Degree from the Stockbridge School of Agriculture.

At Worcester, 4 candidates for the Ph.D. in Medical Sciences and 24 candidates for the Master of Science in Nursing were presented. It was moved, seconded and

VOTED: To recommend that the Board approve the May, 1988 graduation list of the University of Massachusetts at Amherst and the June, 1988 graduation list of the University of Massachusetts at Worcester subject to the completion of all requirements as certified by the Recorder of the campus. (Doc. T88-001B)

The Committee then listened to an appeal about Student Concerns at the College of Public and Community Services at the University of Massachusetts at Boston. Ms. Joan Diver, at student in the Law Center at CPCS, addressed the Committee on behalf of the student body about concerns that undermine the mission of the College of Public and Community Services to provide quality education for urban adults with an emphasis on low income and minority groups. Among the examples she cited in her address: there is no permanent Dean of CPCS, the library is lacking in books and funding, there is limited access to a bookstore at 250 Stuart Street, the apparent lack of concern about the physical condition of the building or the need for repairs; little tutorial services for day or evening students and the need for additional faculty and staff positions.

Chancellor Corrigan expressed his shared concern about the quality of the building and announced, with pleasure, that after seven years the House Budget recommended 9 million dollars for the repair and renovation of the 250 Stuart Street Building. With regard to the Dean's position, he reviewed the search process and indicated that last year a finalist was chosen, approved by the Board, but in the fall the recommended candidate declined the position. He acknowledged the presence of Vice Chancellor Charles Desmond who has assumed (on an acting basis) the Dean's position. He added further that he hoped a recommendation on a candidate for the Dean's position would be forthcoming from the Campus to the President and the Board. He concluded his remarks by saying that the quality of life in this building has been low and has deteriorated significantly over a long period of time but that many people have spent much time and energy in correcting the situation.

Chair Zervas thanked Ms. Diver for bringing this matter to the Committee's attention; and, at Trustee Lopes request, this item will be reviewed in six months.

The Committee then discussed campus proposals for New Programs. Provost Diener spoke to the proposal for a Ph.D. in Public Policy. She indicated that the campus has one active Ph.D. program in Environmental Sciences and at the upcoming commencement exercises will graduate its first Ph.D.. The second Ph.D. program in Clinical Psychology and Human Development has recently been approved by the Board of Regents and expects to be operational in the Fall, 1989. There are several other programs which are pending approval at the Board of Regents and include the Ph.D. in Computer Science and Gerontology.

She added that the Ph.D. in Public Policy has a unique focus particularly relevant to Massachusetts and this region which is to train very technically sophisticated public policy analysts and policy developers particularly for State and local government. Provost Diener then introduced Professor Barry Bluestone who planned the program and spoke to the process of how the program developed.

Professor Bluestone reiterated the program's uniqueness: it's a Ph.D. program with a focus on State and local government policy as opposed to most public policy programs being master's programs. The program will focus on an understanding of public policy, an analysis of the ethic and moral issues

behind public policy and will have a strong emphasis in humanities and a powerful quantitative methods sequence. He added that the program will have a strong commitment to affirmative action.

The proposals for two new programs at the Amherst campus were discussed: a Bachelor of Fine Arts in Architectural Studies and the Bachelor of Arts in Dance.

With reference to the BFA in Architectural Studies the Committee raised issues concerning the demand and market conditions and indicated a need to have these questions addressed at the next Committee meeting.

Provost O'Brien in addressing the proposal for a B.A. in Dance said there currently exists a B.F.A. in Dance which is heavily performance oriented. The new B.A. which will be under the Five College enterprise will focus on history, technique, the writing of dance, and more tilted in the academic direction conforming to the usual requirements for a B.A.

There being no further business to come before the Committee the meeting adjourned at 4:15 p.m.

Cynthia A. Fontaine

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

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UNIVERSITY OF MASSACHUSETTS

BOARD OF TRUSTEES

MINUTES OF THE SPECIAL MEETING OF THE COMMITTEE ON ACADEMIC AND STUDENT AFFAIRS

Wednesday, June 1, 1988; 1:30 p.m.
University of Massachusetts
Medical School
Meeting Room A
Worcester, MA

Committee and Committee Staff: Chair Zervas, Trustees Huguenin, Knowles, McBay, and Lopes; Mrs. Fontaine, Administrative Secretary

Committee Member Absent: Trustee Huang

Other Trustees: Trustees Carlin and Wingle

University Administration: President Knapp; Vice President Smith, Associate Vice President Willits, and Mr. Searson, General Counsel

UM/Amherst: Chancellor Duffey, Professor Roberts

UM/Boston: Provost Diener and Professor Bluestone

UM/Worcester: Provost Kelly

Trusee Zervas, Chair, called the Special Meeting of the Academic and Student Affairs Committee to order at 1:35 p.m.

The first item on the agenda was the Proposal for a Ph.D. in Public Policy at Boston which had been discussed at the previous committee meeting. Dr. McBay indicated her satisfication, after speaking with Dr. Bluestone, about the ways in which science policy will be incorporated into the program. It was moved, seconded and

VOTED: To approve, subject to the concurrence of the Board of Regents, the Ph.D. Program in Public Policy at the University of Massachusetts at Boston as described in Doc. T88-033.

The next item was the May Graduation Lists from the Amherst campus. It was moved, seconded and

VOTED: To approve the May, 1988 Graduation Lists of the University of Massachusetts at Amherst, subject to the completion of all requirements as certified by the Recorder of the campus. (Doc. T88-001B)

President Knapp asked that the Committee add to the agenda the Appointment With Tenure of Sherry H. Penney as Professor of American Civilization at the University of Massachusetts at Boston. She will hold professorial appointments in American Civilization and Women's Studies. He added that although her career path has not been in the traditional line of academic development, she put together a strong full-time administrative career while at the same time teaching and doing research.

After discussion, it was moved, seconded and

VOTED: To concur with the President in his appointment of Sherry H. Penney as Professor of American Civilization with tenure at the University of Massachusetts at Boston. (Doc. T88-053)

The vote was 4:1.

There being no further business to come before the Committee, the meeting adjourned at 2:00 p.m.

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

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UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE MEETING OF THE
COMMITTEE ON ACADEMIC AND STUDENT AFFAIRS

Wednesday, July 13, 1988; 2:00 p.m.
Thirteenth Floor Conference Room
250 Stuart Street
Boston, Massachusetts

Committee and Committee Staff: Chair Zervas; Trustees Huang, Huguenin, Kruczek, McBay and Walker; Ms. Fontaine, Administrative Secretary

University Administration: President Knapp; Vice President Smith

UM/Amherst: Chancellor Duffey; Vice Chancellor Madson; Acting Provost Aitken; Professor Kinney

UM/Boston: Acting Chancellor Babcock; Provost Diener; Professor Hogarty

UM/Worcester: Provost Kelly; Dr. Cooke, Faculty Representative

Trustee Zervas, Chair, convened the meeting at 2:05 p.m.

Dr. Cooke, faculty representative, asked that the minutes of the previous meeting be corrected to reflect that the campus decision to change the name of the Department of Anatomy to the Department of Cell Biology was not unanimous.

Appointments with Tenure and Awards of Tenure at Amherst, Boston, and Worcester were discussed. Vice President Smith spoke briefly about the University's tenure process highlighting the importance of the four year review of faculty who are on a tenure track, as well as the extensive review each case receives at the campus and president's office level. He indicated that he would be providing the Committee with statistics relating to the number of individuals who do not receive tenure.

He also distributed tenure statistics for each department at the campuses.

The affirmative action profile of the recommended appointments and awards with tenure is as follows:

	NUMBER	FEMALE	MINORITY
Amherst	16	6	2
Boston	10	3	3
Worcester	2	0	1
Total	28	9	6

The Provosts briefly reviewed the background of each of the candidates and responded to questions concerning the tenure cases. They noted that each one of the people being recommended is a very distinguished appointment to the University.

It was moved, seconded and

VOTED: To recommend that the Board concur with the President in the appointment with tenure of the following individuals:

University of Massachusetts at Amherst:

Douglas L. Anderton as Associate Professor of Sociology
Shlomo Barnoon as Associate Professor of Public Health
Samuel R. Delaney as Professor of Comparative Literature
Marilyn Haring-Hidore as Professor of Education
William Kerrigan as Professor of English

University of Massachusetts at Boston:

Francis G. Caro as Professor of Gerontology
Brenda Cherry as Professor of Nursing
Thomas Ferguson as Professor of Political Science
Jemadari Kamara as Associate Professor of Community Planning
Elizabeth Anne McCauley as Associate Professor of Art

(Doc. T88-057)

It was further

VOTED: To recommend that the Board concur with the President in the award of tenure to the following individuals:

University of Massachusetts at Amherst:

Robert W. Jackson as Associate Professor of Electrical and Computer Engineering
Peter A. Monson as Associate Professor of Chemical Engineering
Margaret Shaw as Associate Professor of Hotel, Restaurant and Travel Administration
Virginia B. Vincenti as Associate Professor of Home Economics
Jay R. Berkovitz as Associate Professor of Judaic and Near Eastern Studies
John Buonaccorsi as Associate Professor of Mathematics and Statistics

Marla Brassard as Associate Professor of English
Sonia Nito as Associate Professor of Education
Kathleen Debevec as Associate Professor of Marketing
Ronald Karren as Associate Professor of Management
Lawrence Zacharias as Associate Professor of Management

University of Massachusetts at Boston:

Kenneth Campbell as Associate Professor of Biology
Arthur Millman as Associate Professor of Philosophy
Philip Quaglieri as Associate Professor of Management
Leon Zurawicki as Associate Professor of Marketing
Bette Woody as Associate Professor of General Education

University of Massachusetts at Worcester:

W. Thomas Edwards as Associate Professor of Anesthesiology
David A. Phillips as Associate Professor of Radiology

(Doc. T88-054)

Proposals to establish two new programs at the Amherst campus were then presented for action: the Bachelor of Arts in Dance and the Bachelor of Fine Arts in Architectural Studies. Both proposals had been preliminarily discussed at the previous meeting.

With reference to the B.F.A. in Architectural Studies, it was noted that this would be the only undergraduate program in a public college or University for architecture within the six New England States. The demand for this program has been constant over the years, and the establishment of this program is an attempt to genuinely respond to the needs of the students who come to the University. Approximately seven students each year are expected to enroll in the program.

After discussion, it was moved, seconded and

VOTED: To recommend that the Board approve, subject to the concurrence of the Board of Regents, the B.F.A. Program in Architectural Studies at the University of Massachusetts at Amherst as described in Doc. T88-036.

Trustee McBay abstained.

The B.A. in Dance, which will be offered through the Five Colleges Consortium, will run parallel to the B.F.A., a degree which is more heavily performance oriented.

It was then moved, seconded and

VOTED: To approve, subject to the concurrence of the Board of Regents, the B.A. Program in Dance at the University of Massachusetts at Amherst as described in Doc. T88-037.

The last action item on the agenda was Graduation Lists from the Worcester campus. There were two candidates presented to receive the Doctor of Philosophy in Medical Sciences and one candidate for the Master of Science in Medical Sciences. It was moved, seconded and

VOTED: To recommend that the Board approve the Medical School Graduation Lists contained in Doc. T88-001C.

The Committee then discussed the proposal from the Amherst Campus for a Ph.D. in Geography. Acting Provost Aitken pointed out that this program would be the first Ph.D. program in Geography at any public University in New England. He added further that the program will be part of the Department of Geology and Geography and will be implemented without any increase in resources.

Questions raised by the Committee focused on the number of undergraduate students majoring in Geography and the level of student interest. The campus was requested to provide data comparing the program to those at Clark University and Boston University and whether students from these schools would transfer to UMass.

The Faculty Grievance Procedure at the Worcester campus was outlined in detail by Provost Kelley. The basic purpose of the grievance procedure is "to encourage prompt and equitable resolution of grievances by either informal or formal means." He stressed that there is ample opportunity provided for resolution of a grievance outside of the formal grievance procedure.

The last point related to an appeal to the Chancellor and in the case of a termination an appeal to the appropriate committee of the Board. He suggested that the decision of the Chancellor be final.

The Committee discussed at length three concerns raised by Counsel Searson and concluded that 1) they did not feel grievance had to be based on "procedural violation," 2) they thought that the grievant in

conjunction could decide what material was relevant, and 3) agreed that the Chancellor's decision should be final.

The next item which was submitted for information was "Guidelines for Agreements with Business and Industry." Vice President Smith said these guidelines have been extensively reviewed on all three campuses. After one year, the Guidelines will be evaluated in terms of their effectiveness and a policy will be brought back to the Committee for review.

The last item on the agenda was Campus Reports. President Knapp reported that the Senate Ways and Means Committee had acted on the Capital Outlay Budget. It provides, as did the House bill, for funding for classroom building, Polymer Science Center, and for an engineering building at Amherst. At Boston, there is study money for the Student Services Building and at Worcester 11 million dollars for the Ambulatory Care Center.

Chancellor Duffey distributed a resolution for the Board to endorse the continuation of a ban on the use of alcohol at outdoor events and a request to modify the Alcohol Beverage Policy to reflect this. The Committee discussed the continuation of the ban and Trustee Orefice indicated that, although she was in favor of the modification, that the students have not had an opportunity for discussion about the continuation of this policy. Trustee McBay spoke in favor of the opportunity for student input. Since the change was to a rule and regulation and more appropriately considered by the Executive Committee, it was referred to that committee for action.

He then informed the Committee that as a result of recent publicity concerning Julius Lester, he had asked the Faculty Senate rules committee to prepare a report clarifying the incidents and whether any further internal action was necessary. He also asked them to decide on whether it was serious enough to call for a request for an external review of the issues.

He then spoke about the campus budget situation and his request for an emergency instructional support fee. Funds generated from this fee will not address the budget shortfall but will go towards a new registration system, undergraduate teaching equipment and increasing teaching stipends.

He also informed the Committee that before the Budget and Financial Affairs Committee will be an item to increase campus tuition waivers for talented students from 100 to 135.

At Boston, Acting Chancellor Babcock spoke of the importance of the additions of Dr. Brenda Cherry as Dean of the School of Nursing and Dr. Jemadari Kamara as Dean of the College of Public and Community Service.

He, too, addressed the campuses need to institute an emergency instructional fee and indicated that without it, the campus would not be able to maintain the breadth and quality of undergraduate education. He spoke of the cost reduction measures the campus has already taken which include substantial reductions in subsidiary accounts and reductions in part-time faculty.

Provost Kelly reported on the progress of several searches at the campus: Chair of Medicine, Chair of Obstetrics and Gynecology, and Dean for Minority Affairs.

There being no further business to come before the Committee the meeting adjourned at 4:25 p.m.

Cynthia A. Fontaine

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

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UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE MEETING OF THE
COMMITTEE ON ACADEMIC AND STUDENT AFFAIRS

Wednesday, July 13, 1988; 2:00 p.m.
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250 Stuart Street
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Committee and Committee Staff: Chair Zervas; Trustees Huang, Huguenin, Kruczek, McBay and Walker; Ms. Fontaine, Administrative Secretary

University Administration: President Knapp; Vice President Smith

UM/Amherst: Chancellor Duffey; Vice Chancellor Madson; Acting Provost Aitken; Professor Kinney

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UM/Worcester: Provost Kelly; Dr. Cooke, Faculty Representative

Trustee Zervas, Chair, convened the meeting at 2:05 p.m.

Dr. Cooke, faculty representative, asked that the minutes of the previous meeting be corrected to reflect that the campus decision to change the name of the Department of Anatomy to the Department of Cell Biology was not unanimous.

Appointments with Tenure and Awards of Tenure at Amherst, Boston, and Worcester were discussed. Vice President Smith spoke briefly about the University's tenure process highlighting the importance of the four year review of faculty who are on a tenure track, as well as the extensive review each case receives at the campus and president's office level. He indicated that he would be providing the Committee with statistics relating to the number of individuals who do not receive tenure.

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It was moved, seconded and

VOTED: To recommend that the Board concur with the President in the appointment with tenure of the following individuals:

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(Doc. T88-057)

It was further

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He also informed the Committee that before the Budget and Financial Affairs Committee will be an item to increase campus tuition waivers for talented students from 100 to 135.

At Boston, Acting Chancellor Babcock spoke of the importance of the additions of Dr. Brenda Cherry as Dean of the School of Nursing and Dr. Jemadari Kamara as Dean of the College of Public and Community Service.

He, too, addressed the campuses need to institute an emergency instructional fee and indicated that without it, the campus would not be able to maintain the breadth and quality of undergraduate education. He spoke of the cost reduction measures the campus has already taken which include substantial reductions in subsidiary accounts and reductions in part-time faculty.

Provost Kelly reported on the progress of several searches at the campus: Chair of Medicine, Chair of Obstetrics and Gynecology, and Dean for Minority Affairs.

There being no further business to come before the Committee the meeting adjourned at 4:25 p.m.

Cynthia A. Fontaine

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

5125t

UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE MEETING OF THE
COMMITTEE ON ACADEMIC AND STUDENT AFFAIRS

Wednesday, September 14, 1988; 2:00 p.m.
President's Office
250 Stuart Street
Thirteenth Floor Conference Room
Boston, Massachusetts 02116

Trustees and Trustee Staff: Chair Zervas; Trustees Baker, Huang, Knowles, Kruczek, Orefice, Walker. Mrs. Fontaine, Administrative Secretary

Trustees Absent: Trustee McBay

University Administration: President Knapp; Vice Presidents Lenhardt and Smith; Ms. Nethersole, Director of Student Affairs; Mr. Searson, General Counsel

UM/Amherst: Provost O'Brien; Professor Kinney, Faculty Representative; Professor Platt

UM/Boston: Chancellor Penney; Acting Provost Zompa; Professor Freeland, Dean of the College of Arts and Sciences; Professor Foster; Professor Radden; Professor Hogarty, Faculty Representative

UM/Worcester: Provost Kelley

Trustee Zervas, Chair, convened the meeting at 2:07 p.m. Dr. Leverett Zompa, the Acting Provost at the Boston Campus, was introduced to the Committee.

The first item on the agenda was the Award of Tenure at the Amherst and Boston campuses. Vice President Smith indicated that the campuses and the President's Office have extensively reviewed the tenure cases before the Committee. After discussion, it was moved, seconded and

VOTED: To recommend that the Board concur with the President in the award of tenure to Kei May Lau as Associate Professor of Electrical and Computer Engineering at the University of Massachusetts at Amherst;

Further,

To recommend that the Board concur with the President in the award of tenure to Emilie Steele as Associate Professor of Applied Language and Mathematics at the College of Public and Community Service. (Doc. T88-072)

The next item the Committee discussed was the Establishment of a Major in Philosophy and Public Policy at the Boston campus. Richard Freeland, Dean of the College of Arts and Sciences and Professor Foster of the Philosophy Department spoke to the new major which will be sponsored by the Department of Philosophy.

It was indicated that nationally there is a growing interest among philosophers in public policy issues. As a result of a study, a pilot course called "Philosophical Foundations in Public Policy" was developed and offered in September, 1985. By offering this course, the department found there was a strong student interest and that the Philosophy Department was attracting students who had strong policy concerns and career interests and many who were already working in policy and governmental areas.

The curriculum developed is quite distinct from other majors. Among the offerings, there is an internship and a senior year directed study. The types of internships would be in government agencies, court systems, with environmental groups or health care groups. The major also has an interdisciplinary focus which requires students to take two Policy Issue courses from other departments.

It is also felt that the distinct major, rather than the standard philosophy major, would be more attractive to potential employers.

It was then moved, seconded and

VOTED: To recommend that the Board approve, subject to the concurrence of the Board of Regents, the establishment of a Major in Philosophy and Public Policy at the Boston Campus as described in Doc. T88-073.

The next item on the agenda was the Ph.D. Program in Geography at the Amherst campus. Provost O'Brien distributed written responses to questions raised by the committee during the initial presentation in July. Professor Platt from the Department of Geology and Geography responded to questions about the number of majors in the Geography program over the last several years and the program's strengths as compared to those programs offered by Clark and B.U.

It was moved, seconded and

VOTED: To recommend that the Board approve, subject to the concurrence of the Board of Regents, the Ph.D. Program in Geography as described in Doc. T88-055.

The next item on the agenda was approval of the Graduation Lists at UM/Amherst. The list includes 283 undergraduates and 5 continuing education candidates recommended to receive degrees in September 1, 1988.

It was moved, seconded and

VOTED: To recommend that the Board approve the September 1, 1988 graduation lists, Doc. T88-001D at Amherst subject to certification of completion by the Recorder of the campus.

The next item was a Change in Nomenclature--UM/Amherst. The Department of Spanish and Portuguese requests a change in the name of its Ph.D. Program from Spanish to Hispanic Literature and Linguistics. The change will more correctly describe the nature of the graduate program.

It was moved, seconded and

VOTED: To recommend that the Board change the name of the Ph.D. Program in Spanish and Portuguese at the Amherst campus to the Ph.D. Program in Hispanic Literature and Linguistics. (Doc. T88-066)

The last item on the agenda for approval was to Establish Three Endowed Chairs at the University of Massachusetts Medical Center. The chairs are the result of a bequest of $3,000,000 from the late Richard M. Haidack. The income from the capital will be used to enhance the activities of the departments. This gift is unequaled in the history of the University.

It was moved, seconded and

VOTED: To recommend that the Board establish the following endowed chairs at the University of Massachusetts:

The Celia and Isaac Haidak Distinguished Professorship in Medical Education; Gerald L. Haidak, M.D., first incumbent.

The Harry M. Haidak Distinguished Professorship in Surgery; H. Brownell Wheeler, M.D., first incumbent.

The Richard M. Haidack Distinguished Professorship in Medicine, first incumbent will be the individual who is to be recruited as the new chair of the Department of Medicine.

All chairs will be under the terms and conditions set forth in Doc. T88-074.

The Committee then discussed the Faculty Grievance Document at the Worcester Campus. The Committee had previously discussed this document and at that time, there were still some difference of opinion. Counsel Searson's exception to that section of the document involving an appeal to a standing

committee of the Board was noted. The proposed document is in line with current Trustee policy which provides for an appeal to the Board of Trustees in cases involving termination of faculty appointments at Amherst and Boston and the Committee felt it should be maintained as recommended.

The revisions to the Code of Student Conduct at the Amherst campus were then discussed. The proposed changes were minor procedural changes and wording changes to clarify the language in the Code of Student Conduct. An additional amendment was submitted by Vice Chancellor Madson and Student Trustee Orefice. The amendment was intended to ensure student representation on hearing boards in a majority of cases. When Counsel Searson objected to the amendment on the ground that the language would unnecessarily increase the risk of litigation, it was agreed that an effort should be made to submit to the Executive Committee on September 20th revised language agreeable to all concerned.

The Committee then discussed the Mission Statement at the Amherst campus and suggested that the document be written in a clear and concise fashion. Specifically, it should identify where the University thinks it's going, who the competition is and what its positioning is going to be in ten years.

The next item discussed was major agenda items to be included for future meetings. Additional items suggested was the Proliferation of Degree Programs and Student Retention.

The Committee then heard reports from the campuses. At Worcester, Provost Kelly, reported on the collaborative arrangement with the Amherst campus Division of Public Health to make Public Health courses available on the Worcester campus. He also spoke about the impact of the budget cuts. Of immediate concern was the reduction in the library budget. This issue is under review by various faculty bodies, and it is hoped that the cuts can be absorbed without doing any damage to the extensive collection of high quality reference journals.

At Boston, Chancellor Penney commented about searches underway for the Director of Libraries, the School of Education, the Provost and the Director of Urban Harbors. She, too, commented on the affects of the budget.

There being no further business to come before the Committee, the meeting adjourned at 3:55 p.m.

Cynthia A. Fontaine

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

5248t

UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE MEETING OF THE
COMMITTEE ON ACADEMIC AND STUDENT AFFAIRS

Wednesday, November 16, 1988; 2:00 p.m.
President's Office
250 Stuart Street
Thirteenth Floor Conference Room
Boston, Massachusetts 02116

Trustees and Trustee Staff: Chair Zervas; Trustees Baker, Kruczek, McBay, Orefice, and Walker. Mrs. Fontaine, Administrative Secretary, Ms. Warner, Deputy Secretary

Trustees Absent: Trustee Huang, Huguenin

University Administration: President Knapp, Vice President Smith; Associate Vice President Willits; Mr. Cusker, Executive Assistant to the President; Ms. Nethersole, Director of Student Affairs; Madeline Tillotson, Associate Counsel

UM/Amherst: Provost O'Brien; Vice Chancellor Madson; Dean Darity, Health Sciences; Professor Kinney, Faculty Representative; Mr. Moneta, Director of Residential Education; Ms. Wijeyesinghe, Special Assistant to the Vice Chancellor for Student Affairs; Professor Dreher, Director, Division of Nursing; Dr. Gehlbach, Division of Public Health

UM/Boston: Acting Provost Zompa; Professor Hogarty, Faculty Representative

UM/Worcester: Provost Kelly

Trustee Zervas, Chair, convened the meeting at 2:05 p.m. and called for a vote for Executive Session to discuss Appointment with Tenure at Worcester and the Awards of Tenure at the Amherst and Worcester campuses. At 2:06 p.m. it was moved, seconded and, on roll call,

Voted: To enter Executive Session.

At 2:40 p.m., the Committee came out of Executive Session and considered, Appointment with Tenure at Amherst and Worcester.

The first item was the ~~Award~~ APPOINTMENT of Tenure to Dr. Jane B. Lian, Professor of Cell Biology at the University of Massachusetts at Worcester, was described as a leading scientist in the area of bone research who has unique qualifications for playing a major role in the departments research program, as well as in the Medical and Teaching Programs.

It was moved, seconded and,

VOTED: To recommend that the Board concur with the President in the appointment of Dr. Jane B. Lian as Professor of Cell Biology with tenure at the University of Massachusetts at Worcester. (Doc. T88-080)

The next item was the Award of Tenure to Dr. Judith S. Young of the Department of Physics and Astronomy at Amherst. Dr. Young has established an outstanding record of accomplishments during her probationary period.

It was moved, seconded and,

VOTED: To recommend that the Board concur with the President in the award of tenure to Dr. Judith S. Young as Associate Professor of Physics and Astronomy at the University of Massachusetts at Amherst (Doc. T88-001E)

The next item was a recommendation that Dr. Laster be Granted Tenure in his Position as Professor of Medicine at the University of Massachusetts at Worcester.

It was moved, seconded, and,

Voted To recommend that the Board concur with the President in the award of tenure to Dr. Leonard Laster as Professor of Medicine at the University of Massachusetts at Worcester. (Doc. T88-081)

The next item was Graduation Lists of Degree candidates from Boston and Worcester. The list from the Boston campus included 410 candidates for the Bachelor's degree and 86 for the Master's degree. At Worcester, there were two candidates for the Master's degree and three for the Doctor of Philosophy.

It was moved, seconded and,

Voted: To recommend that the Board approve the December, 1988 graduation lists of the University of Massachusetts at Boston and graduation lists of the University of Massachusetts at Worcester subject to the completion of all requirements as certified by the Recorder of the campus. (Doc. T88-001E)

The final item for action by the Committee was a request from Dr. Barry Levy at the Worcester campus to make an exception to the Sabbatical Leave Policy. The campus did not support the request. The request dealt specifically with that portion of the Academic Personnel Policy that requires faculty members to return to the University for one year on completion of their sabbatical leave or refund the salary received during the sabbatical leave.

The Committee reviewed at length the material presented. Dr. Levy had been on Sabbatical at the Kenya Medical Research Institute from September 1, 1987 through August 31, 1988. Prior to his expected return, he applied for an additional one year leave of absence without pay. That request could not be accommodated by the Department. Dr. Levy then elected to resign effective August 30th rather than return to his position. Associate Council Tillotson described the efforts the campus made to accommodate Dr. Levy and alternative presented to him to avoid the payback. She further indicated that she was working with Dr. Levy's attorney to arrange repayment through his retirement withdrawal.

The Committee having just received the material the previous day raised questions about why the campus denied the request for a leave of absence and asked that any conditions he may have placed on his returning be provided to the Committee.

The matter was then tabled pending receipt of additional information from the campus. A special meeting of the Committee was scheduled for December 7th at 1:30 p.m. to continue discussion about this item.

The next item on the agenda was the Reorganization of the School of Health Sciences at the University of Massachusetts Amherst. Provost O'Brien summarized the changes which would create a separate School of Public Health Sciences and a School of Nursing. Professor Dreher, Director of Nursing, UM/Amherst spoke of building a stronger Nursing program in order to attract faculty and students. Dr. Gehlbach pointed out that there are only two other schools of Public Health in New England and that they are private institutions. Dean Darity, having been responsible for the School of Health Sciences which includes the Divisions of Nursing, Public Health and Communicative Disorders and retiring this year, expressed that he is a proponent of the establishment of two separate schools. Provost Kelly conveyed his endorsement as well.

This item will be brought back for action at the next meeting.

The next item discussed was the Report on Student Discipline. The presentation was explained by Larry Moneta, Director of Residential Education and Charmaine Wijeyesinghe, Special Assistant to the Vice Chancellor for Student Affairs. The first Code of Conduct was established in 1967 and remained unchanged until 1984 when matters of academic honesty, computer access and hazing laws were included. The major change was that it became an umbrella document on campus and defined behavior that was in violation of student conduct.

Larry Moneta, Director of Residential Education reviewed residence hall discipline action in regards to appeals board, suspension, and expulsion.

The Committee then heard Campus Reports. At Boston, Provost Zompa, reported on the Day Care Center, which had been given notice to close by the Boston Fire Department, due to volatile compounds in the Chemistry Labs of the building. He stated that the safety of the children was the first concern. The Center has been given an extension until December 25, 1988. Arrangements have been made with the Harbor Point Day Care Center, pending state approval of that building, for the Day Care Center to incorporate with them.

Provost Kelly stated that they have commenced the reaccreditation self study at Worcester in preparation of accreditation next year. Dr. Kenneth Noller has accepted the Chairmanship of the Department of Obstetrics and Gynecology effective February, 1989. He comes from Mayo Medical School, where he has been Professor of Gynecology.

Provost O'Brien reported that accreditation at Amherst has been completed, and that the University was described as a transformed institution.

There being no further business to come before the Committee, the meeting adjourned at 3:55 p.m.

Margaret D Warner

Margaret D. Warner
Deputy Secretary
to the Board of Trustees

5377t

UNIVERSITY OF MASSACHUSETTS
BOARD OF TRUSTEES

MINUTES OF THE MEETING OF THE COMMITTEE ON
ACADEMIC AND STUDENT AFFAIRS

Wednesday, December 7, 1988; 1:30 p.m.
Third Floor Meeting Room
Massachusetts State Archives
Boston, Massachusetts

Committee and Committee Staff: Chairperson Zervas; Trustees Baker, Huang, Knowles, Kruczek, Walker; Mrs. Fontaine, Administrative Secretary; Ms. Warner, Deputy Secretary

Other Trustees: Trustee Haynes

Committee Members Absent: Trustees Huguenin and McBay

University Administration: Vice President Smith, Mr. Searson, General Counsel

UM/Amherst: Professor Kinney, Faculty Representative

UM/Boston: Professor Hogarty, Faculty Representative

UM/Worcester: Provost Kelly, Dr. Hanshaw, Dean/Provost, Dr. Eckhert, Chair Department of Family and Community Medicine, Dr. Cooke, Faculty Representative

Trustee Zervas, Chair, called the meeting to order at 1:30 p.m. The special meeting was called to continue the discussion regarding the request of Dr. Barry Levy to waive the requirement that he return to the Department of Family and Community Medicine at UM/Worcester for one year on completion of his sabbatical leave.

Dr. N. Lynn Eckhert, Chairman of the Department of Family and Community Medicine, presented the background of the request. Dr. Levy came to UM/Worcester in 1977 as an internist and specialist in Occupational Health. As Director of Occupational Health he was also interested in the area of International Health. He had been granted paid leaves of absence for two months in 1980, to go to Cambodia, and six weeks in 1983 to go to China. In 1987 he was granted a year sabbatical to go to Kenya, with the expectation that he would be doing work beneficial to the Occupational Health Program, the Department and

the University. From this experience, his interest grew in International Health and he discussed pursuing and developing a division of International Health at the Medical School. In the spring of 1988, he requested a leave of absence for an additional year to continue working in Africa after which he would return to fulfill his full year requirement at the Medical School. Dr. Eckhert explained the department and administrative reasons for refusal to allow the additional year's absence: the Occupational Health Program is made up of three physicians with service commitments, industrial sites contracts, a residency program, and research projects. Dr. Levy's delay in returning would effect the individuals and departmental plans.

Vice President Smith stated that in the private sector sabbatical leave is considered a right. In the public sector an individual must be eligible, apply, and fulfill all requirements for a sabbatical leave including the stipulation that he/she return for one year. He stressed that there is an accountablity to the public dollar.

There then ensued a lenthly discussion of the nature of the research work the individual was doing which made this an exceptional case and the message which would be sent to the faculty. Trustee Walker expressed his concern that by granting a waiver the Board would be setting a precedent, but he believed that growth should not be stifled and that this was a truly exceptional case.

It was then moved, seconded and

VOTED: To recommend that the Board approve Dr. Barry Levy's request to waive the requirement that he return to the Department of Family and Community Medicine at the University of Massachusetts at Worcester for one year on completion of his sabbatical leave. (Doc. T88-093)

Trustees Baker and Kruczek are recorded as opposed.

There being no further business to come before the Committee, the meeting adjourned at 2:00 p.m.

Margaret D. Warner

Margaret D. Warner
Deputy Secretary
to the Board of Trustees

5417t

UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE MEETING OF THE COMMITTEE ON
UNIVERSITY RELATIONS AND DEVELOPMENT

Wednesday, March 16, 1988; 12:00 noon
Thirteenth Floor Conference Room
250 Stuart Street
Boston, Massachusetts

Committee and Committee Staff: Trustee Bowman, Chair; Trustees DiCara and Wingle; Chair Knowles; Mrs. Fontaine, Administrative Secretary; Mr. Miller, Deputy Secretary

Absent Committee Member: Trustee Zervas

University Administration: President Knapp; Vice President Lenhardt

UM/Amherst: Chancellor Duffey; Vice Chancellor Ling

UM/Boston: Vice Chancellor O'Malley; Mr. Monahan, Director of Public Information; Ms. Thomas, President, Alumni Association

UM/Worcester: Vice Chancellor Fox; Ms. Baylis, Assistant Vice Chancellor and Director of Public Affairs

Chair Bowman called the meeting to order at 12:20 p.m. The first item of business was Report on Implementation of Allocation Trustee Reserve. Chair Bowman noted that the allocation had enabled the several development offices to purchase complete Mac II computer systems, which systems could provide almost endless design capabilities. The Vice Chancellors then reported on their equipment acquisitions and the uses to which these were being put.

The Trustees then discussed Review of 125th Anniversary Activities. The reports of the campuses indicated that many creative efforts for the celebration were well underway.

The next item was Reports on Development Activities. At each of the campuses development initiatives were paying off. At Amherst the Annual Fund drive was expected to generate $2.2 million. At Worcester fund raising results were as follows:

Fund-Raising Results, 1985 - 1988

Gifts to date - March 1988	$	1,131,742.
Gifts expected by November 1988		3,000,000.
Gifts expected by March 1989		180,000.
Gifts expected, dates unknown		1,200,000.
Grand Total	$	5,511,742

Fiscal 1988		
Gifts to FMarch 1988	$	191,029.

At Boston, because of a relatively small pool of alums and staffing shortage, results were not spectacular but hold promise.

Ms. Baylis then spoke of the public relations efforts of Chancellor Laster.

There no further business to come before the Committee and the meeting was adjourned at 1:35 p.m.

John F. Miller
Deputy Secretary

4881t

UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE MEETING OF THE
AFFIRMATIVE ACTION COMMITTEE

Tuesday, July 19, 1988; 12:00 noon
Chancellor's Conference Room
Quinn Administration Building
University of Massachusetts
Boston, Massachusetts

Committee and Committee Staff: Acting Chair Knowles; Trustee Orefice; Mrs. Fontaine, Administrative Secretary

Other Trustees: Trustee Walker

Committee Members Absent: Chair Huguenin; Trustee McBay

Other Trustees: Trustee Walker

University Administration: President Knapp; Vice President Smith; Associate Vice President Willits; Ms. McCloy, Human Resource Specialist

UM/Amherst: Provost O'Brien

UM/Boston: Acting Chancellor Babcock; Ms. Gant, Director, Affirmative Action

UM/Worcester: Mr. Garner, Director, Affirmative Action

Chair Knowles, acting for Trustee Huguenin, convened the meeting at 12:15 p.m. and called on Associate Vice President Willits who introduced to the Committee Candace McCloy, Human Resource Specialist in the President's Office.

The first item on the agenda was approval of the Affirmative Action Plans at Worcester and the President's Office. Before the Committee, was the annual update of the goals and timetables required by federal law. It was emphazied that at these two facilities the priority has been to strengthen the network to increase the pool of candidates. The status of the Amherst and Boston plans will be forwarded for the next meeting. After discussion, it was moved, seconded and

VOTED: To recommend that the Board approve the Affirmative Action Plan for the University of Massachusetts at Worcester (Doc. T88-040) and the Plan for the Office of the President. (Doc. T88-041)

The next item on the agenda which was for discussion was Annual Performance Appraisals. The intent of this item was to identify that for staff members who have a role in affecting affirmative action their demonstration in terms of compliance with affirmative action goals would be noted on their performance appraisals.

Associate Vice President Willits then informed the Committee that the decline number of available minorities of faculty and staff in higher education was becoming crucial.

Dr. Willits distributed the profile of minorities and females to be awarded tenure at the August meeting:

A suggestion was made to seek additional funding to support fellowship programs for minority and women graduate students.

AFFIRMATIVE ACTION PROFILE
OF TENURE CANDIDATES

	Total	Female	Minority
Amherst	16	6	2
Boston	10	3	3
Worcester	2	0	1
TOTAL	28	9	6

The last item on the agenda was the Sexual Harassment Training Program at the Boston campus. Jocelind Gant informed the Committee of the efforts the Campus has taken in this regard.

There being no further business to come before the Committee, the meeting adjourned at 1:55 p.m.

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

5131t

UNIVERSITY OF MASSACHUSETTS
BOARD OF TRUSTEES

MINUTES OF THE MEETING OF THE
AFFIRMATIVE ACTION COMMITTEE
Tuesday, November 22, 1988
1:00 p.m.
Meeting Room A
Worcester Medical Center
Worcester, Massachusetts

Committee and Committee Staff: Chair Huguenin, Trustees McBay and Orefice; Mrs. Fontaine, Administrative Secretary, Ms. Warner, Deputy Secretary

Other Trustees: Trustees Knowles, Kruczek and Zervas

University Administration: President Knapp, Mr. Searson, General Counsel; Ms. Willits, Associate Vice President for Human Resources

UM/Amherst: Chancellor Duffey; Ms. Giraldo, Associate Vice Chancellor for Affirmative Action

UM/Boston: Mr. Baker, Human Resources Specialist, Ms. Gant, Director of Affirmative Action and Equal Opportunity

UM/Worcester: Mr. Wells, Associate Vice Chancellor for Affirmative Action/Equal Opportunity

Trustee Huguenin, Chair opened the meeting at 1:35 p.m. and introduced and welcomed Mr. James Wells, Associate Vice Chancellor for Affirmative Action/Equal Opportunity.

The item before the Committee was a request to approve the 1987-1988 Affirmative Action Plan for the University of Massachusetts at Amherst The nondiscrimination and affirmative action statement, as presented by the campus, included prohibiting "discriminatory treatment of employees, students, applicants for student status or employment on the basis of sexual orientation, or political belief or affiliation." This statement extends beyond the current, University-wide policy statements acted on by the Board of Trustees and what is required by federal and state law.

Associate Vice President Willits indicated that the Affirmative Action Committee had discussed this issue in 1984 and 1985 and, at that time, did not include discrimination on the basis of sexual orientation or political belief or affiliation into the affirmative action and equal opportunity resolution policies approved by the Board. She further indicated that review of the issue again by the Trustees would be timely and appropriate.

Ms. Gant stated that the Boston campus has incorporated into its 1982 Institutional Policy Statement which was part of its Affirmative Action Plan approved by the Board that it would not discriminate on the basis of sexual orientation/preference.

The possibility of separating the affirmative action policy and non-discrimination policy in terms of how policies are applied to different groups was suggested, the fact that this is a moral and ethical issue was identified, and the potential liability issues were raised as a counterargument.

The Committee deferred action on the Affirmative Action plan and policy statement in order to review the complete text of the plan, to listen to all arguments concerning the issue, and to have further discussion as to whether the issue of sexual orientation and political belief and affiliation should be included into a University-wide policy statement.

There being no further business to come before the committee, the meeting adjourned at 2:10 p.m.

Cynthia A. Fontaine

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

5392t

UNIVERSITY OF MASSACHUSETTS

BOARD OF TRUSTEES

MINUTES OF THE MEETING OF THE
TELECOMMUNICATIONS COMMITTEE

Tuesday, January 19, 1988; 1:00 p.m.
Thirteenth Floor Conference Room
250 Stuart Street
Boston, Massachusetts

Committee and Committee Staff: Chairperson Knowles; Mrs. Fontaine, Administrative Secretary

Absent Committee Member: Trustee Bowman

Other Trustees: Trustees Carlin, Huguenin, Lopes, Oakes and Wingle

University Administration: President Knapp; Mr. Lenhardt, Vice President for Management and Fiscal Affairs; Ms. Napora, Assistant Vice President for Management and Fiscal Affairs

UM/Amherst: Chancellor Duffey; Mr. Littlefield, Associate Vice Chancellor for Planning; Mr. Abbott, Associate Vice Chancellor for Computing and Information Services

UM/Boston: Mr. Speck, Director, Auxiliary Services/ Telecommunications

UM/Worcester: Chancellor Laster; Mr. Adams, Vice Chancellor for Administration and Finance; Mr. Grady, Associate Vice Chancellor for Information Resources

Guests: Mr. Chanoski, Telecommunications Management Corporation

Trustee Knowles, Chair, convened the meeting at 1:15 p.m.

Vice President Lenhardt indicated that the Tri-campus Telecommunications Task Force is recommending Ericsson Information Systems, a division of Ericsson Systems, Inc. Ericsson is the lowest qualified bidder meeting the specifications for a fully integrated University-wide digital communications system capable of handling voice and data for the University of Massachusetts.

The three finalist bids are:

	Price	W/Financing
Ericsson	$44,900,000	$63,900,000
Nynex/Intecom	$50,500,000	$92,500,000
Northern Telcom	$63,700,000	$81,300,000

The reason the financing differs from the price is because different percentage rates were used by the vendors in both their maintenance contract proposals and in their lease/purchase percentages. He explained that the summary of costs using the Ericsson price versus projected revenue and student fee analysis assumes lease/purchase costs at 8% per year for the moveable equipment and 9% per year for permanent installations. It also assumes the operating budget of the University will increase at 1% per year, maintenance contract costs will increase at 5% per year and that the State will only provide the designated $5,000,000 for the project at Amherst.

If the scenario remains this way over a 10 year period, the revenue shortfall at each of the campuses will be:

Amherst	$ 22 million
Boston	$ 6 million
Worcester	$209,000

He said he hopes to reduce the need for additional revenue by obtaining more state capital dollars, reducing the cost of the lease/purchase agreement, and successfully negotiating modest cost savings with the vendor without trading off essential components.

Questions were raised and discussion ensued about the location of the Ericsson installations in the east, maintenance of the installation, the result of the financing evaluation and, the process by which the recommendation was developed. The Committee asked for additional information about maintaining the current system over the next ten years.

Chancellor Duffey then presented his concerns about the proposed system, especially the required student fee increases and recommended that the following conditions be established:

1. Annual debt service be reduced to $2,136,000 per year;
2. Acknowledge a mandatory telecommunications fee for all students on the Amherst campus of approximately $43 per student per year in FY 1990 and $54 per student per year thereafter;
3. Establish a required telephone fee for students who live in University housing of approximately $129 in FY 1990 increasing to $150 in FY 1991 and thereafter;
4. Authorize to the Amherst campus an initial interest fee loan of at least $660,000 to cover funding shortfalls associated with implementing the new system before it is operational in 1990.

There being no further business to come before the Committee the meeting adjourned at 2:30 p.m.

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

4771t

UNIVERSITY OF MASSACHUSETTS

MINUTES OF THE MEETING OF THE
SUBCOMMITTEE ON GOVERNANCE

April 13, 1988; 2:00 p.m.
3 Center Plaza
Boston, Massachusetts

Trustees and Trustee Staff: Trustee DiCara, Chair; Chair Knowles and Trustee Carlin; Mrs. Fontaine, Administrative Secretary

Trustee Absent: Trustee Lopes

University Administration: President Knapp; Mr. Searson, general Counsel

Guest: Mr. Lyman

Trustee DiCara, Chair, called the meeting to order at 2:05 p.m. The Committee considered the following: the need for a statement on Governance, reference to the AAUP statments, the requirement to have By-laws, housekeeping issues and delegation issues. After a lengthy discussion of the documents, their relevance, and distribution of responsibility, it was agreed that the appropriate revisions to the documents would reflect:

1. Appointment of a Search Committee for a Chancellor to be made by the President and the Chair of the Board and that the President would submit to the Board, two or more candidates with or without a recommendation;

2. Reference to the Board of Regents would be included in the Preamble;

3. Since multi-campus committees no longer exist, they should be abolished; and

4. The Statement on Governance would be reviewed every five years.

The meeting adjourned at 3:15 p.m.

Cynthia A. Fontaine
Administrative Secretary
to the Board of Trustees

4934t